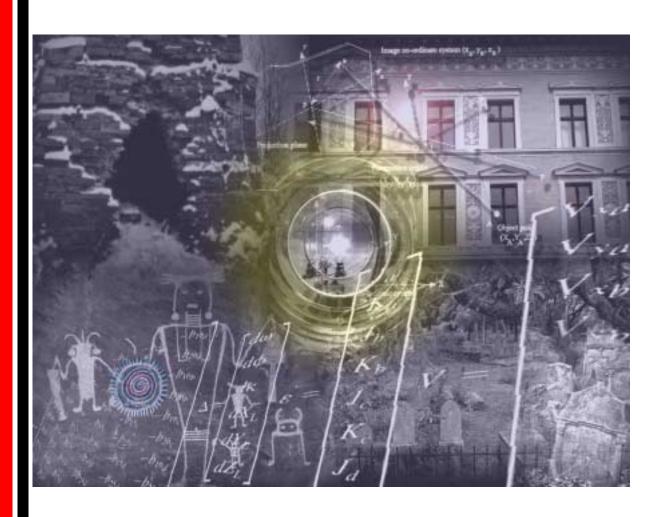


CADD/GIS Technology Center for Facilities, Infrastructure, and Environment

### GEOSPATIAL DATA CONTENT, ANALYSIS, AND PROCEDURAL STANDARDS FOR CULTURAL RESOURCES SITE MONITORING

Elizabeth Burson January 2003



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# GEOSPATIAL DATA CONTENT, ANALYSIS, AND PROCEDURAL STANDARDS FOR CULTURAL RESOURCES SITE MONITORING

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Final report

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### **PREFACE**

The Geospatial Data Content, Analysis, and Procedural Standards for Cultural Resources Site Monitoring (Geo-Marine, Inc., Miscellaneous Reports of Investigations Number 218; project number 10030.00.11 [1003-a11]) is a product of the CADD/GIS Technology Center for Facilities, Infrastructure, and Environment (Center), Information Technology Laboratory (ITL), U.S. Army Engineer Research and Development Center Project 00.025. The project was funded and executed by the Center. The Center was chartered in 1992 to promote the use of computer-aided design and drafting (CADD) and geographic information systems (GIS) technologies for life-cycle facilities management within the U.S. Army Corps of Engineers, Navy, and Air Force. The Center operates under the guidance of Dr. Jeff Holland, Director, ITL, and Mr. Harold Smith, Chief, The CADD/GIS Technology Center. The Center functions under the guidance of several oversight committees including the Board of Directors (BOD), Corporate Staff (CS), and seven Field Working Groups (FWG). The Natural and Cultural Resources FWG served as the project sponsor and provided technical guidance for the project. Members of these groups are listed below.

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### CHAPTER 1 INTRODUCTION

#### OVERVIEW OF GOALS AND NEEDS

Most archaeologists currently use a combination of photography, hand drawings, and survey to record and interpret cultural resources sites, features, and artifacts. Increasingly, archaeologists are incorporating digital technologies such as geographic information systems (GIS), computer aided design (CAD), remote sensing, and virtual reality into their repertoire. By applying digital technologies to archaeological recordation, archaeologists stand to greatly improve the quality and accessibility of their data. The U.S. Army Corps of Engineers CADD/GIS Technology Center hopes to establish recording standards for geospatial photogrammetric documentation methods for documenting complex three-dimensional archaeological and architectural features. Geo-Marine, Inc. (GMI), of Plano, Texas, was contracted to research and develop such standards.

There is a growing need for efficient, effective, and economical recordation methodologies. Many Department of Defense (DOD) military installations and the U.S. Army Corps of Engineers Civil Works activities require compliance issues involving documentation of archaeological features, rock art panels, and artifacts to be repatriated in order to satisfy the requirements of the National Environmental Policy Act, the National Historic Preservation Act, and the Native American Graves Protection and Repatriation Act. Traditional recording methodologies, such as photography and illustrations, meet recording requirements; however, these approaches do not provide the accuracy or efficiency of digital photogrammetric methodologies and related geospatial technologies. The recordation of complex, geospatially referenced, three-dimensional features is tedious, time-consuming, and labor intensive with traditional methods such as hand sketches and paper forms. These traditional methods also fail to provide analysts an easily accessible medium for comparative purposes. Therefore, the development of standards for recordation is imperative for the development of long-term, comparative geospatial databases.

This project will ensure that through automation, the various cultural resources compliance requirements can be met in an efficient and cost-effective manner. Criteria for recommended digital photography, photogrammetric methodologies, and related geospatial technologies include:

- accuracy, efficiency, and cost-effectiveness
- ability to record complex, geospatially referenced, three-dimensional features
- wide accessibility to clients, analysts, and others
- long-term comparative geospatial databasing

Following these guidelines, GMI has researched and developed a set of recommended standards through literature reviews, interviews with experts worldwide, and research and experimental studies using a variety of techniques. Although the superiority of high-end equipment—such as expensive software and metric cameras—is acknowledged, the object of this study is to identify cost effective digital solutions for non-photogrammetrists, and is therefore limited to low-to-moderately priced, user-friendly equipment.

The following document presents a general explanation of the technology of photogrammetry, the various approaches currently available to cultural resources managers, existing content standards, and recommended standards for recordation, processing, analysis, and storage. These topics were established within the project scope as five explicit tasks, listed below.

- Task 1. Research, review, and document existing industry data content standards for digital geospatial photogrammetry in cultural resources, by consulting industry experts, if they exist. The results of this research are described in Chapters 4 and 8.
- Task 2. Research, review, document, and adapt industry standard workflows for obtaining field and laboratory digital geospatial photogrammetry. Provide recommended procedures, equipment specifications and error analysis of the procedures. The results of this research are described in Chapters 5 and 8.
- Task 3. Research, review, document, and adapt industry standard techniques, workflows, and procedures for analyzing geospatially referenced photography of cultural resources objects. The results of this research are presented in Chapters 6 and 8.
- Tasks 4 and 5. Develop a standardized method for storing and indexing the geospatially referenced photography in a relational database, compliant with the Spatial Data Standards (SDS) model, including schema. Recommend any modifications to the Cultural and Natural Entity Sets in the current SDS structure. The results of this research are presented in Chapter 7.

### **INDUSTRY EXPERTS**

A number of industry experts were contacted for guidance in developing content standards and standard methods for digital geospatial photography and cultural resources. These experts fell into two basic categories: archaeologists experimenting with photogrammetry, and photogrammetrists conducting cultural resources documentation. An attempt was made to gather responses from representatives of private, academic, and governmental entities, in order to present a cross section of cost, accuracy, flexibility, and skill levels; however academic photogrammetrists and private archaeology and photogrammetry firms were much more likely to participate in the survey than government agencies. A total of 19 experts around the world was contacted. Of these, seven were professors in geomatics or photogrammetry at universities, nine

were representatives of private photogrammetry or related geospatial technology firms, two were employees of federal natural resources agencies, and one was both a university professor and owner of a private firm. Several of the experts contacted did not reply to repeated requests for participation, and several were dropped from the survey after initial interviews, due to lack of an in-depth understanding of the topic. The remaining eight experts represent a range of backgrounds, experience, and needs. Their input helped shape the direction of the research presented in this document, and occasionally their opinions are directly referenced in the text. Their names, affiliation, and responses to 10 simple questions are presented in Appendix A.

### AN INTRODUCTION TO PHOTOGRAMMETRY

The American Society for Photogrammetry and Remote Sensing defines photogrammetry as "the art, science, and technology of obtaining reliable information about physical objects and the environment through processes of recording, measuring, and interpreting photographic images and patterns of recorded radiant electromagnetic energy and other phenomena" (Wolf and DeWitt 2000). Put simply, photogrammetry involves the interpretation and analysis of features and scenes from photographs. In its broadest sense, photogrammetry includes both *two*-dimensional and *three*-dimensional analysis, and both quantitative and qualitative data extraction (Gisiger et al. 1996). For the purposes of this document, two-dimensional image analysis, while encompassed within the broader definition of photogrammetry, should be considered separately from more sophisticated three-dimensional analysis, because it cannot be fully geospatially referenced.

During the past three decades the advent of powerful desktop computers and sophisticated viewing software has resulted in the increased popularity of *digital* or *softcopy* photogrammetry, which uses digital rather than analog images. Even more recently, photogrammetrists have begun developing the capability to capture and analyze close-range photography taken at both vertical and oblique angles. While the central principals of photogrammetry are universal, this examination will focus on softcopy photogrammetry technology, as well as related geospatial technologies such as emerging laser image capture devices and hybrid technology. The two primary approaches to softcopy photogrammetry, namely *stereo photogrammetry* and *multistation monoscopic convergent photogrammetry*, will both be discussed in depth. Related *lasergrammetry* technologies will also be evaluated, as they are having a significant impact on the development of close-range softcopy photogrammetry.

### PHOTOGRAMMETRY HISTORY AND DEVELOPMENT

Historically, photogrammetry has focused on the use of photographs in topographic mapping. Colonel Aimé Laussedat of the French Army Corps of Engineers did extensive research in this field in the 1850s, and his methods were soon adopted in the United States and Canada. In the early twentieth century, German photogrammetrist Carl Pulfrich introduced the concept of overlapping, or stereo, pairs of photographs. World Wars I and II brought extensive use of aerial photography to the field, and this continues to comprise the vast majority of photogrammetric work, both analog (hardcopy) and digital (Wolf and Dewitt 2000).

Classic analog aerial photography is typically captured using a specially calibrated metric large format camera mounted on an airplane. The airplane follows a planned flight path made up of a series of parallel passes called flight strips (Figure 1). Each image in each flight strip overlaps the adjacent images on either end (end lap) and either side (side lap) by 30 to 60 percent. Aerial photography is usually captured vertically, but can also be taken at a low oblique or high oblique orientation, up to approximately a 45-degree angle.

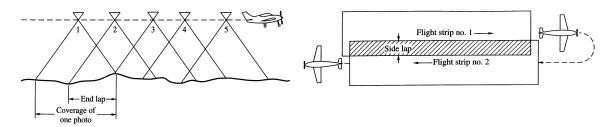


Figure 1. End lap and side lap of imagery along flight lines in aerial photography.

The resulting imagery consists of 9-x-9-inch film diapositives, each with a set of imprinted markers, called fiducials, around the edges. Two overlapping photographs can be viewed in stereo using a stereoscope, or with a stereoplotter. Using the stereoscope, the analyst examines adjacent images simultaneously, which makes parallactic angles evident in areas of overlap, thus permitting the user to perceive depth.

After photographic images have been captured, any internal distortion (within the camera) must be identified. This is done by comparing the locations of markers on the film, called fiducial marks, with the calibration data for the camera. Next, each photograph must be georeferenced based on accurate control points visible in the photographs and measured precisely on the earth's surface. After registration, the "actual" ground dimensions of any two overlapping images can be measured and analyzed by the operator. Analog stereo photogrammetry is still frequently used, though it requires expensive equipment and significant training. However, the introduction of softcopy photogrammetry has caused a shift in production methods and expectations in the field of photogrammetry. Softcopy photogrammetry, which is conducted digitally using a desktop computer, provides faster, easier, and more thorough processing, and costs less than typical analogue methods. It is also more flexible than earlier methods, while at the same time automating many time-consuming functions, making it a desirable tool for photogrammetrists and non-photogrammetrists alike.

# CHAPTER 2 SOFTCOPY PHOTOGRAMMETRIC METHOD AND PROCESS

Softcopy photogrammetry refers to photogrammetric input, analysis, and output that are *digital* rather than *analog*. Although the technology of softcopy photogrammetry was developed in the 1950s and 1960s, the practice did not gain wide acceptance until the 1980s. Softcopy photogrammetry was initially developed to allow faster, more accurate, automated aerial photogrammetric mapping. Most softcopy applications are designed to be used by non-specialists with moderate training. Aerial imagery is still the primary focus of softcopy photogrammetry, but the automated and inexpensive qualities of the process have attracted a variety of other users.

In softcopy photogrammetry, high-powered computer workstations replace stereoplotters, greatly reducing equipment costs. Technicians no longer view images through a stereoscope, but rather through the use of one of a variety of digital effects. For example, a polarizing computer screen and polarizing filter glasses can be used to toggle polarity between the operator's left and right eyes, alternating the display of left and right images to display a perceived stereo pair. Another approach uses LCD viewing glasses, which when synchronized with the refresh rate of the computer monitor, also alternates the display of the left and right images and creates a stereo display (Wolf and Dewitt 2000).

The outstanding advantage of softcopy photogrammetry is automation. Sophisticated softcopy processing software can process large batches of overlapping imagery, applying error correction, aerial triangulation, and orthorectification, with less operator input than analog processing. Softcopy systems also usually allow a greater range of input devices, including metric and non-metric still cameras, and a variety of image orientations, including horizontal and oblique photography. Additionally, using softcopy photogrammetry has the advantage of taking into account the characteristics of a specific camera during processing, which can provide more accurate results (Gisiger et al. 1996).

#### PROCESSING DIGITAL IMAGERY

Softcopy photogrammetry begins with image capture. Vertical aerial imagery captured with a metric camera is the most easily processed. However, imagery from non-metric off-the-shelf (OTS) digital, film, and video cameras can also be used. At least two images are necessary to

produce a stereo product, and at least three are needed for multistation monoscopic convergent photogrammetry, which is discussed later in this chapter. Accompanying each image must be basic camera information such as focal length. Digital imagery can be entered directly into softcopy photogrammetric software, but film images must first be scanned into digital format.

Ground control points (GCPs) are necessary to transform the image coordinates into real-world coordinates. These points must be clearly visible on the photographic image, and recorded to approximately the desired final accuracy of the photogrammetry product (Figure 2). Both horizontal (x,y) and vertical (z) values must be carefully measured. For topographic mapping, global positioning system (GPS) coordinates are usually used as GCPs, but for large-scale maps, survey lasers must be used.

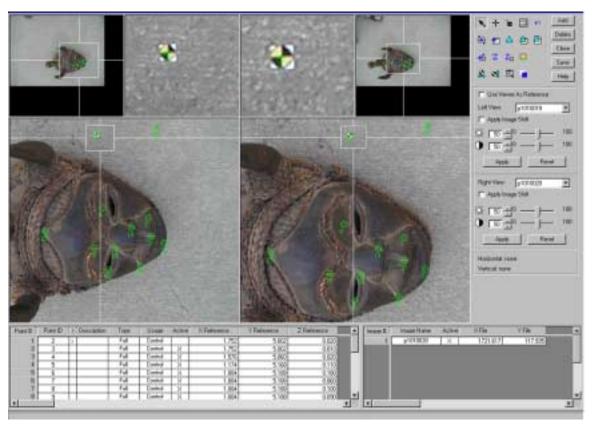


Figure 2. Ground control points visible in photographs and defined by x,y,z coordinates.

Interior orientation, the relationship of the image to the camera settings, must be performed after opening imagery within the photogrammetric software application. Interior orientation accounts for both camera settings and distortion within the image capture device. Focal length is taken into account, as well as film curvature in a film camera, or lens (radial) distortion in an off-the-shelf camera. Camera calibration, an important component of interior orientation, can be done by a professional or fairly easily by the operator, simply by photographing a well-known object and observing distortion on the image. Free software, for instance Camera Calibration Toolbox for Matlab, is now available to aid in camera calibration.

Once interior orientation has been completed, the GCPs are used to register the image's x,y film coordinates to their actual x,y,z ground locations, and to relate overlapping images to one another. This is called exterior orientation (or absolute orientation and relative orientation), and consists of defining six elements of location: x, y, and z, and rotation angles called omega, phi, and kappa (Figure 3).

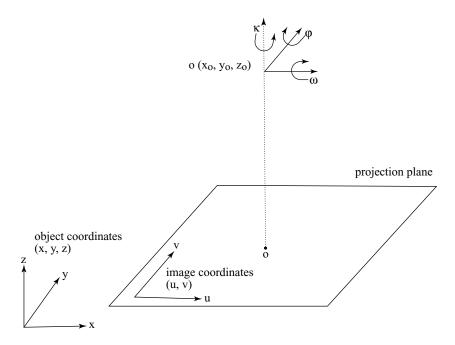


Figure 3. Relationship of object coordinates to image coordinates, showing omega, phi, and kappa rotation angles.

Generally 3-6 GCPs, or more in areas of high relief, must be identified in each image. Additional points providing only horizontal or vertical control coordinates, and tie points (shared identifiable features between images), also contribute to exterior orientation. Triangulation is then performed by the software to estimate the x,y,z locations of tie points, the position and rotation of each image in relation to others, and any residual sources of error (Figure 4).

Orthorectification removes distortion in the imagery due to error and topographic relief displacement and gives a photographic image with the planimetric accuracy of a map. Orthorectified imagery is produced using a set of points gathered either automatically or by hand across the topography of the image. Because both horizontal and vertical coordinates must be calculated, this is generally done with stereo images so the operator can calculate the depth of each point. A surface is then generated from the points, creating a digital elevation model (DEM) of the terrain. This DEM, when applied to the photo imagery, results in orthorectified photographs, also called orthophotos.

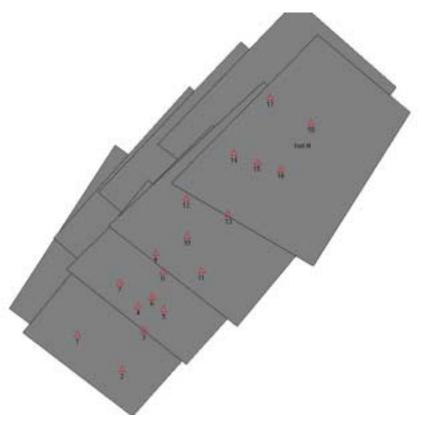


Figure 4. An oriented block of stereo pairs, compiled from eight photographs and 18 control points.

### TYPICAL SOFTCOPY PHOTOGRAMMETRY

Aerial photogrammetric mapping is still by far the prevailing application of softcopy photogrammetry. Using softcopy technology, oriented imagery can generate DEMs, which are extremely useful in topographic mapping, in GIS use, and in the orthorectification of aerial photographic images. Although many tedious processes in photogrammetric mapping have been automated in softcopy, photogrammetrists are currently attempting to further streamline and automate the softcopy process, developing reliable methods of automated feature extraction and more accurate triangulation.

### CLOSE-RANGE SOFTCOPY PHOTOGRAMMETRY

Close-range softcopy photogrammetry, one of the fastest-growing fields of softcopy photogrammetry, describes photography taken within 300 m of the target. For the most part, close-range softcopy photogrammetry is more flexible than traditional aerial photogrammetry. Photos may be taken at almost any angle as in an example of horizontal close-range photogrammetry where a metric camera was used to survey building facades, with measurements to 2 cm in accuracy (Carbonnell 1989). Photos can be imported from almost any format, from still and video digital media to scanned photographs or historical photographs. As softcopy

photogrammetry processing software becomes more sophisticated, less exterior and interior information is necessary to produce a quality photogrammetric model. In general, basic camera specifications and either a few xyz-referenced ground control points or several common tie-points between the images are all that is necessary.

The rise in popularity of close-range softcopy photogrammetry has helped develop two major approaches to close-range recordation. The first approach, called *stereo* close-range softcopy photogrammetry, closely resembles aerial softcopy photogrammetry. The second approach, *multistation monoscopic convergent* photogrammetry, relies on different input and processing, and produces a significantly different output. Both techniques have valid strengths and weaknesses, and are appropriate for different situations.

The most widely known and researched form of close-range softcopy photogrammetry is *stereo close-range softcopy photogrammetry*, which, like traditional photogrammetry, uses overlapping images to simulate depth (Figure 5a). All the rules of more typical softcopy photogrammetry apply to its close-range equivalent. Photographs must be captured with appropriate side- and end-lap, and a sufficient number of control points must be recorded to adequately orient the image. Camera characteristics and object distance are used to perform interior orientation. As with aerial mapping, a DEM is generated from points collected within a stereo view, then used to orthorectify the block of images. The resulting model resembles a topographic map, except that the topographic relief is a representation of the surface of an object or scene, rather than a geographic region. Since the operator cannot see "underneath" the scene, this method is often described as two-and-a-half-dimensional.

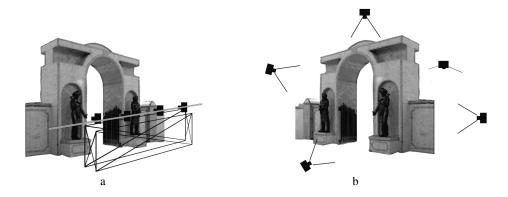


Figure 5. (a) Stereo photography consisting of overlapping images along a "flight line"; (b) multistation monoscopic convergent photography, consisting of images taken from all sides of an object toward the center.

Although stereo photogrammetry is well known, *convergent photogrammetry* is widely recognized to be more geometrically accurate. A method called multistation monoscopic convergent photogrammetry creates a 3D geometric model from several single photographs taken from the sides of a target (see Figure 5b). Instead of specifically overlapping photographs, all photographic perspectives converge at object center. This method is not intended to be performed with conventional photogrammetric packages, but can be performed inexpensively

manually or with various automated systems (see hybrid laser scanning, below). For manual production using a simple PC-based software application such as PhotoModeler Pro, any series of overlapping photographs is registered to one another with tie points, and the operator defines surfaces and edges around the object of the imagery. From the tie point and supplementary information, a geometric model of the object is generated. Little camera or locational information is necessary, but for good model creation, photo stations must be as widely divergent as possible, and all views of the object must be recorded. Convergent photogrammetry therefore allows greater flexibility in image sources and camera information, but requires thorough coverage of an object. Where stereo photogrammetry is referred to as two-and-a-half-dimensional, convergent technology results in a truly three-dimensional model. It should be noted here that *stereo imagery could, with some foresight, be combined to produce convergent models.* Convergent photogrammetry, however, cannot be used to produce stereo pairs.

### **HYBRID 3D SCANNING**

Hybrid 3D scanning is an automated laser/video convergent photogrammetry modeling technique, which has emerged only in the last two years. Traditional fine-grained laser scanning has been widely used for the past two decades in medicine and engineering, but only recently have developers adapted the technology for primarily photographic data collection. Because it was developed within the computer gaming industry, some photogrammetrists refer to hybrid scanning as "Nintendogrammetry."

The method combines photography and laser scanning in a hybrid-scanning system to create fairly accurate three-dimensional models of objects. Most hybrid-scanning systems rely on a turntable, back- and floodlighting, a laser device, and a digital video camera. Using software on an attached desktop computer, the system creates a three-dimensional model of an object by collecting silhouette and texture information with a video camera and a laser. The process is largely automated, inexpensive, and very accurate; however, because it was designed to aid game makers in the production of realistic character models, hybrid scanning is usually geared toward small objects. Such scanning also cannot be performed on in situ objects, and so is not appropriate for field recordation.

### TWO-DIMENSIONAL PHOTOGRAMMETRY AND SINGLE-IMAGE REGISTRATION

Two-dimensional photogrammetry is actually a much-simplified adaptation of true photogrammetry. The process often utilizes only one photograph, which is registered to a planar surface. Stereo viewing and geometric model generation cannot be performed, but because of it's ease-of-production and flexibility, two-dimensional image rectification is often used by non-photogrammetrists. Some researchers actually recommend rectifying single photos of essentially flat features for measurement, due to the high cost of stereo measurements (Gisiger et al. 1996), but the approach is controversial.

Heinz Rüther (1997) writes that there is a great misconception among non-photogrammetrists about image rectification. Many inexpensive software packages offer image registration and rectification via rubber-sheeting, which essentially stretches and warps an image to reach coordinates indicated by the operator. This process, according to Rüther, is not photogrammetric

and does not produce a truly photogrammetric image. In one example of non-photogrammetric image rectification, the technician uses the Image Analysis extension in Environmental Systems Research Institute's (ESRI) ArcView GIS software to define three or more known points on a scanned drawing or photograph. The application stretches and distorts the image to fit the defined points, therefore georeferencing previously undefined areas of the image. The technician can then conduct some measurement analysis on the rectified image. Since this approach is not true rectification, in which interior and exterior orientation is performed, the use of the term "rectified" can mislead users as to subsequent accuracy.

Nevertheless, many archaeologists and architectural historians regularly use single-image (non-stereo) registration for pseudo-photogrammetric purposes. Archaeologist Christopher Dore of Archaeological Mapping Specialists has used such simple technology to get reasonably accurate measurable photographs of simple objects such as a metate. Dore has also successfully registered photographs of graves in two dimensions, with x,y accuracy as fine as a few millimeters. Virtually no input information is necessary to successfully perform single-image registration, so casual photographs and imagery from unknown or archival sources may be utilized. No orientation or calibration is conducted, and the typical photogrammetric output formats (orthophotos, stereo-models, and digital elevation models) cannot be generated. Since some planar measurements and qualitative analysis can be conducted from very simple input, the utility of such "pseudo-photogrammetry" to fields such as cultural resources should not be underestimated. However, above all, despite its somewhat high return for invested effort, it must be emphasized that this approach does not meet the requirements of the current scope—to record complex *three-dimensional* features.

# CHAPTER 3 PHOTOGRAMMETRY IN CULTURAL RESOURCES

Cultural resources management, and particularly archaeological excavation, is unique in that data recovery and analysis are often destructive (Advisory Council on Historic Preservation [ACHP] 1999; King 2000). Discovery, excavation, removal, analysis, and even curation all contribute to the overall loss of integrity of objects, features, and context. The nature of cultural resources legislation compounds the problem. Often survey and recordation are mandated as part of a salvage effort, due to the impending obliteration of the resource. Artifacts retrieved from the field must be curated at appropriate facilities, or expediently repatriated to tribal authorities according to the Native American Graves Protection and Repatriation Act (NAGPRA). Once out of archaeologists' hands, artifacts are removed not only from potential comparative collections, but also from any meaningful geospatial context.

The fast pace of field excavation, coupled with the varied and unpredictable range of archaeological environments, has helped establish somewhat of a "lowest common denominator" standard in archaeological recordation. Sites and features are usually recorded by any combination of the following simple field techniques: photography, measured drawings, sketch maps, Total Station survey, and GPS mapping. Unfortunately, the extent and thoroughness of any one of these techniques are subjective and often poorly correlated with the others. There is a clear need in cultural resources recordation for a more consolidated, objective approach. As Forte (1997:9) warned, "the problem for archaeology is to retrieve the maximum possible amount of information from the material culture. . . . It is important, therefore, not to waste information or lose access to it. In this process of acquisition, restoration and re-presentation the assistance of computers and other technology has become vital, and it is here that the term virtual archaeology becomes valid. The 'quality' of archaeological information and classification will in the future create the basis of a new cognitive science."

In fact, for at least the past decade, many archaeologists have begun to transform their previous qualitative photogrammetric analysis (comparison of historic photographs, analysis of aerial photographs) into somewhat geospatially referenced imagery, allowing quantitative analysis. Two-dimensional image rectification is commonplace within GIS software applications, and some digital image quality manipulation helps improve interpretation, as done with pictographs (Texas Parks and Wildlife 1999). A tremendous amount of three-dimensional data, however, are discarded in such analysis. Given the capabilities of most GIS applications, and the increasing

demands for accuracy and detail, "traditional methods are insufficient for efficiently and accurately recording, storing, and relating the evidence that is quantitatively large, architecturally complex, and three-dimensional in nature" (Daniels 1997:2).

Almost any form of softcopy photogrammetric recordation, from high-end to simple inexpensive techniques, has obvious applications in cultural resources management, NAGPRA repatriation, change detection, education, and data sharing. Imagery can be easily maintained, analyzed, and distributed for research, education, and outreach. Because it is quick, objective, and complete, imagery is worth incorporating into field and lab work. Photography and measurement are already incorporated into all field recordation, but by simply correlating the two and following a few basic guidelines, measurable images of complex three-dimensional features can be generated, then stored in geospatial databases with existing GIS map data. GIS compatibility and georeferencing are increasingly important in cultural resources, for as Konnie Wescott (2000:1) writes, "GIS is emerging as a fundamental component of archaeological method, and is likely to have an increasing impact on archaeological theory. GIS is proving itself to be a powerful and efficient managerial tool for spatial data sets, allowing the land or resource manager the ability to access, analyze, and interpret large amounts of archaeological data in a fraction of the time previously required."

### PARAMETERS IN CHOOSING SYSTEM AND METHODS

A vast array of recordation and photogrammetric analysis techniques can be used in cultural and natural resources, depending upon the operator's training, skill, and desired results. Patias (2001:1) points out that increasingly, "photogrammetry is called upon to offer its services in a variety of levels and in all possible combinations of scientific procedures, quality requirements, usage of final products, time restrictions and budget limitations." While recognizing the wide range of issues involved in choosing a softcopy system, this document considers four major issues in assessing the myriad methods available. These issues—skill/usability, cost, flexibility, and accuracy—reflect the needs of the U.S. Army Corps of Engineers, which has requested an emphasis on accuracy, efficiency, cost effectiveness, and accessibility.

### SKILL AND USABILITY

Two fundamental hurdles in incorporating photogrammetric methods into cultural resources recordation and analysis are usability and skill level. This topic addresses both the skill necessary to collect and produce photogrammetric material, and the skill and resources needed by others to view and analyze the product. It should be noted that one softcopy photogrammetry expert interviewed, Peter Borges of Documenta Architectural Photogrammetry, disapproves strongly of a generalized or simplified approach for two major reasons. First a rigorous standard of accuracy cannot be maintained using mainstream equipment. Second, non-photogrammetrists are not necessarily capable of recording and producing quality photogrammetric products properly. For architecture, Borges recommends that experienced photogrammetry firms be used for at least the primary recordation phase, after which less trained technicians may be permitted to build upon the initial framework. This approach will afford much greater attainable accuracy.

Despite this caveat, the majority of experts consulted felt that there is a need and application for low-tech softcopy photogrammetry. Standards and guidelines are now particularly important as very inexpensive, basic photogrammetric equipment becomes available to the masses. Softcopy photogrammetry is already more accessible than conventional photogrammetry. Additionally, for the purposes of this document, GMI limited its assessments to methods and techniques that could be reasonably adopted by non-photogrammetrists with moderate training. Constraints included user-friendly equipment, limited calibration and correction of camera distortion, moderate but not excessive post-processing, and distributable multiplatform end products. In practical terms, skill and usability dictate that mainstream, commonly available equipment such as off-the-shelf cameras and industry-leader (and preferably multipurpose) software be used whenever possible.

#### **COST**

The cost of photogrammetric recordation and analysis systems might seem to be at odds with other requirements of automation, accuracy, and flexibility, but low cost is becoming increasingly important to cultural resources photogrammetrists. In fact, as noted at a recent international conference on the subject concurrent with the destruction of sites in Afghanistan, "the special endangering of cultural heritage . . . by [the] potential effect of violence and . . . by lack of resources for the protection and the preservation of the cultural monuments asks for low-cost methods for their rapid documentation. . . . The bare propagating of high-end solutions to the satisfaction of our own scientific needs will not really contribute to the solution of these problems" (Hanke 2001:1). Although sophisticated, accurate photogrammetry will probably not be available to all, costs should be feasible for firms and entities currently already dedicated to other accurate digital technologies such as GPS, CAD, and remote sensing, and therefore cost no more than \$20,000 or roughly one-fifth the cost of conventional methods. For this reason, this document does not assess the use of metric cameras or high-end photogrammetric workstations for close-range softcopy photogrammetry. These techniques admittedly often provide more accurate data, but they are not within the scope of the current project.

### **FLEXIBILITY**

Cultural and natural resources management is by nature ever-changing and unpredictable. Thus, viable methods and technologies for production and analysis of photogrammetric imagery must be extremely flexible. At the same time, it is clear that no single methodology or technology is appropriate for all situations. It is currently the practice of a few cultural resources firms to use a combination of applicable recordation methods, depending upon the object, time and budget constraints, and the desired results. In this document, distinctions are made between the field environment and the lab environment in order to refine the use of more specific techniques in each. In general, flexibility defines the range in scope and scale for which a given approach can be effectively used. Archaeologists have already discovered, for instance, that the use of digital cameras simplifies field recordation greatly. Heinz Rüther (2001:2), after some research, stated that for a large architectural project ". . . in recognition of the flexibility and favourable metric performance of modern low cost, off-the-shelf digital cameras, a decision was made to accomplish the 3D measurement of significant feature points . . . by photogrammetric means. . . . A further consequence of the use of 'amateur' charge-coupled device (CCD) cameras as metric imaging devices is that on a [large] project . . . there can be expected to be no shortage of cameras."

Additionally, recordation equipment such as camera mounts and braces must be adaptable to a wide range of scales and orientations; thus simple, inexpensive, and expandable materials are more desirable than specialized devices.

### **ACCURACY**

This document does not attempt to strictly regulate image accuracy by establishing a threshold level. GMI recognizes that accuracy needs differ, depending upon the photographic environment, the type of project, and the anticipated use of the final product. As Clive Fraser (personal communication 2001, see Appendix A) of the University of Melbourne pointed out, "I believe it would be quite problematic to generate generic accuracy ratings given all the variables involved. I would support, however, much more emphasis on accuracy & precision." A good faith attempt at high accuracy should be made by all photogrammetric image users, but it is more important at this time simply to identify levels of accuracy and to report accuracy using a standard method and terminology.

Accuracy need not be compromised in order to achieve the above goals of usability, flexibility, and cost. As the field of close-range softcopy photogrammetry expands, the tools necessary to achieve acceptable accuracy in photographic imagery become both less expensive and more accessible. In some cases, fairly inexpensive equipment rivals conventional equipment in accuracy. An example of this is the recent generation of megapixel digital CCD cameras.

Traditional film cameras often experience film warping or curvature, which is a major source of error in photogrammetry. Non-metric film cameras cannot keep film flat within the camera magazine, and film has a tendency to curl. Thus, the object is recorded on a curved surface and a deformed image is created (Gisiger et al. 1996; Wolf and Dewitt 2000). Expensive metric cameras have several methods for drawing or pressing the film against the focal plane; however, this is not possible with an off-the-shelf camera. CCD cameras, where images are recorded on the CCD array (flat and constant), rather than on film, do not suffer from this problem. An appropriate digital camera can currently be purchased for less than \$700. Off-the-shelf cameras exhibit radial distortion in which lens imperfections blur and warp the image, but this distortion is regular and replicable, so simple, free, calibration can be conducted to alleviate the problem. From recent studies, it is clear that, with camera calibration and softcopy photogrammetry software applications, it is possible to get nearly the accuracy of some metric cameras. In fact, in a joint Canadian-Chinese study in late 2000, photogrammetrists found that "significantly higher accuracies were achieved in the adjustment results for the digital camera images than for the scanned hardcopy images, in spite of the fact that the scanned images have a higher resolution than the digital camera images. This phenomenon is an encouragement to using the fast developing digital cameras in close-range photogrammetry" (Deng and Faig 2001:231).

# CHAPTER 4 EXISTING DATA CONTENT STANDARDS: THEIR APPLICABILITY TO CULTURAL/NATURAL RESOURCES SITE MONITORING

Data content standards provide semantic definitions of a set of objects, such as processing, accuracy, reporting, and applications considerations, for a given topic. In the case of this document, existing data content standards were researched in relation to close-range softcopy photogrammetry in cultural/natural resources. In recent years, researchers have recognized the increasing need for content standards applicable to *digital* imagery, stating, ". . . until recently, existing accuracy standards such as the National Map Accuracy Standards . . . focused on testing paper maps, not digital data" (Minnesota Planning Land Management Information Center [MPLMIC] 1999:3). With growing demands for digital imagery that can be integrated into existing digital geospatial databases, Rüther (1997:2) said ". . . there is a need to make users of photogrammetric and related technologies aware of accuracy, reliability, and general quality control issues. These areas appear to be of low priority to some users."

There are several existing sources of content standards for very large-scale photogrammetric mapping in cultural resources. These include the International Council on Monuments and Sites (ICOMOS), the International Society for Photogrammetry and Remote Sensing (ISPRS), the American Society for Photogrammetry and Remote Sensing (ASPRS), and the Comité Internationale Photogrammetrie Architecturale (CIPA) for procedural standards, as well as some accuracy requirements. Several Federal Geographic Data Committee (FGDC) geospatial positioning standards, including the National Standard for Spatial Data Accuracy (NSSDA), contain content standards largely for accuracy and metadata reporting. The NSSDA, developed by the FGDC, was designed to provide methods for estimating positional accuracy in both digital and printed geographic data. The NSSDA provides a statistic to describe positional accuracy, a method to test for spatial accuracy, and recommends a common language for describing accuracy in metadata. The aims and applications of the NSSDA are clearly described in plain language in the document *Positional Accuracy Handbook*, distributed by the Minnesota Planning Land Management Information Center (MPLMIC 1999).

The existing FGDC content standards for orthoimagery, and the upcoming content standard for digital geospatial metadata in remote sensing, cover some processing and data quality standards. For detailed descriptions of these standards and metadata outlines, the reader is referred to the

FGDC standards publications, available from the Federal Geographic Data Committee and at their web site (www.fgdc.gov). The National Park Service (NPS 1996) has published guidelines and standards for conducting and submitting Historic American Building Surveys (HABS) and Historic American Engineering Records (HAER), and maintains its own CAD/Photogrammetry Laboratory.

### COMITÉ INTERNATIONALE PHOTOGRAMMETRIE ARCHITECTURALE (ICOMOS/ISPRS) STANDARDS

The International Council on Monuments and Sites (ICOMOS) is a non-governmental organization committed to the conservation of global cultural heritage. ICOMOS has both United States and international committees focusing on a variety of cultural preservation issues and has developed a *Guideline for the Recording of Historic Buildings*. International Society for Photogrammetry and Remote Sensing (ISPRS) is also a non-governmental organization that is dedicated to research and publication in the areas of photogrammetry and remote sensing. ISPRS sponsors several technical commissions, including Commission V, Close-Range and Visualization Techniques, headed by Prof. Petros Patias of Greece. In conjunction with ICOMOS, it also sponsors CIPA, the Comité Internationale Photogrammetrie Architecturale, which consists of eight working groups and two task groups covering many imaging issues in cultural resources management.

One of CIPA's most outstanding publications on photogrammetric standards is the document Optimum Practice in Architectural Photogrammetry Surveys (CIPA 1993, see Appendix E). Although advocating the continued investigation of softcopy photogrammetry, this document is designed for traditional analogue close-range architectural photogrammetry. It contains specific recommendations for accuracy and scale. For whole buildings, where the hardcopy map scale is 1:50, positional accuracy must exceed 1–2 cm. For details, where the hardcopy map scale is 1:10–1:20, accuracy must exceed 0.5–1 cm. For scales of 1:100, positional accuracy of 3–5 cm is permitted. In addition, photographic scale should not be too small in relation to the final printed hardcopy product (1:8 is the greatest acceptable level).

Several of CIPA's task groups have missions directly related to photogrammetry and data content standards in cultural resources. Working Group 3—Simple Methods for Architectural Photogrammetry—is hoping to encourage low-end photogrammetric recordation using simple software packages under \$10,000 such as PhotoModeler Pro. Working Group 4—Digital Image Processing—studies and encourages new digital technology use, such as CCD cameras, computer vision, simulation, and other potential digital photogrammetric tools. Working Group 5—Archaeology and Photogrammetry—is headed by Professors Michael Doneus of Austria and Cliff Ogleby of Australia. This working group is less concerned with absolute accuracy per se and more interested in accelerating field recordation. The most instructive output of the CIPA working groups are the CIPA 3x3 Rules (Table 1), which cover nine points in three categories (geometry, photography, and organization) of field recordation, with the goal of encouraging careful, conscientious collection (Ogleby and Wandhausl 1994).

Aside from the 3x3 Rules, no specific standards have been produced by the CIPA working groups. All of the groups, however, are currently developing standards with the goal of wide dissemination; therefore, future CIPA publications should be monitored.

Table 1
The CIPA 3x3 Rules for Close-Range Photogrammetric Field Recordation

Category	Task	Directions
Geometric	Prepare control information Take photographs over the entire feature Take stereo-pairs	Measure lengths of several dimensions 50%+ overlap Maintain constant base-distance ratio
Photographic	Maintain interior geometry Maintain homogeneous illumination Use a stable, large-format camera	Don't zoom or shift camera optics Choose time of day and setting carefully, correct lighting if necessary Metric, medium format is best
Organizational	Make proper sketches Record all pertinent information Do a final check in the field	Include footprint, elevations, photo positions Include feature and camera type and data Double-check numbers, records

CIPA Task Group 2—Single Images in Conservation—addresses the uses of single images, amateur photographs, and historical photos in cultural resources, including single image rectification. This group has published on its web site a few initial data attributes it considers important in metadata and database documentation (Table 2).

Table 2 Selected Classes of Photogrammetric Information and Attributes

Class	Attributes	Class	Attributes
Image	Original, enlargement, metric, amateur, analogue photographic, analogue video, digital full format, part of frame	External control	Full, none, partial, assumed: distances, directions, angles, proportions, symmetries and repeated patterns (allowing use of techniques like "single image stereometry" or "pseudomirror-photogrammetry") etc.
Surface	Planar, polyhedral, mathematical, arbitrary (known or unknown)	Interior orientation	Known, unknown, partly known
Object	Fully destroyed, partly damaged or modified	Purpose and required accuracy	Reconstruction, restoration, general documentation, artificial study or comparison
Product	Analogue, vector, raster (rectification; orthophotography; development; projection)		

### AMERICAN SOCIETY FOR PHOTOGRAMMETRY AND REMOTE SENSING STANDARDS

The most recent ASPRS (1995) standards for photogrammetry, the Draft Standards for Aerial Photography, stipulates camera formats, calibration, filters, flying conditions, aircraft requirements, aerial film type, storage, and processing, photo indexing, film diapositive quality, ownership, and documentation. Unfortunately, the standards apply only to analogue, largeformat aerial photographs and cannot be applied to close-range softcopy photogrammetry. In 1987, ASPRS published a document entitled ASPRS Interim Accuracy Standards for Large-Scale Maps, which provided accuracy tolerances for maps of 1:20,000 or greater scale. Scale in digital photography is not described as it is in hardcopy maps. As lamented by GIS professionals, "in the digital world, scale is not stable, not communicated well, and not protected" (Slonecker and Tosta 1992:25). This is not to say that scale is irrelevant in digital imagery, but unlike standard analog maps, where one map unit translates to a specified number of ground units (e.g., 1[foot]:24,000[feet]), digital imagery scale is computed from the camera focal length and the object distance (or flying height). In other words, an image taken with a camera set to a 4.8 mm focal length, 15 m from the object of interest, is at a scale of 1:3000. Likewise, an image taken from 450 m, with a focal length of 150 mm, is also at a scale of 1:3000. This characteristic of digital geospatial imagery complicates the discussion and application of standards.

In any case, the ASPRS Accuracy Standards calls for classes of accuracy (Class 1, Class 2, or Class 3), where accuracy is measured by root mean square error (RMSE). Class 2 has twice the allowable positional accuracy of Class 1, and Class 3 has three times the allowable positional accuracy of Class 1. Horizontal accuracy standards for Class 1 are shown below (Table 3) for a few common image scales (FGDC 1998a).

Table 3
ASPRS Class 1 Horizontal Positional Accuracy Standards for Large Scale Maps

Class 1 Planimetric Accuracy Limiting RMSE (meters)	Map Scale
.0125	1:50
.025	1:100
.050	1:200
.125	1:500
.25	1:1000
.50	1:2000
1.0	1:4000
5.0	1:20,000

Maps meeting the accuracy standards are labeled "This map was compiled to meet the ASPRS standard for Class (1, 2, 3) map accuracy" (FGDC 1998a). ASPRS, however, now defers to the Federal Geographic Data Committee National Standards, compiled in the 1990s, stating that the document contains "... material more relevant to today's digital processes; and is also more complete and up-to-date" (ASPRS 2000:1).

#### NATIONAL PARK SERVICE STANDARDS

The NPS supports the use of photogrammetry in building and site documentation, but cautions against viewing softcopy photogrammetry as a panacea in cultural feature recordation (Burns 2000). Most of the NPS instructional handbooks contain detailed descriptions of proper photographic format and submission requirements, and in some cases dictate 35-mm film cameras and black-and-white film (NPS 1996). Rectified photography for aiding line drawings of planar building elements is also suggested, but only analogue products are discussed (Burns 1989; NPS 1996). The reader is referred to documents such as *Recording Historic Structures & Sites for the Historic American Engineering Record* (NPS 1996) for explanations of field photographs and photograph logs, and to the Burns (1989) publication *Recording Historic Structures* for a description of analyzing analogue imagery two-dimensionally and in stereo.

Very recently, the NPS has addressed softcopy photogrammetry for HABS/HAER documentation (Burns 2000; Croteau 1997). The CAD-Photogrammetry laboratory uses embedded two- and three-dimensional softcopy photogrammetry extensions within AutoCAD to aid in producing line drawings. Said Deputy Chief John Burns (2000:1), "Our standards are performance standards; our products, what we call 'formal' documentation, are hard copy. We use digital technologies as a tool to produce documentation, but not as a final product."

#### FEDERAL GEOGRAPHIC DATA COMMITTEE STANDARDS

The goal of the FGDC standards is to provide a consistent means to directly compare the content and positional accuracy of spatial data obtained by different methods for the same point and thereby facilitate interoperability of spatial data. Although many FGDC standards are still in the draft and review stages, several, including standards for metadata, geodetic control, environmental, and infrastructure data, are being used by many federal agencies already.

#### **Coordinate System and Datums**

While latitude and longitude are preferable because they can be easily converted to any projected reference system (FGDC 1999), most base maps (notably United States Geological Survey [USGS] topographic quadrangles), and site data are distributed in Universal Transverse Mercator (UTM) projection, expressed in easting, northing, and elevation, in meters. For extremely large-scale (close-range) imagery, any influences of the earth's curvature on the imagery will be imperceptible; therefore, UTM is recommended. For map datums, the FGDC (1998b:1-5) recommends, ". . . horizontal coordinate values should preferably be referenced to the North American Datum of 1983 (NAD 83). Vertical coordinate values should preferably be referenced to North American Vertical Datum of 1988 (NAVD 88)." Unfortunately, most base maps and

site data are currently distributed using NAD 27 as the horizontal datum. The FGDC (1998b:1-5) recognizes that, ". . . many legacy maps and geospatial data are referenced to older national datums, such as the North American Datum of 1927 (NAD 27) and the National Geodetic Vertical Datum of 1929 (NGVD 29)." Therefore, whatever horizontal and vertical datums are used should be noted in metadata.

#### **Data Quality**

The FGDC (1998b) Spatial Data Transfer Standard divides data quality into five data characteristics:

- Positional accuracy: how near coordinate descriptions correspond to actual locations
- Attribute accuracy: how complete and correct data features are described
- Logical consistency: the extent of inconsistencies and problems in the data
- Completeness: the extent and thoroughness of the data set
- Lineage: the contributors and tools used to process the data

The concept of accuracy is often confused with the concepts of *precision* and *error*. Precision, which is the reliability of values collected by taking repeated measurements of a photogrammetric image, is not addressed by existing data content standards but could fall into the *lineage* category. Error is a measure of the difference between a measured value and its true value due to mistakes or random or systematic error. Error is addressed by current FGDC standards under *logical consistency*, *lineage*, and *completeness*. Accuracy is defined as the degree of conformity of a measured value to the true value. A value that is very close to the true value has high accuracy, and a value that is far from true has low accuracy. A comparison of precision and accuracy is illustrated below (Figure 6)

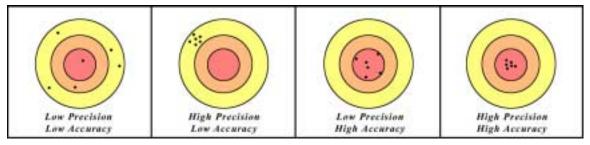


Figure 6. Measurement precision and accuracy, where the center of the bull's eye is the true positional value.

In their recent book, Wolf and Dewitt (2000:495) point out that "since the true value for a continuous physical quantity is never known, accuracy is likewise never known; therefore, it can only be estimated. An acceptable method for assessing accuracy is by checking against an independent, higher-accuracy standard."

The FGDC requests that accuracy be described using horizontal and vertical RMSE. Sophisticated softcopy photogrammetry processing software calculates horizontal and vertical accuracy RMSE during the triangulation process. It is also possible, however, to determine RMSE manually, by testing the measured GCP locations against their known ground coordinates. Horizontal and vertical accuracy statistics worksheets published by the FGDC are provided in Appendix B. To determine horizontal positional accuracy, the measured x and y coordinates of at least 20 points in the image are subtracted from the known locations (gathered from an independent source) of these points (FGDC 1998a). The difference between these coordinates is squared and added together, resulting in the RMSE. As expressed below:

 $Sqrrt((Xindependent-Xmeasured)^2 + (Yindependent-Ymeasured)^2) = RMSE$ 

To determine the 95 percent confidence level, the horizontal RMSE is multiplied by 1.96.

Vertical accuracy is similarly calculated, subtracting the measured z coordinate from the known location, then squaring the result, providing the RMSE. The 95 percent confidence level is the result of the vertical RMSE multiplied by 1.7308. In the FGDC metadata, the 95 percent confidence level RMSE horizontal accuracy statistics are entered in field 2.4.1.2.1, and vertical accuracy in field 2.4.2.2.1. Line 2.4.1.2.2. (Horizontal\_Position\_Accuracy\_Explanation) should read "National Standard for Spatial Data Accuracy." The FGDC prefers that accuracy values be reported in metric units (FGDC 1998d), but in cases when dataset coordinates are expressed in feet, such as the State Plane Coordinate system, accuracy values should be correspondingly expressed in feet.

Generally the FGDC references the photogrammetry standards of ASPRS. However, in its standards for engineering, construction, and facility management projects, the FGDC specifies horizontal and vertical feature position accuracy of 5 mm at a map scale of 1:10 for archaeological close range photogrammetry (FGDC 1998e).

In addition to accuracy, a large proportion of data integrity management is error control. Types of problematic errors in image data include incompleteness, attribute mistakes, and logical or geometric errors. Missing data layers and missing features or associated attributes within a data layer all contribute to incompleteness. It is important that all the layers of data that should be in the file are present but not redundant. Individual features in imagery files should be examined for quality. Attribute errors, include misrecorded or missing values within data fields, and omitted data fields themselves, must be identified through review and comparison to a known accurate source.

For orthoimagery, the FGDC requires that all systematic and random errors be removed to the extent that accuracy standards are met. Additionally, image smears due to stretching of occluded views in areas of high relief must be corrected as much as possible. Image brightness values between images should be matched as closely as possible (FGDC 1999:14). Gaps in images and image mosaics should be identified using visual verification and corrected if possible (FGDC 1999:15). Lineage contributes to overall data quality through image resolution and format, but most importantly in accuracy. As recently observed, final model accuracy is not only a function of the capabilities of the field measurement device, but also of the "... sampling strategy during the data capture phase of constructing a model . . . [and] the hardware constraints or the final presentation medium of the model" (Jeffrey 2001).

## CHAPTER 5 DEVELOPMENT OF INDUSTRY STANDARDS FOR OBTAINING FIELD AND LABORATORY PHOTOGRAPHS

This chapter describes the results of research and review of current techniques and workflows for obtaining field and laboratory photographs for the photogrammetric process. Because close-range softcopy photogrammetry is an emerging technology, few explicit procedural guidelines have been developed, with a few notable exceptions (Gisiger et al. 1996; Rüther et al. 2001). The goal of developing industry standards for field and laboratory workflows is to provide affordable, rapid, straightforward, and flexible approaches that can be incorporated into existing recordation, analysis, and data storage. These approaches must emphasize the accurate *geospatially referenced* recordation of complex *three-dimensional* features; for that reason, while two-dimensional image rectification is discussed, more sophisticated techniques are recommended whenever possible.

Paul Bryan (1999) of English Heritage provides a very simple description of the photogrammetric process. Essentially there are two phases: the *fieldwork*, which involves the gathering of imagery and scaling information from the object, and *photogrammetric processing*, which involves the analysis of that imagery and scaling information to generate the required data (Bryan 1999:3). All planned photogrammetric fieldwork begins with the creation of a control field around the area to be recorded. Control fields usually consist of small targets, pins, or reflectors placed at a range of horizontal and vertical locations and measured using extremely accurate measurement devices such as survey lasers, EDMs, or laser scanners. Next, images are collected at specific locations and angles, and measurements are recorded. During the processing phase, imagery is corrected and registered using computer software, then developed into stereo models, geometric models, or DEMs and orthophotos.

As discussed below, a range of photogrammetric techniques is currently in use, depending mainly upon the constraints of the object or scene to be recorded. Of the three-dimensional photogrammetric techniques considered in this project, each is suited for different circumstances and desired output, and the reader will often want to determine the most appropriate technique for a given project. To aid in decision-making, a flow chart is provided below (Figure 7).

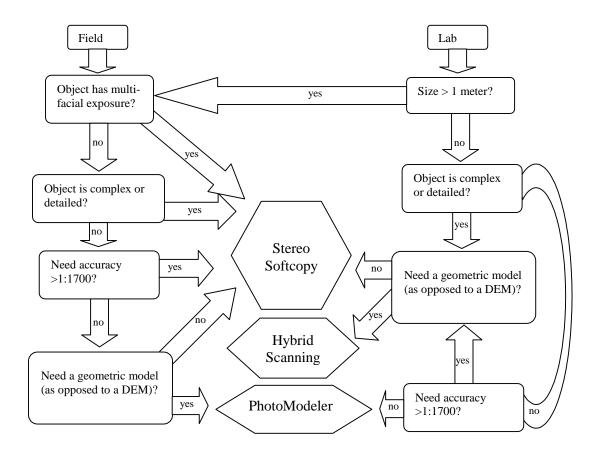


Figure 7. Decision-making flow chart for the use of various photogrammetric approaches.

#### FIELD DOCUMENTATION

Close-range softcopy photogrammetry raises the bar for recordation of complex objects and features in the field. Not only does it allow fast and thorough documentation in a wide range of environments, it provides a reliable format for later analysis, curation, and distribution. Significantly, certain analysis is actually only feasible using photogrammetric images. For example, grave removal and transport are destructive, and many fragile bones do not survive the process (Figure 8). Change analysis (comparing photographs of a scene or object over time) must also be done photogrammetrically.

Photogrammetry preserves a replica of a feature long after the feature has been destroyed, relocated, or repatriated. This replica can then itself be curated, used as part of a virtual comparative collection, or shared with colleagues for risk-free detailed analysis. Examples in which photogrammetry is necessary and cost-effective are: (1) grave removal, where time is short and features are fragile; (2) change analysis of petroglyphs, mound sites, structures, or murals; and (3) production of extremely accurate virtual scenes with true photographic texture.







Figure 8. An excavated grave in situ (left), in the laboratory (center), and photogrammetrically rendered (right).

Cultural resources data collection and recordation in the field is probably the most important and complicated challenge for the photogrammetric approach. Not only does the size, complexity, and orientation of the object vary, but the topography, accessibility, and coverage of the field environment are also varied and unpredictable. Therefore, the challenge of field collection is to adequately record photographic and geospatial information in order to obtain photogrammetric, repeatable measurements at an acceptable level of error. Field methods and workflows must be flexible, scalable, and straightforward in order to facilitate standardization.

A number of cultural and natural resources scientists have developed methods for image and geospatial data collection in the field. Techniques and workflows vary according to environment, scale, and desired results. The CIPA Rules discussed in the previous chapter (Ogleby and Wandhausl 1994) advocate the use of stereo pairs in recordation, but overall simply recommend thoroughness in the field. In practice, archaeologists are currently implementing all of the approaches previously discussed, from two-dimensional rectification to stereo and multistation monoscopic convergent photogrammetry.

#### **Two-Dimensional Imagery**

Cultural resources professionals may need to use single images for measurement and analysis in several instances. Those situations may involve old historic photographs or postcards, and flat or simple features of "minor importance" recorded less thoroughly in the field. The use and analysis of two-dimensional imagery in cultural resources is significant enough to warrant a CIPA working group (Task Group 2), with members worldwide. Besides allowing reasonably accurate planimetric measurements, two-dimensional images can aid in temporal change analysis (by registering two images taken at different times) and through creating mosaics (knitting two or more images together to produce a seamless aggregate).

#### Recommended Two-Dimensional Rectification Techniques

Many cultural resources professionals have performed two-dimensional single-image rectification for cultural resources features. In one notable example, the University of Virginia photographed the walls of a structure at Pompeii simply by recording a number of reflective targets with a Total Station survey laser, then shooting many, very large-scale photographs and registering each image to the survey data. Although accuracy was reported to be as good as .07 mm for the resulting model, this in fact described the *measurement precision* of the digital image. That high accuracy was lacking is evidenced by later, more rigorous three-dimensional modeling in subsequent years (Eiteljorg 1995). Nevertheless, archaeologists and architects regularly use single-image rectification to derive fairly accurate and useful two-dimensional measurements with very little geospatial control. Archaeologists at Archaeological Mapping Specialists perform single-image rectification using four or more target pins as a control field (Dore, personal communication 2001).

GMI conducted a simple pilot study with an off-the-shelf digital camera to assess the twodimensional image rectification technique. Photographs were taken from directly above an excavated grave, as well as from angles varying up to 45 degrees around the grave. For geospatial control, a number of GCPs were recorded with a Total Station survey laser. The images were imported into ESRI's ArcView as Image Analysis layers, and registered to true geographic space using the GCPs as a point theme. The pilot study was somewhat successful in rectifying images taken perpendicular to the plane of interest, but performed poorly with oblique photographs. Even after registration of an ideal image, image GCPs deviated from their known x,y locations by between 1 and 3 cm. Understandably, simple horizontal measurements were relatively accurate considering the minimal processing, often matching known coordinates within 1 cm. However, oblique measurements introduced error of up to 200 percent. Other image rectification applications might be better suited to such material; however, the fact remains that only very limited measurements and analysis can be performed on such material. Thus, the most important aspect of field collection for two-dimensional image rectification is clearly that the images be recorded perpendicular to the most important surface. Oblique and angled photographs have at best limited metric utility.

#### Recommended Two-Dimensional Rectification Workflow

The workflow for collection of two-dimensional images for photogrammetric use is made up of five steps: camera set-up, control field placement, image capture, control point measurement, and image processing. Guidelines for thoughtful and accurate photography and processing are also clearly described by the National Park Service (Burns 1989; NPS 1996).

#### Camera Set-Up

For two-dimensional image rectification, photographs must be taken as close to perpendicular as possible. Scaffolding, a tripod, or another secure structure should be used to ensure a good perpendicular angle.

#### Control

At least three ground control points (GCPs) must be defined in each image for rectification. Small, well-marked targets or easily recognizable features on the object should be laid out prior to photography, taking care to spread GCPs to the edge of each photo frame.

#### Image Capture

During image capture, the camera settings should be kept constant if possible. Lighting, object distance, angle, and scale must be homogeneous between multiple images, if they are to be mosaicked or otherwise combined.

#### Measurement

As specified in the CIPA 3x3 Rules, at least two linear measurements should be collected while in the field for later reference. Additionally, each GCP coordinate must be measured with an accurate measuring device such as a Total Station. The Total Station coordinates must be referenced into a real-world coordinate system, either by tying the survey to a known benchmark or to a GPS point. The accuracy of this benchmark or GPS reading will determine the overall absolute accuracy of the feature's geospatial location.

#### **Computer Processing**

After field collection, images are imported into an image rectification application, such as the Image Analysis extension within ESRI ArcView. After any color balancing or other image correction, the image is rubber-sheeted by specifying the coordinate locations of the measured GCPs (Figure 9).

Supplementary field measurements can be used for blunder checking. The rectified image can then be saved under a new name, and exported in almost any image format, including jpeg (.jpg) and tagged image file format (.tiff). In a CAD program (AutoCAD or Microstation) or a GIS, the image may be used as a base map for two-dimensional line drawings (Burns 2000).

#### Recommended Two-Dimensional Rectification Equipment

Camera: A 3 megapixel or better digital camera is recommended, but any still camera

may be used.

Software: The Image Analysis extension in ESRI ArcView is commonly used, but many

other CAD and imaging programs can also be used.

Workstation: Any modern desktop PC may be used.

Point collection: A Total Station is recommended for point collection. Other devices may

provide better results (see Lasergrammetry, discussed below).

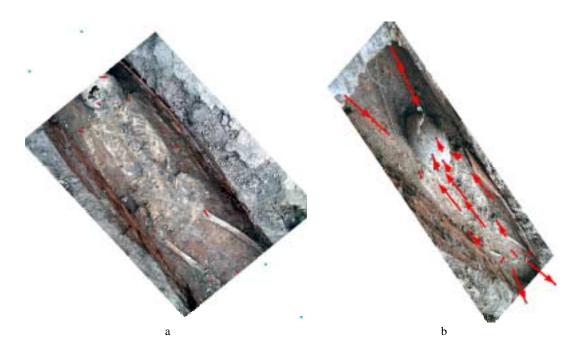


Figure 9. (a) Rectified perpendicular photograph, using 12 GCPs; and (b) oblique photograph, using 18 GCPs. Red lines indicate RMSE values, which are unacceptably large in the oblique image on the right.

#### **Three-Dimensional Photogrammetry**

Using three-dimensional photogrammetric technology, a few well-funded institutions have successfully documented very large cultural resources. The University of Melbourne and Chulalongkorn University in Bangkok developed, over several years, detailed three-dimensional models of the ancient city of Ayutthaya, Thailand, using photogrammetry. Their approach untilized both stereo and multistation monoscopic convergent photographic techniques, and used close-range and aerial photography. Control points consisted of numbered targets and known features measured with a survey laser, as well as GPS measurements taken for absolute geospatial control (Ogleby 2001).

Another multinational university team has recorded architectural structures using off-the-shelf digital cameras, and utilizing numbered targets and known features as control points. The crew calibrated all digital cameras at preset focal lengths prior to use, to account for radial distortion. Simple multistation monoscopic convergent photogrammetry software (Photomodeler and Australis) helped create an accurate three-dimensional model, with photogrammetric triangulation accuracy of about 1.5 cm (Rüther et al. 2001).

In experiments with feature-level documentation, a Japanese team recorded a large stone turtle feature using a heterogeneous methodology. Their procedure consisted of measurement, data processing, and integration. The team used a Total Station survey laser and a laser scanning device to collect measurement data and minimal texture information, then used stereo photogrammetry to complete the imaging process. A series of targets placed across the feature aided in image matching. The project resulted in a three-dimensional model in both CAD and virtual reality markup language (VRML) formats (Imura et al. 2001).

#### Recommended Stereo Photogrammetry Techniques

Stereo close-range photogrammetry is a flexible photogrammetric approach, in that it can record objects of almost any size and shape. By overlapping photography of an object or scene, then referencing points in the overlapping regions to a number of well-defined control points, the data can be used to create either a "topographic surface" of the object, or a stereo model to be viewed through 3D glasses. Archaeologists all over the world are already using stereo close-range photogrammetry to document, analyze, and reconstruct cultural resources. Seyed Yousef Sadjadi of the University of Glasgow conducted a feasibility study for close-range photogrammetric recordation of cultural monuments, photographing for a variety of potential photogrammetric transformations, but focusing on stereo pairs. Sadjadi (1998) used digital cameras and a Total Station survey device to successfully record a historic abbey.

GMI conducted its own pilot study to assess stereo close-range softcopy photogrammetric recordation in the field. A feature-sized object was selected for recordation. Objects of smaller size are technically feasible for stereo photogrammetric recordation, but measuring control points to an acceptable accuracy on a small object presents challenges. Objects over a large area and at many different angles would present additional challenges for set-up, lighting, and angle. An excavated historic grave was chosen as the subject, and standard construction scaffolding provided a structure for photography. For image capture, technicians used an off-the-shelf 3.3 megapixel digital camera (Olympus 3030). GMI processed the imagery using ERDAS' relatively inexpensive mainstream remote sensing software, which has softcopy photogrammetry capabilities; however, the similarly priced Image Processing Software softcopy photogrammetry software could also be used. A Dell Precision 420 graphics workstation, with a 730 MHz processor, 1 Gb RAM, and a 30 Gb hard drive was used for processing. A 3D graphics card was necessary for viewing in stereo, as well as stereoscopic glasses and an emitter.

The pilot study resulted in the successful production of both stereo and topographic models of the grave that rivaled hand measurements for accuracy, and that could be converted to a variety of file formats. Because of the complexity of processing close-range data, novice/nonspecialists probably cannot effectively perform stereo softcopy photogrammetry without some initial guidance. Image processing requires some understanding of the principals of photogrammetry, as well as experience with the software. *Field collection*, however, is fairly straightforward and could certainly be performed by anyone given a few hours training.

#### Recommended Stereo Photogrammetry Workflow

The workflow for collection of stereo images for photogrammetric use is made up of six steps: camera calibration, scaffolding set-up, control field placement, image capture, measurement, and image processing.

#### Camera Calibration

Camera calibration for off-the-shelf cameras is strongly recommended to alleviate distortion issues such as radial distortion common in low-end cameras. For traditional metric photogrammetric cameras, calibration is done semi-annually by professionals. Simple in-house

camera calibration, however, can easily be done for any off-the-shelf camera. Zhengyou Zhang of Microsoft Research has developed one simple technique for calibration (Zhang 1999). Several small shareware applications are also freely available to users for in-house camera calibration, including Camera Calibration Toolbox for Matlab, available at http://www.vision.caltech.edu/bougueti/calib\_doc. Calibration is a relatively simple process. A three-dimensional target is fabricated (e.g., a board with blocks secured to it) and very dense and accurate positional control data are taken for the target. The camera is then set to the desired focal length (which must then be maintained throughout image capture in the field) and photographs are taken. The software guides the user through the image rectification process, which determines the amount of distortion in the camera and produces a calibration file. This calibration file information will then be imported during any image orientation process.

#### **Scaffolding**

The first step once in the field is to arrange a structure around the object or feature that allows the placement of "flight-lines" along or over it. A rigid beam or pipe can be used to create the flight line. The camera is then secured to the beam and moved along the flight-line. Scaffolding makes a desirable structure because it is strong and can be expanded to almost any scale (Figure 10).



Figure 10. Scaffolding supporting a "flight-line" over a target feature.

#### **Control**

A control grid must be created throughout the area of interest, including all areas that will be captured in each photographic frame. Three to six ground control points should fall in each frame, and control points should be distributed across a wide range of x, y, and z values. In areas of great topographic relief, a greater density of ground control points must be placed. Control points should be clearly identifiable targets with an obvious center point. Thumbtacks with cross hairs might be used, or smaller, less conspicuous targets can be used for very close range photography.

#### Image Capture

After erecting a simple scaffolding apparatus over the feature, operators attach a digital camera to a leveling camera mount suspended underneath a horizontal crossbeam, and the camera is moved down the crossbeam, taking several overlapping sets of photographs (stereo pairs) of the feature (Figure 11).



Figure 11. Image capture for stereo photogrammetric analysis.

Each stereo pair must overlap at least 60 percent. This is calculated by eye, and by setting the appropriate camera base/object distance (base/distance) ratio (Figure 12). This ratio will vary by focal length; with a 6.5-mm digital (32-mm standard) focal length, a 1:3–1:4 base/distance ratio is sufficient. Focal length and other camera settings must be noted and kept constant throughout the photography process. Image quality should ideally be set to maximum quality .tiff format on the camera. Lower-resolution images such as .jpg format, however, can also be used. "Flying height," or object distance, is determined from a single measurement from the camera body to a control point using a metric tape.

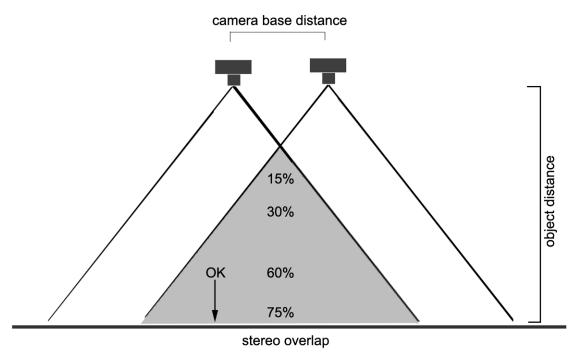


Figure 12. The camera base/object distance concept.

#### <u>Measurement</u>

After photography is complete, each ground control point must be carefully measured using a reliable survey device. The smaller the feature, the more accurate the device must be to provide acceptable error in the final product. Trials using a Criterion ranging survey laser on a unipod, though claiming 1-inch accuracy, failed. A Total Station survey laser is well suited to feature-level recordation (Figure 13). A small peanut prism minimizes the range of error while shooting each ground control point. A reflectorless Total Station could produce even finer accuracy. The Total Station coordinates must be referenced into a real-world coordinate system, either by tying the survey to a known benchmark or to a GPS point. The accuracy of this benchmark or GPS reading will determine the overall absolute accuracy of the feature's geospatial location. Several additional measurements should be taken while in the field. As recommended in the CIPA 3x3 Rules, measuring the length and width of the feature, as well as a few distances between control points, helps in later rectification, error analysis, and blunder checking.



Figure 13. Total Station used to survey grave location.

#### Computer Processing

Four ERDAS software products are used to process the photographs. OrthoBASE rectifies the photographs to the control point data; StereoAnalyst creates stereo models and is used for measurement and surface point definitions. The IMAGINE software package performs surfacing and DEM production. VirtualGIS is used for viewing the virtual model. The first step in image processing is image adjustment, during which the user matches colors and brightness throughout the block of images. Next, the images are imported into an OrthoBASE project, where interior and exterior orientation is performed by specifying camera information, any calibration information, and ground control points. Triangulation is run to complete exterior orientation. The triangulation function reports positional accuracy in the form of RMSE, and also produces a report describing iterations, residuals, excluded points, and blunders.

In StereoAnalyst, the operator can now do both qualitative and quantitative analysis with the perception of depth. In order to orthorectify the images, the user can define "mass points" throughout the image to describe the relief of the feature. These mass points are then used to generate a terrain surface, or digital elevation model in IMAGINE. The photographic images can be overlain on top of the DEM in VirtualGIS to see a three-dimensional model of the feature (Figure 14), which can be navigated and exported as an image, movie, or VRML.



Figure 14. VirtualGIS view of the orthorectified image mosaic and DEM.

Final products include two basic models. One product consists of stereo models; that is, two rectified overlapping photographs that, when viewed through proper eyewear, give the impression of depth (the z value), and allow users to measure and analyze objects in three-dimensional space. The other product is a virtual model created from the combination of a DEM of the object and mosaicked photographs of that object. This model can be navigated like geographic topography, transformed into movie footage, or exported in VRML format for unlimited distribution. Technically, multiple DEMs or 3D shapefiles generated from convergent stereo pairs can be combined to create a more complex mode.

Field collection depends on the complexity of the subject. Collection for a grave, including setting up and taking down scaffolding, photography, and point collection using a Total Station theodolite, takes a couple of hours. Image processing requires approximately 1.5 days.

Recommended Stereo Photogrammetry Equipment Specifications

Camera: 3 megapixel or better digital cameras are highly recommended, but any camera

may be used.

Software: The ERDAS IMAGINE, OrthoBASE, StereoAnalyst, and VirtualGIS; or

similar software such as the Image Processing Software, Inc., OrthoMapper

and Surface Mapper.

Workstation: A Pentium II+, running Windows NT or 2000, with 128 Mb+ RAM, 2 Gb+

hard drive space, Open GL 1.1, and 100–120 Hz screen refresh rate is required.

Point collection: A Total Station is highly recommended. Other point collection devices may

provide better results (see Lasergrammetry, discussed below).

#### Recommended Multistation Monoscopic Convergent Photogrammetry Techniques

Multistation monoscopic convergent imagery has not been definitively proven to be more accurate or of better quality than carefully processed stereo imagery. However, it has some advantages over stereo photogrammetry in the field. Although convergent imagery is not appropriate for recording excavations and scenes, it works well for documenting large exposed objects. Because it is more geometric in nature, models produced from convergent imagery are often more geometrically correct than stereo models. Convergent imagery is less rigid in camera location and requires no flight-lines. It is also less expensive and requires less equipment than stereo processing. Several software applications have been developed for processing multistation monoscopic convergent imagery into three-dimensional models. The EOS Systems PhotoModeler is the most widely used, but others include Applied Digital Vision built by Stellacore Corporation, 3D Builder from 3D Construction Company, ShapeQuest's ShapeCapture, and Australis developed at the University of Melbourne. PhotoModeler is used in archaeology, historic preservation, biology, engineering, and forensics. The approach has been assessed by a number of researchers and found to work favorably when objects can be photographed from many directions, but is significantly less accurate in constrained environments, due to the lack of highly convergent angles (Bottrill et al. 1998). PhotoModeler was assessed in part because of its unparalleled popularity among archaeologists, biologists, and architects for recording both small and very large objects.

The Delft University of Technology has used multistation monoscopic convergent techniques to record buildings and petroglyphs using primarily the PhotoModeler package (Heemskerk 1998). Archaeologists from Princeton University have also used convergent photogrammetric documentation for field recordation. Lawrence Desmond and a multinational team documented a Maya arch in the field and produced line drawings of the arch façade after processing the imagery in PhotoModeler (Desmond et al. 2001). In an attempt to incorporate inexpensive photogrammetric techniques into their existing archaeological site surveys, archaeologists from Brown University successfully used PhotoModeler and a Total Station laser to record the Great Temple at Petra in Jordon, with accuracy between 2 and 10 cm. Through experimentation, the team found that digital camera image capture was more efficient and effective than film cameras (Vote 1999).

In comparison to other equivalent software packages, PhotoModeler is considered to be more accurate and automated, but has not been found to have the same image quality as stereo photographs (Mills and Peirson 2001). According to architectural photogrammetrist Borges (personal communication 2001), "PhotoModeler is good for what it is, which is a modeling program."

#### Recommended Multistation Monoscopic Convergent Photogrammetry Workflow

The procedure for collection of multistation monoscopic convergent images for photogrammetric use is made up of four steps: control point placement, image capture, measurement, and image processing.

#### Control

A control grid is not necessary to create a convergent model; however, at least one georeferenced point is necessary to georeference the final product, and a few control points will aid in error reduction. Control points should be clearly identifiable targets with an obvious center point. Thumbtacks with cross hairs might be used, or smaller, less conspicuous targets can be used for very close range photography.

#### Image Capture

At least three images must be collected to produce a multistation monoscopic convergent model. During image capture, the camera settings should be kept constant if possible, and lighting and object distance should be homogeneous between multiple images. Camera focal length absolutely can not be changed during recordation. Camera angles, however, should be as widely divergent as possible. This improves the overall object geometry in model production.

#### Measurement

After photography is complete, any ground control points must be carefully measured using a reliable survey device such as a Total Station. The Total Station coordinates must be referenced into a real-world coordinate system, either by tying the survey to a known benchmark or to a GPS point. The accuracy of this benchmark or GPS reading will determine the overall absolute accuracy of the feature's geospatial location. Several additional measurements should be taken while in the field. As recommended in the CIPA 3x3 Rules, measuring the length and width of the feature, as well as a few distances between control points, helps in later rectification, error analysis, and blunder checking.

#### Computer Processing/Model Production

Collected images are imported as a group into the PhotoModeler Pro application. The user is then prompted to solve interior orientation by photographing a simple two-dimensional target with the field camera at the same settings used to photograph the object. This image is imported as calibration information. Exterior orientation is performed by specifying control points and by defining a number of tie points between images. The number of tie points varies according to the amount of detail and relief in the area. Lines, surfaces, and simple shapes can be applied to the image, as defined by the operator. When prompted, PhotoModeler attempts to solve the geometry of the photographs, creating a three-dimensional model. Model success, and the

estimated positional accuracy of each point, is reported to the operator for review and correction. After creation, the model may be measured within PhotoModeler, or exported in a variety of CAD-compatible formats (DXF, 3DS, Wavefront OBJ, IGES, RAW), or as a VRML.

Recommended Multistation Monoscopic Convergent Photogrammetry Equipment Specifications

Camera: A digital camera is recommended, but any still or video camera may be used.

Software: PhotoModeler Pro is the most widely used low-end application.

Workstation: Any modern desktop PC may be used. Pentium processor, 16 Mb RAM, 30 Mb

free hard disk space, 800 x 600 screen resolution, and a CD-ROM drive.

Point collection: Rectification is done by hand with tie points. Control points may be included,

and a Total Station is recommended for such point collection. Other devices

may provide better results (see Lasergrammetry, discussed below).

#### Lasergrammetry for Improved Geospatial Control

Lasergrammetry is the term applied to laser scene capture/laser scanning, and many photogrammetrists are following advances in laser scanning with interest. While similar to photogrammetry in some respects, lasergrammetry operates on the reverse principle. The technique is almost entirely based on the collection of spatial positions using a laser; automated collection of hundreds of thousands of closely spaced points is performed by a high-end stationary laser device. Much like a surveyor's theodolite, the device emits laser pulses across a specified area, and returns x,y,z locational data as well as reflective qualities expressed as a false-color map. Leading laser scanning devices can provide higher relative and absolute geospatial accuracy than photogrammetry; however, for a photorealistic texture, a photograph must be carefully registered to control points in the point cloud.

Because lasergrammetry collects reflective data, it technically qualifies as photogrammetry under Wolf and Dewitt's (2000:1) definition, which includes "patterns of recorded radiant electromagnetic energy and other phenomena." Point density, at a minimum of roughly 1-mm spacing, however, cannot compete with the more complete photographic image. Also, lasergrammetry equipment costs 10 times that of other methods discussed and is very complicated to process. For cultural resources firms, and for most photogrammetrists, outsourcing or rental is more feasible.

Lasergrammetry does have one overwhelming benefit; the laser point cloud data can be used for very dense and accurate DEM production, then used in imagery orthorectification, increasing the overall accuracy and quality of stereo photogrammetric orthorectification. In a recent study, photogrammetrists chose to use lasergrammetry for mass point generation, explaining, "... as far as terrestrial applications are concerned, laser scanner devices guarantee different acquisition accuracies ranging from 5 mm (e.g., CYRAX 2500) to 25 mm. . . . These instruments are fully portable sensors, specifically designed for the acquisition of 3D images. . . . the dense DEM generated by a laser scanner device can be considered the optimal solution for a correct and complete 3D description of the shape of a complex object, both from the technical and

economical points of view" (Boccardo et al. 2001:52). Cyra Technologies' Cyrax laser scanner is probably the most widely used and notable example of such a device (Figure 15). Cyrax has been used in HABS Level 1 and other historic building recordation (Pahel 2001), and in high-risk oil and gas projects. It is most appropriate when cost is less important than lost time, or when fine resolution and extremely high accuracy are necessary.

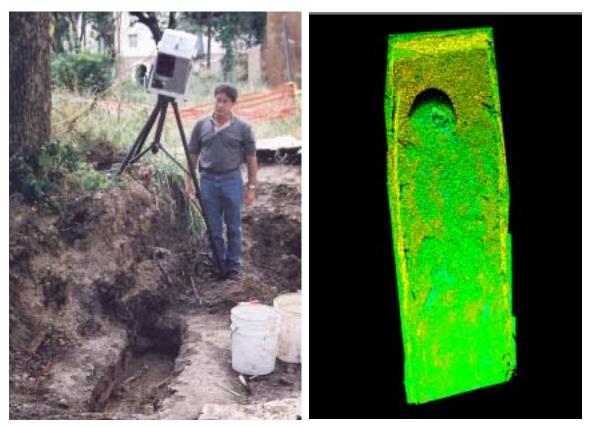


Figure 15. The Cyrax (operated by L3D Corp.) scanning a grave excavation, and the results.

The Cyrax can collect points as closely spaced as 1 mm, but is often used at 2–3-cm density. Absolute (on the earth) accuracy is 5 cm (up to about 1,000 feet from the device), but relative (in relation to other points) accuracy is 2 cm or better. Scans are immediately viewable on a laptop computer, during and after scanning. Three hemispherical targets placed around the scene and recorded with a Total Station provide georeferencing. After cleaning and registering the point clouds, the point data are usable in MicroStation, and also with some work in the ESRI products (3D Analyst and ArcScene). However, file size (400,000+ points) makes it poorly suited for use in the ESRI environment. Lasergrammetry done by the Cyrax is most effective on an architectural or scene scale. Detailed objects less than five feet in size are feasible but not spectacular. Objects such as large statues, arches (Figure 16), buildings, trees, and views (of many grave stones, for instance), are Cyrax' forte.



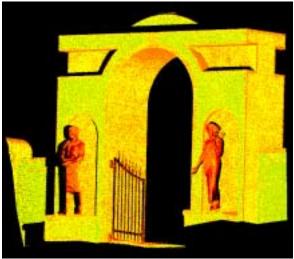


Figure 16. The Freedman's Cemetery Memorial arch, photographed (left) and scanned (right).

Field collection is rapid, automated, and accurate, and the false-color rendering of each point creates excellent detail. Lasergrammetry is much faster and more accurate than either hand-measurement or traditional survey (with a survey laser). It also creates a much more thorough record than these more subjective alternatives. In dangerous or high (financial) risk environments, lasergrammetry is crucial. Examples of the necessary and cost-effective use of lasergrammetry are (1) HABS Level 1 building survey; (2) a detailed map of an entire structure, inside and out; and (3) extremely expensive or dangerous engineering surveys and feasibility projects.

#### LABORATORY DOCUMENTATION

Archaeologists expect detailed laboratory documentation. Currently, controlled photography and line drawings are done as a matter of course. A variety of inventive documentation techniques has been attempted by archaeologists worldwide. For instance, a Japanese university team successfully recorded a large earthenware artifact by surrounding it with three digital video cameras, calculating the relative positions of each camera, and resolving the geometry of the artifact (Hosomura and Ohta 2001). A British company offers high resolution laser scanning of artifacts. Still stereo photography in a control frame is one straightforward and useful method developed by the Center for Advanced Spatial Technologies (CAST) at the University of Arkansas, Fayetteville. Another emerging technology is hybrid laser scanning, which combines laser data and imagery to automatically generate photorealistic three-dimensional models.

Both the two-dimensional and three-dimensional photogrammetric image capture techniques described in Field Documentation are also appropriated for some lab recordation as well. The controlled environment of the laboratory setting, however, also facilitates other approaches, discussed below.

#### **Recommended Stereo Photogrammetry Techniques**

In 1996, a team at CAST at the University of Arkansas, Fayetteville, developed a technique for accurately recording both small and large objects in a laboratory setting for stereo photogrammetric processing. Using a prefabricated control grid and camera mount system, a variety of objects was recorded to an accuracy of less than a millimeter for beads and pendants, to a centimeter or more on larger artifacts, all at a scale of about 1:20. The project, called *Development and Implementation of a Rapid Low-Cost Photogrammetric Data Archival System for Artifact and Osteological Inventory* (Gisiger et al. 1996), was intended to be simple and inexpensive. Although the recordation methods recommended were inexpensive, image processing, however, was carried out on "a top of the line system" including a photogrammetric workstation and high-resolution scanner. The authors explained that they did not expect organizations to be able to incorporate the processing technology, but that they should "start documenting their collections for the day such systems become affordable" (Gisiger et al. 1996:51).

Although the experiment did not succeed in developing an entirely low-cost procedure, it did make an important breakthrough in stereo softcopy photogrammetric recordation. The team used off-the-shelf cameras and supplies for image capture referencing, and illustrated the feasibility of recording quantities of artifacts photogrammetrically in a laboratory.

#### Recommended Stereo Photogrammetry Workflow

The recommended workflow presented here draws heavily upon the CAST methodology presented in their 1996 document (Gisiger et al. 1996). Two fundamental steps, however, have been modified based on recent advances. First, instead of the high-end photogrammetric workstation used in the CAST project, this document recommends the relatively low-cost systems now available, such as the ERDAS suite (IMAGINE, OrthoBASE, StereoAnalyst, and VirtualGIS), or the Image Processing Software, Inc., OrthoMapper/Surface Mapper. Secondly, while the CAST team experimented with and recommended film cameras, this document recommends digital image capture devices for two reasons. Film cameras introduce film plane distortion, previously mentioned, and scanning film for digital processing as CAST did is time-consuming, expensive, and introduces additional image degradation. Thus, the recommended stereo photogrammetry workflow includes five basic steps: camera calibration, control frame setup, object preparation, image capture, and processing.

#### Camera Calibration

As with image collection in the field, camera calibration will improve final RMSE results during image processing. Calibration can be conducted in the same manner described for field collection, using simple software and in-house techniques.

#### Control

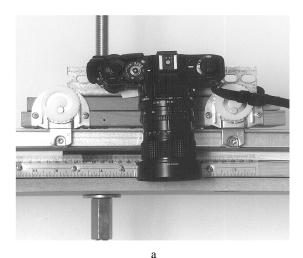
A permanent control frame is constructed of glass, Plexiglas, or wood, and marked with gridlines or a number of known points. Stacked control objects, such as block pyramids, are used to provide a range of horizontal and vertical control points. Gridline intersections and targets on the blocks serve as ground control points.

#### **Object Preparation**

Depending on its complexity, detail, and texture, the artifact must be prepared to provide the best possible image. Crosshairs can be added to an otherwise uniform object, and different lighting arrangements can minimize reflection and shadows. Detailed descriptions of lighting arrangements and object positioning are given in the CAST report (Gisiger et al. 1996).

#### Image Capture

The camera is mounted in a secure track and aligned with the center of the control frame. It is then shifted slightly to either side of that center point, where the images are taken. The CAST team developed an ingenious and simple method for securing the camera on a track, both horizontally and vertically, while maintaining a flexible object distance, shown below (Figure 17). A similar frame and a standard jointed leveling camera mount, such as the unit used for field stereo image capture, can also be used. The object distance ("flying height") is determined from a single measurement from the camera body to a control point, using a metric tape.



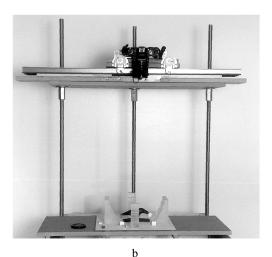


Figure 17. Camera mounts and structures designed by CAST: (a) mount for horizontal and vertical close-range stereo photography; and (b) vertical photography control structure (from Gisiger et al. 1996:Figures 22 and 23).

#### **Computer Processing**

Photo processing should be conducted similarly to the processing described for field image capture. As with the former example, imagery is imported into the ERDAS software suite and corrected using basic camera information, such as focal length. Exterior orientation is performed using the ground control points defined on the control frame. In order to provide real-world coordinates, however, a known coordinate from the artifact's original provenience must be applied to the local control frame coordinates. This simple transformation will georeference the artifact. In StereoAnalyst, the operator defines mass points across the object surface, from which he generates a DEM. The DEM is applied to the imagery in OrthoBASE, creating orthorectified photographs. Images can be analyzed in StereoAnalyst, or as three-dimensional terrain in VirtualGIS, and converted to VRML files for distribution.

#### Recommended Stereo Photogrammetry Equipment Specifications

Camera: 3 megapixel or better digital cameras are recommended; however, CAST

recommended 35-mm film cameras and a film scanner.

Software: The ERDAS IMAGINE, OrthoBASE, StereoAnalyst, and VirtualGIS; or similar

software such as the Image Processing Software, Inc., OrthoMapper and Surface

Mapper.

Workstation: A Pentium II+, running Windows NT, with 128 Mb+ RAM, 2 Gb+ hard drive

space, Open GL 1.1, and 100-120 Hz screen refresh rate is required.

Point collection: The control frame, once constructed and measured, provides permanent point

collection.

#### **Recommended Automated Convergent Photogrammetry Techniques**

Automated convergent recordation, which produces a true three-dimensional geometric model of an object, has developed from the field of laser scanning. Laser scanning has been used to record detailed objects in engineering and medicine for many years, but is only recently being incorporated into cultural resources workflows. A number of relatively inexpensive portable scanners now available can collect hundreds of thousands of positions on the surface of an object by scanning the surface with a laser stripe. This process essentially mirrors large-scale lasergrammetry, in that it creates a very accurate model but does not automatically incorporate photographic data.

A few archaeologists around the world offer laser scanning. Stanford University successfully recorded several Michelangelo statues in Florence using Cyberware laser scanners. This project, entitled the Digital Michelangelo Project, was used to do minute evaluation of workmanship on the statues without touching them (*National Geographic* 2000). Archaeoptics Ltd., in Great Britain, uses a Polhemus handheld laser to scan artifacts and small features to about 1 mm accuracy. Archaeoptics applies this technology to recording, measuring, decay monitoring, and curation (Archaeoptics 2001). The concept of such recordation is interesting because it automatically generates a fine three-dimensional polygonal mesh that can be exported to CAD, 3D modeling programs, and VRML. One of the other primary advantages of scanning is the increased speed at which objects can be recorded. Automation also reduces the amount of

training necessary for users. Technicians need not be photogrammetrists or even archaeologists to adequately record a variety of artifacts. The process is totally objective and replicable, and should not be operator-dependent, reducing technician-error in artifact recordation and analysis. True photographic, image-based, object scanning, however, has not been available until very recently.

The computer gaming industry is currently developing a new form of automated scanning, called hybrid 3D scanning (or occasionally Nintendogrammetry). Hybrid 3D scanning is a breakthrough in low-cost automated object recordation. The process documents artifacts faster and in greater detail than any previous methodology. Like other close-range softcopy photogrammetry approaches, this technology mitigates the repatriation problem, creating a digital replica of objects that otherwise cannot be retained for later comparison or analysis. Entire collections of artifacts can be stored digitally in a database for later easy access. The technique is cost-effective in any situation in which a number of artifacts must be carefully recorded or drawn. Examples in which hybrid scanning is useful include (1) curation of comparative collections after artifacts have been curated or repatriated; (2) thorough documentation of very complex objects; and (3) distribution of virtual models for analysis.

Immersion Corporation's LightScribe 3D hybrid-scanning device, unveiled in November 2000, is currently the leading hybrid scanner. The LightScribe system consists of a digital video camera and a turntable. The camera automatically photographs the object as it rotates on the turntable. An array of flood- and backlights can be adjusted to provide the best image quality. Dedicated software records the image collection and guides the user through the modeling process. No control points are necessary because the camera is first visually calibrated using an included grid. Additional shape data may be collected with a laser stylus and added to the digital model. The software automatically solves the object's geometry and builds a complex array of polygons representing the surface. Photographic texture collected during the scan is then registered to the surface, resulting in a realistic 3D model.

The LightScribe can accommodate objects up to 1 m in size and as small as 5 cm. The LightScribe is appropriate only for the lab environment because objects must be placed on the turntable for recordation, and controlled lighting and a computer are necessary. This requires, in most cases, that artifacts first be removed from the field and recorded in a permanent or mobile laboratory setting. Also, the camera currently available in the LightScribe package unfortunately has below average resolution (640 x 480); therefore images are not particularly sharp. A variety of methods can be used—such as minimizing the number of photo texture frames applied to a fairly bifacial object—to alleviate this problem, but the most impressive improvement will likely come when Immersion offers a better quality camera in the package.

GMI assessed this technique by using two projectile points and a historic Coca-Cola bottle. Production time varied according to the complexity of the object, but scanning and model creation generally averaged under two hours from start to finish. Overall, scanning and processing went very smoothly and were clearly understandable to a novice. Each object model can be saved in several different file formats for easy analysis in other programs, including .wrl (VRML) and .3ds (3D Studio). The system, while highly automated, is also flexible enough for some image manipulation and model refinement. Accuracy is excellent, but resolution is a little disappointing for very small objects (Figure 18).



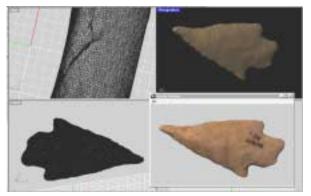


Figure 18. A 5-cm long projectile point (left) and the scanning results (right) as a three-dimensional model in Rhino.

The 3D models, when viewed on the computer screen, represent a good likeness of the original artifacts, and when compared to similarly capable scanning devices, the LightScribe is very affordable. Object scanning and model building went smoothly and rapidly, with manageable file sizes. Using shareware or demonstration copies of more sophisticated applications, files can be distributed on disk or over the Internet to almost anyone.

Recommended Automated Convergent Photogrammetry Workflow

#### Calibration

The system must first be calibrated using one of three included calibration boards, depending on the size of the artifact to be recorded.

#### **Image Capture**

The artifact is placed on the center of the turntable, on a plastic riser. All lights are dimmed except the backlighting, and using a wizard-driven procedure, the turntable is rotated once while the video camera collects silhouette information. The lights are then turned back on, and the turntable again rotated to collect a series of photo textures of the object. Lighting control is of utmost importance during this step and determines the final model accuracy and image quality. For complex concave areas, a handheld laser stylus (like a laser pointer) can be used to collect geometric information.

#### Computer Processing/Model Production

The LightScribe software guides the user through creating a geometric model in the form of a polygonal mesh from these data. The several hundred thousand points that produce the model are collected from the silhouette data. Poor photographs may be deleted manually by frame. The included software allows several different export file types, including VRML and all standard modeling formats. End products consist of 3D models in various forms. The simplest format is a

VRML model, which cannot be measured, but can be distributed free of charge (Figure 19). Other formats include a variety of CAD and 3D Non-Uniform Rational B-Splines (NURBS) Modeling software application formats, which allow measurement, manipulation, and rendering.



Figure 19. A Coca-Cola bottle with some encrusted mud, shown as a three-dimensional model in VRML format.

The resulting models can be rescaled or rotated in any direction. All characteristics can be measured in the .3ds or .dxf file formats, and photographic image quality can be enhanced and improved using photo editing software. Georeferencing of the object coordinate system cannot be performed during the initial modeling process, but is possible in related applications such as 3D Studio (Wilson, personal communication 2001).

#### Recommended Automated Convergent Photogrammetry Equipment Specifications

Camera: A digital video camera is included, with a backlit screen, floodlights, a

turntable, calibration equipment, and a laser stylus.

Software: LightScribe processing software, bundled with the device.

Workstation: Most cultural resources firms already have the computer equipment needed to

process the data collected by the LightScribe. The LightScribe package

includes all necessary lighting, cables, and calibration equipment.

Point collection: Automated through silhouette data, and manual using the laser stylus.

#### **ERROR ANALYSIS**

The issue of error in photogrammetric imagery is complicated to address, but at the same time is an issue of great concern to many softcopy photogrammetrists. The existing data quality and accuracy standards applicable to close-range softcopy photogrammetry were addressed in Chapter 4. The more qualitative data quality concepts are universal and should be adopted for close-range softcopy photogrammetry as well as for any geographic mapping projects. Positional accuracy in photogrammetric imagery, however, can be refined for the application of close-range softcopy photogrammetry.

GMI's review of experts worldwide drew a range of responses (see Appendix A). Most indicate that an accuracy "threshold" should not be instituted, due to the wide range of project scales, client needs, and photogrammetry techniques. Those using the PhotoModeler approach are particularly wary of accuracy regulations, as the technique, while not reliably accurate, is the most accessible technology for simple photogrammetric recordation. GMI recommends that the ASPRS Standards for Large-Scale Maps concept be considered as the model for target accuracy levels. Sophisticated softcopy photogrammetric techniques may easily surpass Class I thresholds, while simpler approaches may struggle to reach Class III levels. However, as a consensus cannot, for the time being, be built among experts using the technology in cultural resources, it is more critical to standardize the testing and reporting of positional accuracy, rather than thresholds.

With this in mind, the Error Analysis section presents four examples of accuracy research and reports for two-dimensional image rectification, multistation monoscopic convergent photogrammetry, stereo photogrammetry, and hybrid scanning. These examples should indicate the *lower* levels of accuracy that might be expected for each technique (except in the case of PhotoModeler, where ideal accuracy is reported) and will illustrate some of the testing and analysis that has already been done. As has been noted, some photogrammetrists report their accuracy in the manner 1:1000, 1:5000, etc. This reporting method is called "relative error" and is a function of positional accuracy to object distance. For data reporting and metadata, GMI recommends a combination of image scale, determined from the ratio of focal length to object distance, and the RMSE 95 percent confidence interval, as recommended in NSSDA standards, be used instead. The description of error as 1:[a number] can be confusing to nonphotogrammetrists in its similarity to descriptions of image scales, and it is difficult at first glance to ascertain what the ratio actually means. In fact, the ratio expresses the relationship between error and object distance, e.g., 1 mm positional accuracy at a distance of 2 m is 1:2000 accuracy (Welch and Jordan 1996). This expression can be valuable in the context of published papers, if clearly described as relative error; nevertheless, for FGDC-compliant content and metadata, RMSE and scale must be used.

Only one recommended approach is not conducive to RMSE assessments. Hybrid laser scanning, because it does not use ground control points or a control frame, cannot be tested for absolute positional accuracy. This drawback of the hybrid technology is anticipated to be resolved as the field grows. In the interim, percentage error based on distance measurements should be used.

#### **Single-Image Registration**

Previous discussion has established that two-dimensional single-image registration does not adequately meet the objective of this document. However, because it is frequently used by most of the experts consulted during this assessment, a simple accuracy result is described here purely to illustrate the wide range of error depending on image perspective. In an experiment using the same photographic input collected for stereo processing, the difference between rectified and actual coordinates was measured across the image. Results were very good for images taken directly perpendicular to the plane of the grave (that is, film plane parallel to reference plane). Orientation residuals were under 2 cm in most cases, and somewhat accurate measurements were possible. For obvious reasons, only two-dimensional measurements along the plane of the image could be made. Oblique and vertical measurements were impossible. Results were very poor for images taken at an angle. Orientation residuals often exceeded the smaller dimensions of the grave itself (40 cm or more), and distortion from rubber-sheeting made the image nearly unrecognizable.

#### **Stereo Photogrammetry**

Because close-range stereo softcopy photogrammetry is an emerging technology, very little rigorous accuracy testing has been conducted to date. A series of accuracy studies using close-range methodology and ERDAS processing applications has been conducted in river flume morphology studies, including one in which an international team of geomorphologists and engineers from Canada, the United States, and England conducted a study of river channel geomorphology using close-range oblique stereo photogrammetry processed with ERDAS OrthoBASE. Data were collected with a digital camera and Total Station. The team found that through processing with this software, good positional accuracy (RMSE=1.9 mm at 1.9 m, 1:1000 relative accuracy) could be derived with only 10 minutes of recording (Chandler et al. 2000). Later studies of similar river channel photogrammetry using the same methods and vertical close-range photogrammetry yielded high accuracies (RMSE = 2 mm) at a scale of 1:160 (Chandler, Lane, and Shiono 2001), and 2.6 mm in a second study (Chandler, Shiono, Rameshwanen, and Lane 2001).

In GMI's experiments without camera calibration, RMSE averaged about 1 mm (1.5 pixels) at 1 m. Double-blind measurement distance comparisons differed by about 5 percent. Camera calibration can reduce RMSE by as much as 95 percent (Stein 1997), so calibrated RMSE should easily reach at least .2 mm at 1 m (1:5000 relative accuracy). Clive Fraser, in his stereo photogrammetry work, regularly achieves 1:3000 relative accuracy on architectural projects, and up to 1:20,000 accuracy using stereo/convergent combinations (see Appendix A). Others report accuracy of .1 mm at 2-m object distance (1:40,000 relative accuracy).

#### **Multistation Monoscopic Convergent Photogrammetry**

Multistation monoscopic convergent photogrammetry technology using PhotoModeler Pro has been assessed more often, and by a greater range of scientists, than any other single close-range approach. In a University of Innsbruck study, a brick wall covered with an array of control points was recorded and processed in PhotoModeler Pro. RMSE values were low given the fairly great object distance of 12 m (X = 0.53 cm, Y = 0.34 cm, Z = 0.29 cm, or 1:2400 relative accuracy).

The Innsbruck study reported relative accuracy (error to object distance) of between 1:1700 and about 1:2500 for non-metric cameras (Hanke 1998). A later study of several objects (Deng and Faig 2001) reported similar results. The combined RMSE of coordinates in a small (17-x-17-mm) test field was 0.17 mm, from a distance of roughly .6 m. The combined RMSE of coordinates in a large (building) test field was 9.3 mm from about 8 m away. Deng and Faig (2001) listed average relative errors as 1:1635 for the small test field and 1:1684 for the large.

#### **Hybrid 3D Scanning**

Hybrid three-dimensional scanning has not to date been assessed for accuracy by independent experts. Immersion Corporation has conducted its own accuracy studies and reports average accuracy to be 1 to 2 percent. That is, modeled objects come within 1 to 2 percent of the actual dimensions of the object as measured by hand. Realistically, RMSE calculations on very small objects may be limited. In order to calculate accuracy, a "true" measurement must be made using a device of greater accuracy. Surveying instruments may not be accurate enough to record GCPs over an area of less than 10 cm well enough to reliably evaluate discrepancies. The challenge of testing accuracy on small artifacts will ultimately have to be addressed by the scientific community.

One somewhat crude way to evaluate the accuracy of small convergent models is to do a series of measurements, both "virtually" and physically, then evaluate the measurement discrepancies between the average digital and physical results. This sort of testing has been performed in the past (Gisiger et al. 1996) to assess the photogrammetric accuracy on small objects. Limited comparisons seem to indicate that the object geometry degrades very little between the physical artifact and the digital format. GMI tested both the reliability and accuracy of digital measurements by repeatedly measuring four dimensions of a projectile point with calipers, then digitally across a polygonal mesh (Figure 20).

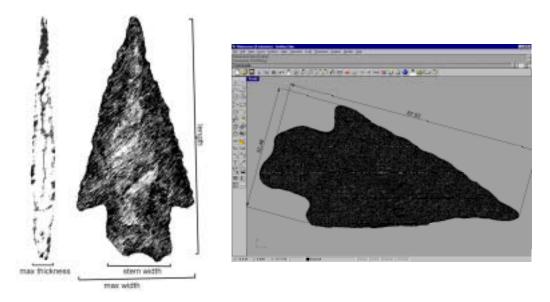


Figure 20. Four measurements collected, and two measurements shown as measured digitally.

The projectile point measured roughly 7 cm by 3.5 cm in size. The range of measurements between analysts, and the difference in median values between physical and digital formats, was recorded. The average error observed during the trial (defined as the difference between mean of measurements digitally and mean of measurements by hand) was .65 mm, with a low of .17 mm. The average error calculated from the outlier-independent median was closer to about .45 mm. With outliers excluded, the measurements varied between .2 mm and 1 mm using the physical model, and .3 mm and .6 mm using the digital model. In other words, in most cases the range of results obtained for a particular measurement was greater than the difference between the average measurements taken physically and digitally. This suggests that quantitative analysis done using a digital model of an artifact introduces little significant error. In this case, GMI calculated the accuracy at just under 3 percent, almost meeting LightScribe's published accuracy claims of 1 to 2 percent.

# CHAPTER 6 DEVELOPMENT OF STANDARD PROCEDURES FOR ANALYSIS OF GEOSPATIALLY REFERENCED PHOTOGRAPHY

Digital photogrammetric imagery provides a quality medium for a range of qualitative and quantitative analyses. In many cases, it may provide the only means for analyses, especially when recorded features are subsequently compromised or destroyed. Digital imagery and models, no matter how realistic, cannot replace tactile analysis of material weight, texture, and porosity. Photogrammetric models, however, provide a stable, accurate, and realistic replica of cultural objects for almost unlimited and repeatable investigation. Four types of analyses that will be frequently conducted on photogrammetric products are *error assessments*, *change analysis*, *spatial (measurement) analysis*, and *qualitative analysis*. Each of the photogrammetric products described in this document can be analyzed in two ways, either in its native format in the production software, or in third party software in a variety of formats. The best environment for analysis depends on the type of analysis to be done.

#### **TECHNIQUES**

Error assessments (RMSE) are best conducted during processing in the native production software, with the exception of hybrid scanning that does not provide this feature. Error assessment for hybrid-scanning models can be done in 3D modeling applications such as AutoCAD, MicroStation, 3D Studio, or Rhino. Change analysis is used to assess and monitor the deterioration or alteration of cultural sites and objects over time by comparing the pixel values between two or more photographs. This type of procedure, which can only be performed on flat photographic imagery or orthophotographs, must be done in a remote sensing raster GIS software package such as ERDAS IMAGINE. Spatial analysis, including linear measurements, area and volume quantifications, and elevational and slope measurements, also must be done in a GIS or CAD software package. Stereo pairs can only be measured using a stereo viewer such as StereoAnalyst, either through ERDAS IMAGINE, ESRI ArcView, or as an autonomous application. Qualitative (or non-geospatial) analysis such as artifact comparison, typing, or teaching, is very flexible and can be conducted in many file formats and software applications. Because accuracy and depth perception are less important in non-quantitative analysis, lossy (compressed or degraded) file formats such as joint photographic expert group (jpeg) and VRMLs can be used, and images may be viewed and distributed through shareware software including CosmoPlayer for VRMLs.

Image analysis in native software applications has several advantages. First, it is often the only practical way to calculate positional error such as overall RMSE, since this is determined during image orientation. This is the case for both PhotoModeler Pro image modeling and ERDAS OrthoBASE photograph orientation. Secondly, analyzing imagery in its native application minimizes file degradation through compression and translation. Third, native production software in most cases is best equipped to analyze photogrammetric models that it has produced. This is particularly appropriate in the ERDAS software suite, as it has full raster analysis and modeling capabilities.

Image analysis in third-party software also has advantages. First, third-party software facilitates distribution to and analysis by a much broader community. Second, in the case of hybrid-scanning geometric models, exterior applications *must* be used for error and quantitative analysis of any kind. Third, it often allows the consolidation of imagery, geospatial data, and photogrammetric models from a wide variety of sources. Finally, exterior software includes GIS applications such as ArcView, which are important in overall geospatial data conflation, indexing, storage, and spatial analysis. When exporting photogrammetric imagery and models to exterior applications, file formats will be either lossy (compressed or degraded) or non-lossy (converted without compromising data). Lossy file formats include jpegs (compressed) and VRMLs (compressed and degraded). Non-lossy file formats include point clouds, polygonal meshes, and imagery in .dxf, .3ds, .tiff, or raw formats, or as 3D shapefiles. These file formats are advantageous also because as standard CAD file types, they are not likely to become obsolete within the next decade or so.

#### WORKFLOW

AutoCAD, Microstation, and CAD-esque NURBS modeling programs must be used to analyze hybrid-scanning models, because the LightScribe native application does not have error analysis or quantitative analysis capabilities. Models can be exported, however, as non-lossy .3ds, .dxf, and .obj files and easily opened in CAD and NURBS programs for analysis. The process is virtually identical for convergent PhotoModeler models. The object is read by these applications as a polygonal mesh with an associated .jpg photographic texture file, which can be applied. Built-in measurement functions within these applications can then be used to conduct a variety of two- and three-dimensional calculations. Rhino, a NURBS modeling program, works very well for quantitative measurements as well as for general viewing (Figure 21), although change analysis is not possible. Care must be taken in such applications to observe measurements from all perspectives in order to accurately position measurement tools; it is easy to inadvertently measure off the "surface" of the object, since depth is not innately evident. GMI recommends 3D CAD (AutoCAD and Microstation) for experienced CAD users, but 3D modeling applications such as 3D Studio and Rhino are also very powerful applications and require very little training for novice users.

Compressed, unmeasurable photogrammetric imagery can be distributed widely and inexpensively using various multimedia file formats, including VRMLs, .gifs, and .avi movies. These file formats can give viewers quite a good understanding of complex three-dimensional objects or scenes using only freely available software such as Windows Media Player and CosmoPlayer. Although these environments are not innately geospatially referenced, they can be linked to georeferenced points in ESRI's ArcView using the hotlink function. They cannot,

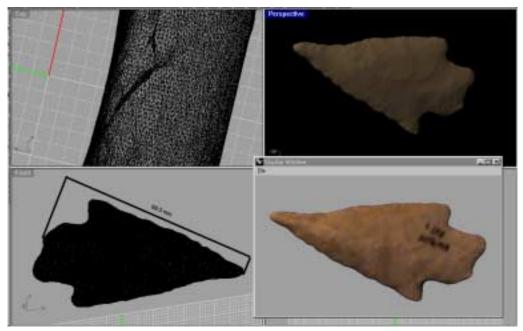


Figure 21. Conducting quantitative analysis in the Rhino NURBS environment.

however, be quantitatively or spatially analyzed in such a format. All photogrammetric models consisting of some type of surface model (polygonal mesh or DEM) and a photographic texture (.jpg or orthophoto mosaic) can be converted to VRML format in the native production software. In PhotoModeler Pro and LightScribe, this is done subsequent to completion of the geometric model. In ERDAS IMAGINE, the DEM and orthophoto must be opened in VirtualGIS, then exported as a VRML. VRML files consist of a .wrl geometric model file and an associated .jpg texture file. Both files must be stored in the same directory for successful viewing. Using VirtualGIS, virtual fly throughs of DEM/orthophoto scenes can be recorded as .avi movies for distribution. Such a format facilitates little analysis, but can be used to provide a thorough tour of complex three-dimensional imagery for qualitative assessments.

GIS applications such as ESRI's ArcView are probably the most important third-party analysis environment for geospatially referenced photogrammetric models. Compatibility with ArcView is most important because of this application's unparalleled popularity in vector GIS and large market share (35 percent or more) in the GIS community. It is in this environment that most users will attempt to conflate a number of photogrammetric models with existing geospatial data. All three-dimensional photogrammetric models will only be meaningful in ArcView using 3D Analyst, which permits the z (elevational) dimension. Like stereo softcopy photogrammetric models, GIS applications are fundamentally 2.5-dimensional as opposed to truly three-dimensional and are therefore more conducive to DEMs, orthophotographs, and 3D shapefiles than to geometric object models. Nevertheless, any point theme may be imported into the GIS, and as mentioned above, all multimedia files may be linked to geospatially referenced features using ArcView's hotlink function. Spatial analysis and measurements can be conducted within ArcView on DEMs in the same manner as more common topographic elevation models. In order to conduct extremely precise spatial measurements, however, a stereo viewing environment must be used.

Photogrammetric stereo pairs can be viewed within ArcView using 3D goggles and the StereoAnalyst extension, or alternately in StereoAnalyst in the ERDAS environment or as an autonomous program. StereoAnalyst provides all the fundamental quantitative measurement functions necessary to measure distances, areas, slopes, and other spatial features (Figure 22). 3D shapefiles can be added to the viewer for more complicated analysis, and basic heads-up digitizing and tracing can be done in the viewer as well. GMI recommends that the stereo environment be used for complex measurement analyses whenever feasible, because stereo pairs show depth and facilitate much greater measurement precision.

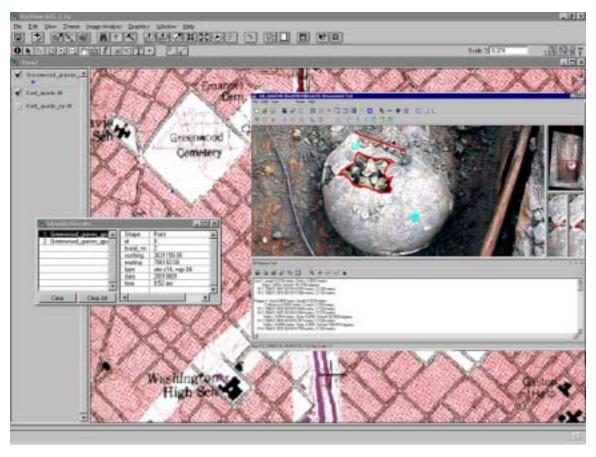


Figure 22. Quantitative analysis using StereoAnalyst within ESRI's ArcView application. The stereo pair is linked to a GPS point on a topographic quadrangle or a DEM/orthophoto theme within the ArcView project.

Unfortunately ArcView is primarily a vector GIS application and does not have extensive raster analysis functionality. Therefore, for change detection and change analysis, work must be conducted in a raster GIS application such as ERDAS IMAGINE. Orthorectified imagery can be compared to imagery from previous or later surveys by opening both images in IMAGINE, linking the viewers, and running the Change Detection function. By specifying pixel spectral tolerances, users can automatically identify regions of significant spectral alteration between imagery. This capability is currently not available in ArcView.

In summary, photogrammetric products can be analyzed in either the production software itself or in third-party software, depending upon the type of analysis desired. In most cases, error analysis must be conducted during initial processing, and therefore within the native software. The only exception to this rule is LightScribe hybrid scanning, which does not offer error analysis; hybrid model accuracy may be measured using alternative methods in CAD or NURBS software. Simple qualitative assessments may be made using low-end applications and small files such as VRMLs and .avi movies. Although these environments do not permit measurement or spatial analysis, they are an excellent format for exploring complex three-dimensional models and can be widely distributed. Measurements and other quantitative analyses can be performed in CAD or NURBS applications for convergent three-dimensional models consisting of polygonal meshes, or in StereoAnalyst for stereo pairs. When possible, stereo analysis is recommended because of its superior portrayal of depth. Finally, raster-based analysis such as change detection must be conducted in a raster GIS such as ERDAS IMAGINE.

# CHAPTER 7 STANDARDIZED METHODS FOR STORAGE AND INDEXING OF GEOSPATIALLY REFERENCED DATA IN A RELATIONAL DATABASE

Data storage and file structure describe the storage methods, locations, conventions, standards, and security of spatial data. The concept may be divided into the general categories of data storage and serving, data schema, and metadata standards. The following section discusses basic guidelines for relational database design, file storage, and metadata for integration with a GIS. The reader should be familiar with the Spatial Data Standards/Facility Management Systems (SDS/FMS) database concept and software. For descriptions of linking and populating table from CAD software (Microstation), the reader is referred to the report *Aerial Photography Management System* (Michael Baker Corporation 2000).

#### DATA STORAGE AND FILE SERVING

This discussion assumes that the basic file server and databasing software are already established for the existing GIS. In general, data for a GIS are stored on some sort of file server, accessible to users with permissions, and regularly backed-up. File storage/directory paths mirror database structure. Increasingly, government and private organizations have begun to migrate toward the developing "geodatabase" concept of an object-oriented relational database management system (ORDBMS), which has been adopted by ESRI. The essence of geodatabasing is the consolidation of all coverages, spatial features, and attribute data into a single relational database. This "glob" data can be stored locally as a personal geodatabase, or ideally on a server as a multiuser geodatabase. The geodatabase accommodates object spatial types (as well as the typical point, line, area, image, or surface), which enables the geodatabase to hold nongeoreferenced content that is associated with a georeferenced entity. In the ESRI ORDBMS geodatabase model, table attributes can consist of integers, text, date values, unique identifiers, and BLOB (binary large objects) values. BLOBs are any multimedia file, such as imagery, movies, or audio. In these ways the geodatabase can hold and georeference all photogrammetric content.

An important aspect of object relational geodatabase compilation is the unique identifying code for each feature in all coverages, allowing the feature to be related to additional attribute and spatial information from any coverage referencing that feature identifier. The unique identifier is discussed later in this section. Microsoft Access can be used to house a personal geodatabase, but Oracle and ArcSDE are necessary for the more powerful, flexible multiuser geodatabase.

#### RECOMMENDED DATA SCHEMA, FEATURES, ATTRIBUTES, AND DOMAINS

A relational database management system or RDBMS is a database structure that organizes various tables in a schema by common characteristics, then relates the tables using linking fields. A RDBMS can be created using Oracle, SQL Server, or, on a smaller scale, Microsoft Access. All governmental agencies must utilize a Department of Defense (DoD) developed standard for geographic data. These standards, the Spatial Data Standards (SDS), dictate data storage, naming conventions, and attribute table population. The Spatial Data Standards are a tool for creating RDBMS schema for geospatial data—an evolving set of recommended domains, entities, and classes of data found in geospatial databases, and the relationships between these sets.

According to the SDS, all attributes must be stored in an external attribute table. Every data coverage or theme is stored in its own directory based on the type of data it represents. Coverage data (e.g., "Road Centerline") is categorized under a general description (e.g., "Transportation"), with a more specific subcategory (e.g., "Transportation\_Vehicle"). Several other coverages could reside in that subcategory as well (e.g., "parking lots" and "bridges"). An example of the road centerline coverage directory path could be D:/Mapping/Transportation/Transportation\_Vehicle /Road\_Centerline/trvehrcl, with "Trvehrcl" as the actual name of the coverage. Each coverage contains only the feature graphics and a unique identifier linking it to the external attribute table. The attribute table has both required and optional fields to be populated.

The SDS provides a browser application table generator application (SDSFIE/FMSFIE Browser, now at version 2.0) for reviewing and assessing RDBMS schema, tables, attributes, and domains. Table generators for Access (Access Builder) and SQL (TSSDS Generator) are also provided to assist in RDBMS design and management. Using the SDS Generator applications, technicians can automatically generate tables appropriate to a particular set of data and link the table to other meaningful tables. In the field of cultural resources, most users will use the SDS Entity Set "Cultural" as their primary category. This entity set (Figure 23) contains four entity classes (archaeological, historic, general, and management), and 17 table types (artifact, milling site, rock art, archaeological site, structure, district, feature, vessel/wrecks, law, reference, sensitivity, survey, etc.).

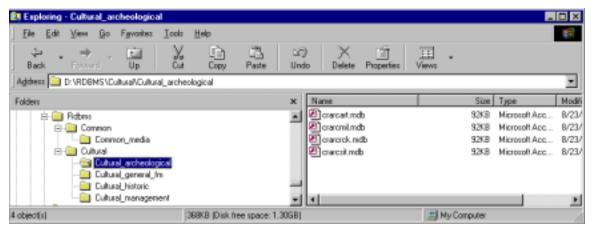


Figure 23. Sample file storage hierarchy for cultural resources tables.

Each of the tables within the entity set may be linked to another table via a primary or foreign key. A primary or foreign key is an attribute in the table that holds a unique identifier. Within the SDS schema, the key is generally common to the same element in different tables. For example, a common primary key "site\_id" might link the tables "milling site" (crarcmil) and "archaeological site" (crarcsit). A primary key or foreign key can also be used to link tables in one entity set to tables in another. In the case of cultural resources imagery and three-dimensional models, two entity sets will be related via the foreign key "media\_id" (Table 4, Figure 24).

Table 4 Entities, Tables, and Join Fields for Cultural Resources Imagery					
Entity Set Entity Class Name	Table Name	Join Field	Table Name	Entity Set Entity Class Name	
Cultural Cultural_archaeological	Crarcart (artifact) Crarcmil (milling site) Crarcrck (rock art) Crarcsit (site)				
Cultural Cultural_historic	Crhiststr (structure) Crhistdtr (district) Crhistfet (feature		Cmmedmed (media)	Common Common_media	
Cultural Cultural_general_fm	Crgenchr (characteristics) Crgenhst (chronology) Crgenlaw (law) Crgenlref (reference documentation) Crgenspo (SHPO) Crgenves (vessels or wrecks)	Media_id	Cmmedimg (image) Cmmedims (image set) Cmmedmul (multimedia		
Cultural Cultural_management	Crmgtres (restriction) Crmgtsen (sensitive) Crmgtsrv (survey) Crmgtsty (study site)				

One of the 17 Cultural tables, depending on the subject matter, will be linked to the table Common Media (cmmedmed) through the primary key "media\_id". This will allow records of items, objects, or sites to be linked to records of imagery, video, and audio. The Common Media table in turn references four child tables (multimedia, common media, imagery, and image sets), which allow records of imagery to reference specific imagery, video, 3D Models, CAD files, and image block files. Most pertinent information describing photogrammetric imagery, including photograph date, coordinates, lineage, focal length, etc., will be recorded in these tables. Currently the Common Media tables do not contain complete attributes for close-range digital imagery. Important missing attributes include object distance, camera make and model, and CCD characteristics (pixel size and resolution). These fields could be considered for addition in the next SDS/FMS release, but in the meantime, can be included in the "narrative" field in each table.

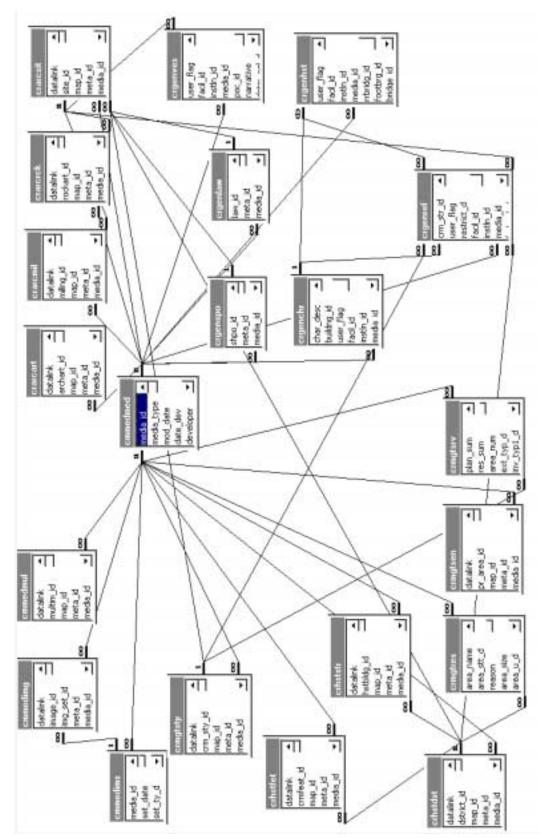


Figure 24. SDS relational database dependencies and links, based on the media\_id key.

#### RECOMMENDED METADATA

Metadata are textual descriptions of data layers, themes, and databases. Metadata help users understand the origin of the geospatial data, its characteristics, coordinate system, and any type of processing that has been applied to the data. It is important in organizing and maintaining data internally and in clearinghouses, and in providing information for data transfer and use by others. The FGDC has created a widely adopted standard for metadata, which is made up of seven main sections: identification, data quality, spatial data organization, spatial reference, entity and attribute, distribution, and metadata reference. In addition, the standards contain three supporting sections: citation, time period, and contact. GMI recommends that the FGDC metadata standard be followed for close-range softcopy photogrammetric and associated imagery in cultural resources. For detailed descriptions of FGDC-compliant metadata structure, the reader is referred to the Content Standard for Digital Geospatial Metadata, published by the FGDC (1998c). A generalized description of metadata format developed by the United States Department of Agriculture (USDA 1999) and an example of digital orthophotography metadata published by Massachusetts Institute of Technology (MIT 1994) are provided in Appendix F. Users can build metadata using a number of small applications, including fgdcmeta.aml from the Illinois State Geographical Survey, which creates FGDC compliant metadata in ArcInfo, and ArcView Metadata collector from NOAA, which creates FGDC compliant metadata in ArcView. ESRI's latest generation of GIS applications, ArcGIS, also includes built-in metadata tools. Metadata can be stored in a relational database, but the USGS advises that this is not desirable (Schweitzer 2000).

### CHAPTER 8 SUMMARY RECOMMENDATIONS

#### **PARAMETERS**

In Chapter 3, GMI described four parameters for selecting an effective photogrammetric approach: *skill/usability*, *cost*, *flexibility*, and *accuracy*. The various photogrammetric approaches rate differently among these parameters, but this document has recommended approaches based on requirements and constraints such as environment, project size and scope, and desired output. The four categories of photogrammetric recordation—two-dimensional single-image rectification, stereo softcopy photogrammetry, multistation monoscopic convergent (using PhotoModeler Pro), and automated convergent/hybrid 3D scanning using LightScribe—are compared in Table 5 below. Two-dimensional single-image rectification, although useful in certain circumstances, does not fulfill the requirement of adequately recording complex three-dimensional objects and has therefore been largely excluded from discussion.

For the most accurate and thorough photogrammetric recordation, GMI recommends that technicians use a combination of photogrammetric techniques. In Sadjadi's (1998:3) close-range photogrammetry feasibility study, the team took care to record in such a manner that "all digital photogrammetric techniques for the reconstruction of monuments, including 3D building restitution, stereo photogrammetry, single image rectification, image mosaicking and CAD coverage" could be produced. GMI also recognizes, however, the need to provide a single "best-bet" approach for users unwilling or unable to commit to a suite of photogrammetry methodologies. This recommended approach should attempt to meet the greatest breadth of the requirements listed in Chapter 1, as well as rate consistently well among all four parameters discussed in Chapter 3.

According to the parameters outlined in Chapter 3, the four constraints of flexibility, usability, cost, and accuracy must be considered in the selection of appropriate photogrammetric technology and methodology. A successful photogrammetric approach should allow the greatest possible range in scope and scale of use, utilizing simple, inexpensive, and expandable materials rather than specialized devices. Methods and techniques should be reasonably adopted by non-photogrammetrists. In other words, mainstream equipment and popular, preferably multipurpose software should be favored. Costs must be feasible for firms and entities currently already dedicated to other accurate digital technologies. Finally, methods should emphasize accuracy and precision, and accommodate increasing accuracy and precision.

 $\label{thm:comparison} Table \ 5$  Comparison of Photogrammetry Approaches for the Field and Laboratory

Multistation Monoscopic

		Stereo	Multistation Monoscopic Convergent	Hybrid 3D Scanning	2D Rectification
	g:		D.		
Scale	Site	Good	Poor	N/A	Poor
	Feature	Good	Good	N/A	Good*
	Building	Fair	Good	N/A	Good*
	Artifact (large)	Good	Good	Very good, to 1 m	Poor
	Artifact (small)	Fair	Good	Good, to 5 cm	Poor
	Rock art	Very good	Poor	N/A	Fair
ъ	GCPs	From control frame (lab), or Yes (field)	No	Automated	Yes
Control field	Survey	No (lab), or Yes (field)	No	Automated	No
gı	Camera Position control	Yes	No	Yes	No
Modeling	Geometric model	No	Yes	Yes	No
Moc	DEM	Yes	No	No	No
Equipment Requirements	Depth perception	Yes	No	No	No
	Graphics workstation	Pentium II+, 128 Mb+ RAM, 2 Gb+ hard drive space, Open GL 1.1, 100–120 Hz screen refresh rate	Pentium, 16 Mb+ RAM, 30 Mb free disk space, 800-600 screen resolution, CD-ROM drive	Pentium, 64 Mb+ RAM, 1 Gb + hard drive space	No
	3D goggles	For processing and some analysis	No	No	No
	Image capture equipment	Off-the-shelf digital camera	Any camera	Included digital video camera	Any camera
	Specialized production software	ERDAS IMAGINE OrthoBASE, StereoAnalyst, VirtualGIS (optional) -or- Image Processing Software, Inc., OrthoMapper and Surface Mapper	PhotoModeler Pro	LightScribe software	ERDAS/ESRI Image Analysis
ity	Specialized viewing software	StereoAnalyst, CAD, etc.	CAD, 3D Studio, etc.	CAD, 3D Studio, Rhino	none
Image Qualit	Manual camera calibration	Strongly recommended	Included	Automated	No
	Pixel resolution	Contingent upon camera	Contingent upon camera	480 x 480	Contingent upon camera
	Free output	VRML, AVI, etc.	VRML	VRML	.jpg, .tiff, etc.
nat	GIS compatible	Yes	Yes	Yes	Yes
Format	Data storage	.tiff, .jpg, .blk, .dem, .wrl, .shp, .img	.wrl, .jpg, .obj, .dxf	.wrl, .jpg, .obj, .3ds	.jpg, .tiff, .img
	Amplicable andre for flat				•

<sup>\*</sup> Applicable only for flat planar objects

Both past assessments (Sadjadi 1998) and the current investigations have determined stereo softcopy photogrammetric recordation to be more generally useful and rigorous than other methods available to non-photogrammetrists. Unlike the other approaches discussed, stereo photogrammetry permits image orthorectification and DEM production. It facilitates accurate and detailed analysis through stereo viewing. Combinations of stereo pairs can be used for convergent modeling, and single components of stereo pairs can be used for single image rectification. Therefore, field and laboratory methodology should emphasize stereo photogrammetry, setting up recordation to be "suitable for photogrammetry applying the stereo approach" (Sadjadi 1998:3). To better illustrate the utility of stereo softcopy photogrammetry compared to other potential approaches, the figure below (Figure 25) presents graphic depictions of the types of objects recordable using each method, the input and output dimension requirements, and cost and accuracy constraints.

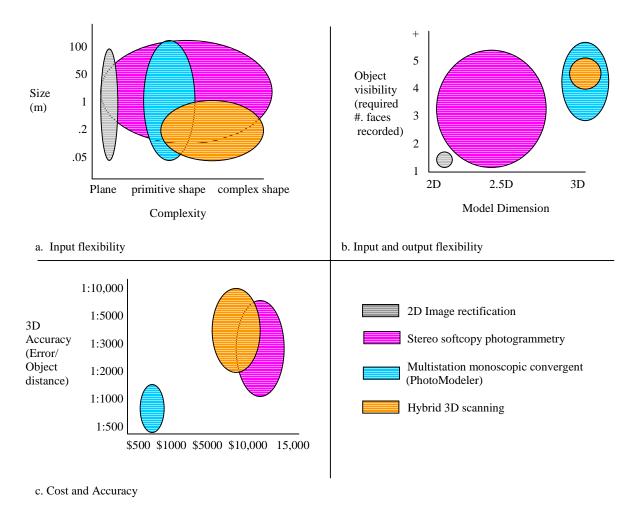


Figure 25. Graphic illustration of parameters in selecting a photogrammetric approach: (a) object sizes and complexity conducive to each photogrammetric approach; (b) object sides necessary for model production and dimensional complexity of models; and (c) relative accuracy and software cost.

Hybrid 3D scanning seems from limited accuracy assessments to have the greatest potential positional accuracy and in addition is remarkably rapid and automated. With its moderate cost and user-friendly interface, GMI recommends the hybrid approach for artifact documentation in controlled laboratory environments. However, its narrow size capabilities (5 cm-1 m) and laboratory set-up constraints limit its overall usability.

Most of the experts interviewed use PhotoModeler Pro regularly in photogrammetric analysis and recommend the technology. However, while it is useful for model-making, architectural photogrammetrist Peter Borges makes the salient point that the PhotoModeler approach does not have high attainable accuracy and is not appropriate for very complex objects. PhotoModeler can only be used for objects that are exposed on most sides. PhotoModeler can only interpolate geometric primitives (lines, planes, cylinders), restricting accuracy of points in between. PhotoModeler does not orthorectify photographs nor generate DEMs, and has much lower attainable accuracy with non-metric cameras than hybrid 3D scanning and stereo photogrammetry. Additionally, PhotoModeler does not have professional-grade photogrammetric capabilities, limiting it for instance to a 20 Mb initial image size. Finally, without stereo image processing and viewing capabilities, this approach cannot render depth on the computer screen—an important aid in measurement and analysis. Many cultural resources professionals steadfastly recommend PhotoModeler technology because of its ease-of-use; few, however, would argue that it could match the power, sophistication, and accuracy of more industrial stereo photogrammetry software.

#### SUMMARY WORKFLOW SPECIFICATIONS

Stereo softcopy photogrammetry is the most flexible and potentially accurate method for close-range applications in cultural resources recordation. Stereo softcopy photogrammetry can be used to model simple and very complex objects and scenes of almost any scale, and can produce stereo models, DEMs, orthorectified imagery, and even 2.5-dimensional models using multiple DEMs and orthophotos. Using high-resolution laser data and stereo photogrammetric imagery, even more accurate orthorectified imagery and virtual models can be generated. Low-end multistation monoscopic convergent photogrammetric applications such as PhotoModeler Pro are valuable for a few environments where features can be thoroughly photographed from all sides. PhotoModeler Pro can generate a relatively accurate three-dimensional model, which may be rotated and viewed in 3D modeling programs or as a VRML. For small objects in the laboratory, the LightScribe hybrid-scanning system provides faster processing and better accuracy than manual methods, but has size and setting constraints. Two-dimensional image rectification is useful for historical photos or for less important or planar objects, but can produce massive residuals when used improperly.

Makers and users of photogrammetric surveys must be knowledgeable about accepted notions of accuracy and provide objective descriptions of it; furthermore, technicians must be knowledgeable of the photogrammetric survey in order to identify obvious blunders (CIPA 1993). For the most successful photogrammetric image capture, a good-quality 3 megapixel or better digital camera should be used. The camera must be calibrated before image processing by photographing a control field at the camera settings to be used in the field. Camera Calibration Toolbox for Matlab can be used to process the results of the calibration and prepare a calibration file for later import.

Methodology should be organized to allow a wide range of digital analyses; GMI recommends stereo pairs be collected from a number of angles for possible convergent modeling. Recordation must be carefully planned and conducted in order to maintain at least 60 percent overlap of stereo pairs, as well as appropriate camera base/object distance between images. The CIPA 3x3 Rules are a good qualitative guideline for conscientious image capture. For conventional photogrammetry, CIPA (1993) recommends that the camera base/object distance should be consistent between stereo pairs, and should range between 1/5 to 1/15. This is less of an issue in softcopy photogrammetry, where differing focal lengths allow a greater range. In applications such as the ERDAS suite, it is most important that base distances do not differ widely between two images in a stereo pair. Object distance for one photo should never exceed twice the object distance of its pair. In general, the more homogeneous the base/distance ratio between images and stereo pairs, the better the product. When at all possible, photographs should be taken with the camera film plane parallel to the reference plane, minimizing obliquity. Camera settings must be homogeneous throughout recordation and must be carefully recorded for use during processing. Ground control points, at least 3-6 spread across each image, must be marked and measured with a Total Station. Base distance and reference measurements should also be collected. For better DEM generation, high-end laser scanning data should be used.

Unaltered copies of all photographs should be archived for safe-keeping. Working copies, in .tiff or .img format, should be renamed logically, e.g., "grave23\_thorax\_left" or "house\_façade\_north," for easier reference during processing. Images should NEVER be rotated, resized, or cropped, as pixel size and image dimensions are critical for solving orientation. In the softcopy photogrammetry software, the images are then imported into a project, the camera parameters set, and any known camera calibration information imported. Geospatial coordinates and map information must conform to FGDC guidelines. Horizontal map datum NAD 83 and vertical map datum NAVD 88 are preferred, but NAD 27 and NGVD 29 may be used if necessary. Unprojected (latitude/longitude) or Universal Transverse Mercator projection coordinate systems are the most widely accepted.

During processing, defining 50–60 tie points per photograph can improve exterior orientation and lower RMSE values. RMSE accuracy should be recorded and be clearly and objectively described according to FGDC 95 percent confidence interval standards in later metadata. Relative accuracy (RMSE:Object Distance, approximately) is useful for some discussions of accuracy, but does not need to be included in metadata. Oriented images can be saved as stereo pairs, as a block file, or orthorectified using a DEM and saved as an orthorectified mosaic or as a VRML or avi.

Completed imagery should be stored according to media type and indexed in an object relational database using the field "media\_id" to link imagery to other georeferenced cultural resources GIS data. Imagery and photogrammetric models can be analyzed either in the native softcopy photogrammetry application, or using the StereoAnalyst or 3D Analyst extensions within ArcView, or using CAD applications such as AutoCAD, or 3D Studio or other 3D modeling applications. Qualitative observations can be made in low-end applications, such as CosmoPlayer for VRMLs or Windows Media Player for .avi movies.

#### **CONCLUSIONS**

Clearly there is a growing need for efficient, effective, and economical recordation methodologies in cultural resources documentation and mitigation. Traditional recording methodologies are tedious, time-consuming, and labor-intensive, and do not provide the accuracy or efficiency of emerging digital photogrammetric methodologies and related geospatial technologies. Archaeologists who have formerly used a combination of photography, hand drawings, and survey to record cultural resources are beginning to incorporate digital technologies (GIS, CAD, virtual reality [VR]), into their repertoire. By applying digital technologies to archaeological recordation, archaeologists stand to greatly improve the quality and usability of their data.

Following the guidelines of the project scope, GMI has researched and developed a set of recommended standards through literature reviews, interviews with experts worldwide, and research and experimental studies using a variety of techniques. GMI concludes that while a photogrammetrically heterogeneous approach to documentation is ideal, stereo softcopy photogrammetry is the most universally beneficial technique. Research and pilot studies indicate that this method provides the automation, flexibility, and attention to accuracy that will meet the requirements of users in the field of cultural resources, at a moderate cost. As cultural resources management migrates into the digital age, close-range softcopy photogrammetry can be incorporated in a straightforward manner. Two- and three-dimensional photogrammetry is in fact already being used in archaeology, and with reasonable standardization and effort, this technology can improve the quality and usability of photographic data and can allow the recordation of previously inaccessible resources.

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#### **GLOSSARY**

**accuracy**: The degree to which information on a map or in a digital database conforms true or accepted values. In a GIS database, it is possible to consider horizontal and vertical accuracy with respect to geographic position, as well as attribute, conceptual, and logical accuracy. Accuracy is different from precision, which concerns the level of measurement or detail of data in a database.

**attribute**: A characteristic of a geographic feature described by numbers, characters, images, and CAD drawings, typically stored in tabular format and linked to the feature by a user-assigned identifier.

**CAD**: Acronym for computer-aided design, or computer-aided design and drafting (CADD). An automated system for the design, drafting, and display of graphically oriented information. Although most CAD systems lack certain features essential to GIS analysis, such as the power to manage different spatial coordinate systems and database capabilities, many CAD systems have been developed into full GIS with the addition of necessary functions.

**calibration**: The process of choosing attribute values and computational parameters so that a model properly represents the real-world environment being analyzed.

**CCD**: Acronym for charge-coupled device. A light-sensitive semiconductor device manufactured in an array for use in cameras and other sensing applications.

**convergent photogrammetry**: A photogrammetric technique that uses disparate perspectives obtained from (digital) images taken from multiple angles, to calculate relative spatial locations of a set of points.

**coordinate system**: A reference system used to measure horizontal and vertical distances on a map. A coordinate system is usually defined by a map projection, a spheroid of reference, a datum, one or more standard parallels, a central meridian, and possible shifts in the x- and y-directions to locate x,y positions of point, line, and area features. A common coordinate system is used to spatially register geographic data for the same area.

**DEM**: Acronym for digital elevation model. A digital representation of a continuous variable over a two-dimensional surface by a regular array of z (elevation) values referenced to a common datum. Digital elevation models are typically used to represent terrain relief. Also referred to as 'digital terrain model' (DTM).

**DTM:** Acronym for digital terrain model. A method of transforming elevation data into a contoured two-dimensional surface or a three-dimensional display.

**error**: The difference between a particular value and the true or correct value, including random errors, systematic errors, and mistakes.

**ESRI**: Environmental Systems Research Institute, Redlands, CA. GIS market leaders and makers of ArcView, ArcInfo, ArcGIS, and related software.

**FGDC**: Acronym for the United States Federal Geographic Data Committee, composed of representatives of several federal agencies and GIS vendors, that has the lead role in defining spatial metadata standards.

**georeference**: To establish the relationship between page coordinates on a planar map or image and known real-world coordinates.

**GIS**: Acronym for geographic(al) information system. An organized collection of computer hardware, software, geographic data, and personnel designed to efficiently capture, store, update, manipulate, analyze, and display all forms of geographically referenced information.

**GPS**: Acronym for global positioning system. A system of satellites and receiving devices, originally developed for the military, and used to precisely compute positions on the Earth. GPS is used in navigation, and its precision (often within centimeters using high-end equipment) supports cadastral surveying.

.img: ERDAS IMAGINE proprietary file extension for IMAGINE 8.x

**jpeg**: Acronym for joint photographic expert group. Image compression format for single digital images.

.jpg: See jpeg

map scale: The relationship between distance on a map (or image) and the corresponding distance on the earth's surface. Map scale is often recorded as a representative fraction such as 1:1,000,000 (1 unit on the map represents a million units on the earth's surface) or 1:24,000 (1 unit on the map represents 24,000 units on the earth's surface). The terms "large" and "small" refer to the relative magnitude of the representative fraction. Since 1/1,000,000 is a smaller fraction than 1/24,000, the former is said to be a smaller scale. Large-scale maps are used for detailed maps of small areas.

**micron**: The unit of length defined to be 0.000001 meter.

**monoscopic photograph**: A single photograph of a given area or subject obtained with a camera having one lens system and shutter, as opposed to a *stereo pair*.

NAD 27: North American Datum of 1927. A datum based on the Clarke ellipsoid of 1866, with a base station at Meades Ranch in Kansas. NAD 27 used the latitude and longitude for Meades Ranch and the Clarke 1866 values to determine the latitude and longitude of surveying monuments throughout the contiguous United States and Alaska. These monuments served as starting points for more local surveying and mapping efforts. Use of this datum is gradually being replaced by the North American Datum of 1983.

**NAD 83**: North American Datum of 1983. An earth-centered datum based on the Geodetic Reference System of 1980. In developing NAD 83, the National Geodetic Service used data from NAD 27. As a result, the latitude and longitude assigned to all NAD 27 monuments has changed by as much as 350 feet.

**NURBS**: Acronym for Non-Uniform Rational B-Splines, used for more curved, realistic 3D modeling.

**orthophoto**: A photograph, usually aerial, that has been orthorectified using photogrammetric techniques.

**Orthorectification:** Use of photogrammetric techniques such as stereo pair mass point generation and DEMs to adjust and correct distortions in images.

**pixel**: Picture element. One element in an array holding image information that contains brightness and color information. The size of the pixel limits how much an image can be enlarged and how high the image resolution will be.

**precision**: The level of measurement and exactness of description in a GIS database. Precise data—no matter how carefully measured—may be inaccurate. Therefore, a distinction is made between precision and accuracy.

**raster**: A cellular data structure composed of rows and columns for storing images. Groups of cells with the same value represent features.

**RDBMS**: Acronym for relational database management system. A database management system with the ability to access data organized in tabular files that can be related to each other by a common field (item). An RDBMS has the capability of recombining the data items from different files, providing powerful tools for data usage.

**RMSE**: Acronym for root mean square error. A measure calculated when registering/orienting a map or photograph, indicating the discrepancy between known point locations and their digitized locations. The lower the RMSE, the more accurate the digitizing or transformation.

**rubber-sheeting**: A procedure to adjust coverage features in a non-uniform manner. Links representing from- and to-locations are used to define the adjustment.

**stereo(scopic) photographs**: Two photographs of a given area or subject obtained from different angles and overlapping at least 60 percent. The images, when viewed as a stereopair, give the mental impression of a three-dimensional model.

.tiff: Acronym for tagged image (or interchange) file format. An industry-standard raster data format that supports black-and-white, gray-scale, pseudocolor, and true-color images, all of which can be stored in a compressed or uncompressed format.

**UTM**: Acronym for Universal Transverse Mercator, a series of 120 coordinate systems based on the Transverse Mercator projection originally developed by the U.S. Army for a worldwide mapping project. All zones have their origin at the equator, use the meter as the system unit, and have a false easting of 500,000 m and a false northing of zero.

**vector**: A coordinate-based data structure commonly used to represent geographic features as points, lines, or polygons. Each linear feature is represented as an ordered list of vertices.

**VRML**: Acronym for virtual reality markup language.

## APPENDIX A EXPERT RESPONSES

#### List of Experts Contacted

Name	Organization	Response	Interview	Questionnaire
Peter Borges	Documenta Architectural Photogrammetry, WA	•	•	•
Sonny Cudabec	National Forest Service	•	•	
Michael Doneus	U. of Vienna, Austria	No response		
Christopher Dore	Archaeological Mapping Specialists, CA	•	•	•
Jane Drummond	U. of Glasgow, UK	No response		
John Ebert	Ebert and Associates, NM	No response		
Mark Flood	USDA Forest Service Geometrics	No response		
Clive Fraser	U. of Melbourne, Australia	•		•
Dave Knopp	StellaCore Corp., CO	•	•	•
Jim Harris	L3D, Corp., TX	•	•	
Jon Mills	U. Newcastle upon Tyne, UK	•		•
Cliff Ogleby	U. of Melbourne, Australia	No response		
Frank Scarpace	U. of Wisconsin/Image Processing Software, Inc.	•	•	
Mladen Stojec	ERDAS Atlanta, photogrammetry	•	•	•
Tony O'Dempsey	ESRI South Asia	•	•	•
Frank von den Huevel	Technical U. Delft, Netherlands	•		•
Rachel Wilson	Immersion Corp., CA	•	•	
Andy Zusmanis	ERDAS Atlanta, photogrammetry	•	•	
Stephen Rawlinson	University of New Brunswick, Canada	•	•	

#### List of questions:

- 1. Do you currently conduct close-range softcopy photogrammetry?
- 2. Do you use close-range softcopy photogrammetry for cultural/natural resources recordation and analysis?
- 3. Do you do 2d or 3d photogrammetry, or both?
- 4. Do you do stereo or convergent photogrammetry, or both?
- 5. What processing software do you use? (e.g., SocetSet, ERDAS, Leica, Z/I, etc.)
- 6. What camera types do you use? (e.g., metric, off-the-shelf, digital, film, video, etc.)
- 7. Do you use automated hybrid-scanning systems? (e.g. LightScribe or Pixi)
- 8. Do you think that lasergrammetry is useful in photogrammetric cultural/natural resources recordation and analysis? How? (lasergrammetry= Cyrax 2500 or various other big scanners, which gather a huge amount of 3D point data)
- 9. What accuracy do you currently see in your close-range work? How do you assess your accuracy?
- 10. Would you recommend rated accuracy (levels, like the ASPRS large-scale mapping standards) or a simple threshold of acceptability?

#### **Professor Clive Fraser, Department of Geomatics, University of Melbourne:**

- 1. Yes, primarily industrial & engineering measurement, but also archaeological & architectural recording.
- 2. Yes.
- 3. Primarily 3D with some minor 2D work.
- 4. Both, but overwhelmingly convergent. For the recording of complex sites (e.g., buildings), we establish a framework with convergent and then use stereo for localized orthorectification and texture mapping.
- 5. For convergent we use the Australis package for off-line digital photgrammetric networks (unlimited number of images & object points, with self-calibration & numerous other interactive features) and for stereo we use Z/I, Socet Set & ERDAS. We also use Photomodeler for some simple jobs.
- 6. Off-the-shelf digital, ranging from \$1,000 to \$10,000 in cost. These are all metrically calibrated.
- 7. No.
- 8. Yes. For complex small object (artifacts) and also for complex interiors (churches, tombs, etc.).
- 9. Definitely! Our work ranges from accuracies of 1:5000 of the size of the object to 1:100,000 (0.1mm over 10m). Generally, for architectural/arch. work, we are operating in the 1:3000 (e.g., stereo) to 1:20,000 (multi-station convergent) range.
- 10. I believe it would be quite problematic to generate generic accuracy ratings given all the variables involved. I would support, however, much more emphasis on accuracy & precision. This is something that is best left to the customer: He/she specifies what accuracy is required & the photogrammetrist responds to that specification, either by measuring to the required tolerance or informing the customer of what is achievable given the conditions of the work, the method & equipment to be employed and the budget!

#### **Dr. Jon Mills, Department of Geomatics, University of Newcastle upon Tyne:**

1. Yes			
2. Yes			
3. Both			

- 5. LH Systems SOCET Set, VirtuoZo, Photomodeler
- 6. Wild P32 metric, Rolleimetric 6006, Kodak DCS200, Kodak DCS660
- 7. No

4. Both

- 8. Yes
- 9. See:
- MILLS, J. P., PEIRSON, G. C., NEWTON, I., and BRYAN, P. G, 2000. Photogrammetric investigation into the suitability of desktop image measurement software for architectural recording. International Archives of Photogrammetry and Remote Sensing 33(B5):525–532.
- MILLS, J. P., NEWTON, I., and PEIRSON, G. C., 2001. Pavement deformation monitoring in a rolling load facility. Photogrammetric Record, 17(97):7–24.
- MILLS, J., and PEIRSON, G., 2001. The fair-weather surveyor's dream: using your camera to survey structures. Civil Engineering Surveyor, April 2001:28–29.
- 10. The former [rated accuracy levels like the ASPRS large scale mapping standards].

#### Tony O'Dempsey, ESRI South Asia:

- 1. I do so occasionally as the need arises (it is not what I do fulltime)—I try not to make a habit out of it:-)
- 2. Mainly natural resources e.g., biological studies, however also Military applications from UAV (which would be considered Mid Range Photogrammetry; however the problems of solution are very similar to close range). I tried to do some with underwater oil well surveys; however, I had trouble getting good enough imagery.
- 2. 3d for close range; however, I have also done 2D from UAV.
- 3. I like to make the photography convergent; however, it depends on circumstances of the object and limitations in placement of sensors. No matter how I configure the cameras, my objective is to obtain good intersection geometry so as to achieve the desired (design) accuracy. If Additional Parameters are to be used, then there are very specific configurations required for convergent imagery due to mathematical correlation between additional parameters. An example of this is the first term of radial lens distortion and focal length that are highly correlated in that they both have a direct scaling effect.
- 4. I prefer to use Erdas IMAGINE Orthobase because of the ease of use, and it offers good control over the triangulation. I have also used Socetset for 2D; however, not extensively.
- 6. Sony Video Cam (off the shelf) for close range movement studies (flying snakes) Underwater Video (underwater oil rig surveys for weld inspections) Military (forget the manufacturer) Video Cam for UAV applications Hasselblad non-metric cams (off the shelf) Hand Held Digital Cams (Any brand)
- 7. No—I have always had somebody who is good at extracting frames from Video, or I get the digital data directly from the digital still cam, or I scan the non-metric prints directly with desktop scanner (one by one . . . aaarrrggghh)
- 8. As far as I know, these systems are currently very expensive and are therefore applicable for larger projects (like as-built surveys for oil refinery); however, there are smaller jobs that do not justify the expense—this is where close range photogrammetry is applicable.
- 9. This is a "how long is a piece of string" type of question, the type of accuracy depends on the project. I assess accuracy by post-triangulation analysis of the residuals and standard deviations of computed values (exterior, interior, and object). I also use checkpoints to verify this. Once I have verified my accuracy with checkpoints, I often reprocess and include the checkpoints as controls.
- 10. In my opinion, Accuracy standards for mapping, i.e., FGDC are not applicable to close range work. Because when you do close range, it is generally for a very specific and specialized purpose. In addition to this, there is more opportunity to have convergent photography than for "standard" aerial Photography. The way I approach the question of accuracy is:

#### Tony O'Dempsey, ESRI South Asia (continued)

- a. Determine required accuracy for the project. Think about what the measurements are to be used for. Come up with an accuracy specification. Distinguish between Relative and Absolute accuracy.
- b. With experience you get to know the capabilities of the various sensors. If you don't have experience with a particular sensor, you will need to experiment to see what accuracy can be achieved. The accuracy achievable is best worked out in terms of image coordinate system. Say I have 5-micron pixels; however, through experimentation I find I can achieve 30-micron accuracy without calibration. This estimate can then be extrapolated empirically or by variance =c-variance analysis to any configuration of cameras and object space.
- c. I design my cam configuration and control configuration with this knowledge—if I have a choice of cam locations I can design a good orthogonal "ray" intersection—this maximizes my accuracy through good geometry. Conversely if I am restricted in my cam locations for various reasons (for example I might want to do stereo viewing, in which case my base-object ratio and convergence are limited by human needs), then I will not have optimal intersections at certain ranges. When this happens I need to precalc estimates of accuracy variation throughout the range of observations and decide if they are acceptable for the purpose of the survey . . . if not I either have to change the geometry or add sensor (which of course also changes the geometry).

There have been many studies into close range non-metric photogrammetry and many of these are documented in Photogrammetric Record as well as PE & RS Journals: here is a list of some that I keep on hand:

- Photogrammetric Record 17(91) 1998. The development of camera Calibration Methods & Models
- Photogrammetric Record 11(62) 1983. Accuracy of a system for Analytical Close Range Photogrammetry
- Photogrammetric Record 14(80) 1992. Calibration of a 35mm non-metric camera and the investigation of its potential use in photogrammetry
- Photogrammetric Record 14(8) 1992. Experiences in Calibrating Small Format Cameras-Photogrammetric Record 15(87) 1996. The Metric impact of reduction optics in Digital Cameras
- PE & RS, March 1999. Testing Camera Calibration with Constraints

So to answer the question, I would recommend standardizing the means of assessing the accuracy achievable. The geometry of the project (object location, sensor location) can be modeled mathematically and by plugging in a-priori estimates of image measurement accuracy and control point accuracy, variance-covariance propagation methods can predict accurate of object points in terms of error ellipses. The trick here is to get good a-priori estimates for the image measurements. Indications can be got from the literature; however, you really need to experiment with a particular sensor to verify it. I once started to write such a program; however, I ran out of steam due to work & personal commitments. Others have written such programs and I recall that there is a paper in one of the journals on this. Most of these programs were written by people in academic institutions and no commercial output was ever generated as far as I know.

### Tony O'Dempsey, ESRI South Asia (continued)

Sometimes accuracy is not an issue - with the underwater stuff, we just wanted to convert a stream of video into a single image so that weld quality of sputs could be indicated by annotations —for an engineering report. This was a case where the result of the mosaic was not really meant for measurement, rather for aesthetic presentation.

#### **Andy Zusmanis, ERDAS Atlanta:**

- 1. ERDAS does not do any production work in-house. Its focus is producing, selling, and supporting software. However, the ERDAS photogrammetric suite is capable of performing various softcopy photogrammetry tasks. Various ERDAS user have successfully used the ERDAS photogrammetry software for close range and terrestrial applications.
- 2. ERDAS users have used ERDAS photogrammetric software in close range applications. For instance, the ERDAS German distributor, GeoSystems, used ERDAS software for analysis of an ancient Roman wall.
- 3. ERDAS photogrammetry software supports both 2D and 3D applications.
- 4. ERDAS photogrammetry software can handle both stereo and convergent images.
- 5. The processing software includes ERDAS IMAGINE OrthoBASE, OrthoBASE Pro, StereoAnalyst, and ERDAS IMAGINE Virtual GIS.
- 6. The ERDAS photogrammetric software was designed with flexibility of image sources being a major consideration. As a result, the software can work with almost any single perspective image source, as long as there is some rudimentary information available about the camera.
- 7. No. ERDAS software does not use this type of device for primary data collection. However, the ERDAS software (i.e., Virtual GIS) can use the finished output from such devices (i.e., VRML and DXF files) for 3D visualization and analysis.
- 8. Yes, given that the price/benefit ratio is in line. I would see the primary benefit being the ability to create 3D surfaces that would aid in the orthophoto and mosaicking process. This, in turn, would aid the 3D visualization environment. Automatic image correlation techniques, that create 3D models from overlapping photography, can work very well on many types of aerial images, but often have problems in the context of close range work due to convergence angles, large amounts of object displacement, occlusion, and uniformity of surfaces. Therefore lasergrammetry may offer a better solution in this context.

#### I do have two words of caution.

- (1) Price. Based what I have heard from the geographic processing world, while lasergrammetry can produce very good results, it is about a magnitude more expensive to produce an elevation model, when compared to optical correlation techniques. However, as noted in the previous paragraph, optical correlation techniques have some problems when applied to close range applications. The fall-back in the optical world is manual collection from stereo pairs; a labor intensive process.
- (2) Post processing of the results. Once again, based on what I have heard from the geographic processing world, a raw mass-point file of thousands or millions of points is not what the application user desires. First, is the problem of automatically detecting erroneous points and correcting them. Some progress has been made in this area by various lasergrammetry vendors

#### Andy Zusmanis, ERDAS Atlanta (continued):

that work at the geographic scale. However, these techniques may or may not work when applied to close range applications. The other issue is that of an appropriate 3D or surface model. Most application software cannot effectively work with the huge data volume produced by lasergrammetry software. Therefore, some type of intelligent data generalization techniques must be employed, as well as reformatting the data into a format that works best with the user's application. In my opinion, at least in the geographic context, lasergrammetry is a solution provider for accurate and useable terrain surface models. In this context, the post processing issues mentioned in this paragraph should be the responsibility of the data vendor, not the end user.

- 9. To the best of my knowledge, ERDAS has not done any detailed error analysis on close range applications and/or non-metric cameras. Usually, absolute accuracy assessment is done using a specially configured "control field" where the input measurements are very accurate, and the points are very well dispersed and easy to identify.
- 10. I would recommend a rather comprehensive system of comparing accuracies in close range work. This is because there are more variables in close range (i.e., large convergence angles, depth of field, camera calibration) when compared to geographic photogrammetry. Without accounting for the variables, a potential user can be easily mislead, believing that a simple set of metrics account for measurement accuracy across a broad range of projects.

#### Christopher Dore, Archaeological Mapping Specialists:

- 1. Yes.
- 2. Yes.
- 3. Both.
- 4. Both
- 5. TNTmips & PhotoModeler
- 6. Digital, film, video, & metric
- 7. No.
- 8. Yes, microtopography, excavation and complex feature documentation, caves & mineshaft mapping, architectural recording. Don't forget Lidar.
- 9. About 1 part per 2,000 max. Accuracy is done by comparing distances between known points once models are completed.
- 10. Judging an acceptable accuracy level is dependent upon the research problem at hand. It is the research that determines the acceptable level of accuracy, not the technology. Regardless of the accuracy needs, documenting the accuracy level obtained is critical. The standard should be that all researchers document the accuracy and precision levels of any measuring tool they use. What is acceptable for one application may not be acceptable for another. And it is only when the measurement accuracy is known that you can discriminate patterns in data to measurement error or the aspect of interest.

#### **David Knopp, StellaCore Corporation:**

- 1. Limited now. Plans in motion to utilize often over next years starting in 4–6 months.
- 2. No.
- 3. 3D. In cases where 2d may be needed (e.g., plan/elevation drawings)—do 3D then 'reduce to 2d' ala CAD. Have done occasional 2D for special projects such as when only one station is available (such as forensic video analysis).
- 4. Both. Although 'stereo' is almost all aerial. Our terrestrial/closerange is almost always convergent.
- 5. Our own in-house.
- 6. Mostly consumer digital—some film—some video.
- 7. For 'some film' cases, either sub out the scanning—or sometimes photoCD and/or desktop scanner (e.g., of prints).
- 8. I'm not a cultural guy:-) .... but for technology opinion, laser scanning seems to be a pretty slick solution if object is composed primarily of simple and/or smooth surfaces (e.g., situations withOUT numerous holes, occlusions, or other complex topologies).
- 9. Varies but typical for our applications is 'mid-range' (e.g.,1:10,000 to 1:25,000).
- 10. Personally, form of answer above notwithstanding, I'd say "No" and that "scale" is a "paper thing." It's a digital world, just use units. E.G. to say that a historic campsite was reconstructed within a 3D tolerance of 3/16" is unambiguous. IMHO, this is by far the most useful in practice for actual projects.

Having said that, there may be some applications (rare and few I'd imagine) where a relative answer makes sense. However, I'd expect this limited to applications that tend to be 'size invarient' (e.g. 1:xx,000 for aircraft wing measurement systems that work with wings in size from piper cubs to 777s).

Outside of specific applications, relative metrics can be very useful when assessing and characterizing performance of particular systems. For example to say that XYZ system produces 1:50,000 relative accuracy when applied to projects with characteristic dimension in the range of 1m–100m is a useful characterization of overall system capability.

#### **Prof. Frank von den Huevel, TU Delft:**

- 1. yes
- 2. yes, but only in research projects
- 3. 3d
- 4. both
- 5. SoftPlotter (designed for aerial photogrammetry!), PhotoModeler, Bingo (bundle adjustment), photogrammetric toolbox developed in-house
- 6. mainly digital still camera's (off-the-shelf)
- 7. no
- 8. yes, depending on the information required
- 9. Depending on requirements: 1e-3 to 1e-5 relative precision. Assessed through bundle adjustment.
- 10. Rated can be practical. I prefer thresholds (used in statistical testing). If you are looking for standards, this publication could be of interest: Luhmann, T., Wendt, K. (2000): "Recommendations for an Acceptance and Verification Test of Optical 3-D Measurement Systems." International Archives for Photogrammetry and Remote Sensing, Vol. 33/5, p. 493–499, Amsterdam.

#### Peter Borgas, Documenta Architectural Photogrammetry:

1. Yes			
2. Yes			

- 4. Both (digital stereo and convergent)
- 5. RolleiMetric, Leica, ISM Diap/PW soft-stereo.
- 6. Analogue and digital, both metric.
- 7. No (?)

3. Both

- 8. Yes
- 9. Usually, we (can) provide higher-accuracy (for architectural resources) than what is necessary or acceptable for architectural documentation/restoration (industry standards), for example. We achieve higher accuracies in order to maintain reliability and uniformity within a threshold of acceptability.
- 10. A threshold, as higher accuracy affects (as detrimental) costs only.

## APPENDIX B NSSDA ACCURACY STATISTIC WORKSHEETS

Figure 4. Horizontal accuracy statistic worksheet.

A Point number	B Point description	C s (inda- pendant)	B * (leef)	E	p parinxy'	G y (Inda- pendent)	M y Owell	I dffiny	omen,	ME (OFFICE)
				-	-		-			-
				1-1	-					
							7	- 1		
_			-	-						
				1	1					
	10			9						
					-	_				
_				_	-			-		_
_			_	-	-	-		-		_
		-	_	_	_	-	_			_
				_	_		_	_	160	
									. ayerope	
									RMSE.	
									NBBDA	

Column	Title	Contest
A	Point number	Designator of test point
	Point description	Description of test point
C	x (independent)	x coordinate of point from independent data set
D	x (test)	x coordinate of point from test data set
E	diff in x	x (independent) - x (test)
	{diffinx}'	Squared difference in $x = (x \text{ (independent)} - x \text{ (best)})^{-p}$
G	y (independent)	y coordinate of point from independent data set
H	y (best)	y coordinate of point from test data set
1	diffiny	y (independent) - y (test)
1	(diffiny) <sup>2</sup>	Squared difference in y = ( y (independent) - y (test) ) 2
K	( diff in x ) <sup>2</sup> + ( diff in y ) <sup>2</sup> sum average RMSE, NSSDA	Squared difference in x plus squared difference in $y = (error radius)^2 \Sigma [(diff in x )^2 + (diff in y )^2] sum / number of points. Root Mean Square Error (radial) = average**  National Standard for Sparial Data Accuracy statistic = 1.7308 * RMSE.$

**Figure 5.** Vertical accuracy statistic worksheet.

Α	В	С	D	Е	F
Point number	Point description	z (inde- pendent)	z (test)	diff in z	(diff in z) 2
				sum	
				average	
				RMSEz	
				NSSDA	

Column	Title	Contents
Α	Point number	Designator of test point
В	Point description	Description of test point
C	z (independent)	z coordinate of point from independent data set
D	z (test)	z coordinate of point from test data set
Е	diff in z	z (independent) - z (test)
F	( diff in z ) <sup>2</sup> sum average RMSE NSSDA	Squared difference in z = ( z (independent) - z (test) ) $^2$ $\sum$ (diff in z ) $^2$ sum / number of points Root Mean Square Error (vertical) = average $^{1/2}$ National Standard for Spatial Data Accuracy statistic = 1.9600 * RMSE
	NOODA	inational standard for spatial Data Accuracy statistic = 1.9600 Kinise

# APPENDIX C SAMPLE TRIANGULATION REPORT, ORTHOBASE

#### The Triangulation Report With OrthoBASE

The output image x, y units: pixels
The output angle unit: degrees
The output ground X, Y, Z units: meters

### The Input Image Coordinates

image ID = 1

Point ID	X	У
1	310.875	790.375
2	317.625	1369.625
3	733.875	1329.625
4	902.875	1141.375
5	996.125	1380.625
6	1061.875	1189.375
7	1000.375	846.875
8	1335.625	1143.125
9	1453.375	955.625
10	1759.125	1110.625
11	1554.875	1427.625
12	2020.375	843.375
19	18.375	1096.626
20	262.125	485.626
21	1648.875	691.625

Affine coefficients from file (pixels) to film (millimeters)

A0 A1 A2 B0 B1 B2 -17.3995 0.017000 0.000000 13.0560 0.000000 -0.017000

image ID = 2

X	У
32.125	1098.125
182.125	1332.625
238.375	1136.125
146.875	803.875
488.875	1067.125
602.625	867.875
941.875	999.875
786.125	1347.625
1205.875	687.875
1379.875	1139.125
1815.875	779.375
1861.625	981.875
2002.625	1236.875
555.875	561.875
	32.125 182.125 238.375 146.875 488.875 602.625 941.875 786.125 1205.875 1379.875 1815.875 1861.625 2002.625

Affine coefficients from file (pixels) to film (millimeters)

A0 A1 A2 B0 B1 B2 -17.3995 0.017000 0.000000 13.0475 0.000000 -0.017000

```
image ID = 3
Point ID
                \mathbf{X}
            1739.375
                        616.125
    17
    18
            1791.375
                        1039.125
    16
            1323.375
                        1191.375
    15
            1195.875
                        923.875
    14
            1126.375
                        708.375
    13
            646.125
                       1074.375
    12
            452.625
                        614.375
             67.875
                       1271.375
    11
    10
            197.125
                        923.875
  Affine coefficients from file (pixels) to film (millimeters)
  A0
                             B0
                                      B1
           A1
                    A2
                                               B2
-17.3995
          0.017000 0.000000
                                  13.0475 0.000000 -0.017000
         image ID = 4
Point ID
                        969.125
            1048.125
    18
    17
            999.375
                        521.375
    16
            506.125
                       1090.625
    15
            413.125
                        817.875
    14
            332.375
                        597.625
    25
            1687.625
                        880.375
  Affine coefficients from file (pixels) to film (millimeters)
  A0
           A1
                    A2
                             B0
                                      B1
                                               B2
-17.3995
          0.017000 0.000000
                                  13.0475 0.000000 -0.017000
         image ID = 5
Point ID
                \mathbf{X}
           1610.125
                       1052.375
    1
    2
           1585.625
                        480.125
    3
           1186.625
                        609.875
           1023.875
    4
                        795.625
    5
                       532.125
           906.375
    6
           852.875
                       729.625
    7
           942.125
                       1066.125
    8
           576.125
                       802.375
    9
           473.625
                       990.625
    10
            131.625
                        858.125
    11
            308.625
                        495.875
    19
            1898.875
                        875.125
    20
            1687.125
                        1465.625
    21
                       1383.125
            333.375
    22
            835.625
                         11.625
  Affine coefficients from file (pixels) to film (millimeters)
  A0
           A<sub>1</sub>
                    A2
                             B0
                                      B1
                                               B2
```

13.0475 0.000000 -0.017000

-17.3995

0.017000 0.000000

```
image ID = 6
 Point ID
               \mathbf{X}
                        y
    4
          1885.375
                       814.375
    5
          1750.625
                       568.625
    6
          1692.625
                       757.875
    7
          1766.875
                       1070.375
    8
          1450.625
                       841.875
    9
          1335.125
                       1030.875
    10
           1002.875
                       921.125
    11
           1154.875
                       547.125
                       1229.625
    12
            748.625
    13
            549.375
                       774.625
    14
            110.625
                       1138.375
    15
            43.375
                      919.875
    21
           1397.375
                       1428.375
    22
           1930.625
                        87.375
    23
            218.375
                        82.875
  Affine coefficients from file (pixels) to film (millimeters)
  A0
          A1
                   A2
                            B0
                                    B1
-17.3995 0.017000 0.000000
                                 13.0475 0.000000 -0.017000
```

image ID = 7

Point ID	X	y
12	1453.375	1276.625
11	1830.375	622.875
10	1701.625	976.125
9	1978.875	1078.625
13	1273.125	833.875
14	799.875	1197.375
15	721.375	976.625
16	582.375	722.375
17	174.875	1287.125
18	89.375	856.625
23	1207.125	159.875
24	142.875	311.125

Affine coefficients from file (pixels) to film (millimeters)

A0 **A**1 A2 B0 B1 B2

-17.3995 0.017000 0.000000 13.0475 0.000000 -0.017000

B2

#### image ID = 8Point ID $\mathbf{X}$ 16 1448.125 837.625 15 1511.625 1086.125 14 1571.375 1302.625 13 2040.125 997.375 17 931.125 1350.625 18 900.375 918.125 24 1344.375 436.625 25 278.875 1175.625

Affine coefficients from file (pixels) to film (millimeters)

A0 A1 A2 B0 B1 B2

-17.3995 0.017000 0.000000 13.0475 0.000000 -0.017000

#### THE OUTPUT OF SELF-CALIBRATING BUNDLE BLOCK ADJUSTMENT

the no. of iteration = 1 the standard error = 3.7106 the maximal correction of the object points = 0.07390

the no. of iteration =2 the standard error = 1.2872 the maximal correction of the object points = 0.10341

the no. of iteration =3 the standard error = 1.1433 the maximal correction of the object points = 0.02327

the no. of iteration =4 the standard error = 1.1538 the maximal correction of the object points = 0.00540

the no. of iteration =5 the standard error = 1.1539 the maximal correction of the object points = 0.00049

#### The exterior orientation parameters

			P				
image	ID Xs	Ys	Zs	OMEC	GA PH	I KAP	PPA
1	706621.1410	3631674.8	3473	3.0599	6.8209	-5.4914	52.8793
5	706621.4080	3631674.6	5813	3.0447	6.7264	3.7340 -	-131.8479
2	706621.5571	3631675.2	2491	3.0860	1.7741	0.2416	46.8654
6	706621.8105	3631675.0	)676	3.0576	2.2979	9.2344 -	-134.4764
3	706621.8955	3631675.5	5704	3.0517	-1.9516	3.7120	47.4069
7	706622.1449	3631675.3	3925	3.0219	-2.2502	12.8088	-133.4604
4	706622.2925	3631675.9	9113	2.9580	-5.7543	9.1784	49.9249
8	706622.5458	3631675.7	7752	2.9102	-7.4495	17.6741	-126.8746

The interior orientation parameters of photos

image	ID	f(mm)	xo(mr	n) yo(mm)
1	32	.0000	0.0000	0.0000
5	32	.0000	0.0000	0.0000
2	32	.0000	0.0000	0.0000
6	32	.0000	0.0000	0.0000
3	32	.0000	0.0000	0.0000
7	32	.0000	0.0000	0.0000
4	32	.0000	0.0000	0.0000
8	32	.0000	0.0000	0.0000

The values and accuracy of the additional parameters

No.	Ai	mAi	MaxX	K	Max'	Y
1	-5.0970E-003		-5.12	31	-3.362	21
2	-1.2991E-002		-8.56	89	13.05	71
3	4.5675E-004		-2.503	39	-5.148	31
4	-1.7810E-004		-2.00	73	2.506	55
5	-4.6189E-004		3.250	03	0.000	00
6	-2.6783E-004		0.00	00	0.734	-1
7	-4.7043E-005		5.65	65	0.000	00
8	-1.7300E-005		0.00	00	0.531	7
9	-5.9303E-005		-1.82	27	0.000	00
10	2.5381E-005		0.00	000	3.05	18
11	-5.2649E-006		1.72	263	0.00	00
12	2.5622E-008		0.00	000	0.008	34
Tota	1 1005.12M	x 659.	62My	-9.3	3928	11.3794

The residuals of the control points

Point	ID rX	rY	rZ
1	-0.0015	0.0130	-0.0265
2	0.0051	0.0074	-0.0332
3	-0.0155	0.0051	-0.0195
4	-0.0032	-0.0029	-0.0059
5	-0.0049	-0.0238	0.0149
6	-0.0006	-0.0020	0.0066
7	0.0105	0.0085	0.0158
8	0.0078	-0.0078	0.0207
9	0.0110	0.0075	0.0318
10	0.0120	0.0012	0.0222
11	0.0143	-0.0046	0.0114
12	-0.0088	0.0130	0.0176
13	0.0060	0.0063	0.0063
14	0.0004	0.0005	-0.0032
15	-0.0119	-0.0044	-0.0087
16	0.0107	-0.0116	-0.0377
17	-0.0052	-0.0025	-0.0573
18	-0.0262	-0.0030	0.0447

 $\begin{array}{cccc} aX & aY & aZ \\ 0.0000 & 0.0000 & -0.0000 \\ mX & mY & mZ \\ 0.0106 & 0.0089 & 0.0257 \end{array}$ 

The coordinates of object points

The coordinates of object points					
Point l	D X	Y Z	Overlap		
1	706621.0262	3631674.6628	2.0416	2	
2	706621.2648	3631674.4771	2.0333	2	
3	706621.3561	3631674.6794	2.1507	2	
4	706621.3390	3631674.7981	2.1328	4	
5	706621.4716	3631674.7588	2.1041	4	
6	706621.4169	3631674.8460	2.0979	4	
7	706621.2586	3631674.9286	2.0959	4	
8	706621.4803	3631674.9728	2.1195	4	
9	706621.4449	3631675.0840	2.1106	5	
10	706621.6099	3631675.1677	2.1186	6	
11	706621.6886	3631674.9787	2.0893	6	
12	706621.5849	3631675.3754	2.1213	5	
13	706621.8184	3631675.2844	2.0906	5	
14	706621.8434	3631675.5977	2.0615	6	
15	706621.9504	3631675.5479	2.0307	6	
16	706622.0967	3631675.5036	2.0454	5	
17	706622.0257	3631675.8867	1.9827	4	
18	706622.2189	3631675.7517	1.9587	4	
19	706621.0763	3631674.5213	2.2182	2	
20	706620.9379	3631674.7609	2.2439	2	
21	706621.3540	3631675.1858	2.2750	4	
25	706622.3678	3631676.0084	2.1698	2	
22	706621.6264	3631674.6340	2.2891	2	
23	706622.1004	3631675.1405	2.2537	2	
24	706622.3412	3631675.4937	2.1943	2	
	The total object	et points = 25			

## The residuals of image points

Point 1	Image Vx 1 1.268 5 -7.403	11.392 -15.017
Point 2 2	Image Vx 1 -5.885 5 -4.348	Vy 11.062 -7.453
Point 3	Image Vx 1 -3.784 5 -2.282	2.921
Point 4 4 4 4 4	1 -1.074	-0.960 -0.699 15.279
Point 5 5 5 5 5	Image Vx 1 -2.964 5 0.722 2 -5.468 6 -5.451	-2.757 5.220 13.255
Point 6 6 6 6	Image Vx 1 -1.640 5 1.069 2 -3.532 6 -8.061	-4.226 3.534 12.802
Point 7 7 7 7	Image Vx 1 0.879 5 0.158 2 0.008 6 -10.587	
Point 8 8 8 8 8	Image Vx 1 -3.806 5 2.081 2 -0.895 6 -5.226	Vy -9.308 7.705 8.478 -9.427
Point 9	Image Vx 1 -4.905	. Vy -9.726

Point 10 10 10 10 10	5 2 6 3	Vx -9.736 0.694 0.074 -1.077 -1.972 -9.473	Vy -18.903 13.176 -1.615 -1.597 13.183 -16.664
Point 11 11 11 11 11 11	5 2 6 3	Vx -8.772 0.564 -3.516 -0.343 -5.525 -7.363	Vy -15.486 11.103 2.374 0.654 14.335 -16.889
Point 12 12 12 12 12 12 12	2 6 3	Vx 14.026 -2.017 -1.376 2.530 -6.093	Vy -24.009 -2.010 2.987 10.076 -11.863
Point 13 13 13 13 13 13	6 3 7	Vx -5.539 1.323 -0.176 -3.026 16.135	Vy -9.467 8.461 5.477 -4.773 -26.037
Point 14 14 14 14 14 14	6 3	Vx -8.331 -3.359 -0.753 -0.534 2.107 -7.887	Vy -18.314 13.975 -1.205 1.222 11.046 -14.901
Point 15 15 15 15 15 15 15	6 3 7 4	Vx 11.039 -2.132 -2.651 1.856 1.696 -6.774	Vy -20.601 14.937 -5.425 3.763 9.994 -12.965
Point 16 16 16 16	3 7 4	Vx 16.407 -2.545 1.658 -1.287 -4.164	Vy -26.336 -9.434 7.912 8.896 -9.341

Point 17 17 17 17	3 -6 7 -5 4 -0	5.607	-13.456 13.212
		0.721 .075 0.731	-19.355 14.013 -3.726 -0.275
Point 19 19	Image 1 -4 5 -1	.422	Vy 15.612 -21.088
Point 20 20	Image 1 2 5 -9	Vx 2.040 0.699	Vy 12.015 -17.686
Point 21 21 21 21	5 -6 2 1		-12.295
25	Image 4 -8 8 -2	3.117	Vy -15.666 11.520
Point 22 22	Image 5 -9 6 8	Vx 0.027 3.172	Vy 11.379 -6.467
Point 23 23		Vx 2.023 5.582	Vy 12.149 5.677
Point 24 24		Vx 5.587 3.129	Vy 12.240 -1.676

The image residuals of the control points

```
The image ID = 1
 Point ID
             Vx
                      Vy
          1.268
                   11.392
     1
     2
         -5.885
                   11.062
     3
         -3.784
                   2.921
     4
         -1.074
                   -0.960
     5
         -2.964
                   -2.757
     6
         -1.640
                   -4.226
     7
          0.879
                   -0.541
     8
         -3.806
                   -9.308
     9
         -4.905
                   -9.726
    10
          -9.736
                   -18.903
    11
          -8.772
                   -15.486
         -14.026
                  -24.009
    12
RMSE of 12 points: mx=6.265, my=11.698
     The image ID = 5
 Point ID
             Vx
                      Vy
         -7.403
                  -15.017
     1
     2
         -4.348
                   -7.453
     3
         -2.282
                   -1.125
     4
         -0.728
                   -0.699
     5
          0.722
                   5.220
     6
          1.069
                   3.534
     7
          0.158
                   -1.860
     8
          2.081
                   7.705
     9
          1.403
                   9.042
    10
          0.694
                   13.176
           0.564
                   11.103
    11
RMSE of 11 points: mx=2.832, my=8.334
     The image ID = 2
 Point ID
             Vx
                      Vy
     4
         -2.949
                   15.279
     5
         -5.468
                   13.255
         -3.532
     6
                   12.802
     7
          0.008
                   12.941
     8
         -0.895
                   8.478
     9
          2.352
                   6.590
    10
          0.074
                   -1.615
          -3.516
                    2.374
    11
                   -2.010
    12
          -2.017
    13
          -5.539
                   -9.467
    14
          -8.331
                   -18.314
    15
         -11.039
                   -20.601
```

-16.407

-26.336 RMSE of 13 points: mx=6.592, my=13.619

```
The image ID = 6
             Vx
                     Vy
 Point ID
     4
         -9.058
                  -20.586
     5
         -5.451
                  -14.146
         -8.061
     6
                  -14.673
     7
        -10.587
                  -18.455
     8
         -5.226
                  -9.427
     9
         -3.962
                  -8.207
    10
         -1.077
                  -1.597
    11
         -0.343
                   0.654
    12
         -1.376
                   2.987
    13
          1.323
                   8.461
    14
         -3.359
                   13.975
    15
         -2.132
                   14.937
RMSE of 12 points: mx=5.421, my=12.376
     The image ID = 3
                     Vy
 Point ID
            Vx
                   13.183
    10
         -1.972
    11
          -5.525
                   14.335
    12
          2.530
                   10.076
    13
         -0.176
                   5.477
    14
         -0.753
                  -1.205
    15
         -2.651
                   -5.425
         -2.545
                  -9.434
    16
         -6.607
                  -13.456
    17
    18
         -10.721
                  -19.355
RMSE of 9 points: mx=4.870, my=11.481
```

The image ID = 7Point ID VxVy -14.551 -24.704 9 -9.473 10 -16.664 -7.363 -16.889 11 12 -6.093 -11.863 13 -3.026 -4.773 14 -0.534 1.222 15 1.856 3.763 16 1.658 7.912 17 -5.409 13.212 18 -1.075 14.013

RMSE of 10 points: mx=6.625, my=13.364

#### Point ID Vx 14 1.696 15 9.994 -1.287 8.896 16 -0.108 3.304 17 18 -0.731 -3.726 RMSE of 5 points: mx=1.380, my=8.073

The image $ID = 8$					
Point II	$V_{X}$	Vy			
13	-16.135	-26.037			
14	-7.887	-14.901			
15	-6.774	-12.965			
16	-4.164	-9.341			
17	-2.763	-0.653			
18	0.867	-0.275			
RMSE of	6				

## **APPENDIX D**

## RESOLUTION NO. 2 CONCERNING PHOTOGRAMMETRIC ARCHIVES

Resolution No. 2 Concerning Photogrammetric Archives adopted by the General Assembly on 15 October 1987

#### CIPA/2328

Considering the menaces to which monuments and sites are continuously subjected, particularly those of time and natural forces,

taking into account the possibilities of scientific documentation and recording offered by the constitution of photogrammetric archives of cultural properties,

the General Assembly,

recommends to all countries members of ICOMOS

- a) to constitute photogrammetric archives of their monuments and sites included in the World Heritage List,
- b) to extend, as far as possible, those archives to buildings and sites listed in their national inventories,
- c) to give, in that activity, priority to monuments and sites situated in regions subjected to natural disaster risks, particularly in earthquake zones,
- d) to seek, if necessary, international cooperation for establishing those photogrammetric archives.

## APPENDIX E

## OPTIMAL PRACTICE IN ARCHAEOLOGICAL PHOTOGRAMMETRY SURVEYS

CIPA wishes it to be recalled that, technically, an architectural protogrammetry survey is a means specifically suited to the provision of knowledge of the effective form of a building at a given moment and measurement of that form.

CIPA wishes to draw attention to the following points:

- i. If there is to be optimum use of the means available to the persons in charge of the study and conservation of the building heritage, photogrammetric surveys—except in the case of special operations for purposes of research—should be designed to suit the actual requirements to be met, as exactly defined by the user requesting the survey; the latter should cooperate with the person who is to execute it so as to take full advantage of the real potentialities of the technique.
- ii. Over and above the satisfaction of mere immediate needs, it is frequently fairly easy, and requires little extra work, to extend the field of application of a photogrammetric survey by including a minimum amount of extra data either when photographing or when plotting so as to increase its general value as a documentary record and make it distinctly more economically worthwhile and more effective in use.

CIPA recommends that when photogrammetric survey programs are drawn up special importance be assigned to the creation of photogrammetric archives of buildings.

CIPA recommends that makers and users of photogrammetric surveys be fully informed of the accepted notions regarding their accuracy, whether absolute or relative, and provide objective information in the matter.

CIPA also holds it to be advisable for the plotting technicians to have a proper understanding of the survey they work on and thus be able to notice any accidental errors in their drawings, check by making digital measurements with their plotter any lines which appear doubtful and consult large-scale steroscopic records of the parts of the building which are awkward to survey<sup>1</sup> so as to dispel any remaining doubts.

In conclusion, CIPA, considering that the planning of the photographic operations for photogrammetric surveys is inevitably dependent on the peculiarities of the building surveyed and the nature of its surroundings, the purpose in view, the degree of accuracy required, the equipment available, and the desire to achieve useful results while keeping the cost as low as possible,

- wishes it to be recalled that such planning must be done in the full knowledge of what is involved, including the foregoing technical principles, particularly those relating to the scale of the photographs, the base/distance ratio, camera axis tilt, and control measurements.
- advises makers of surveys to make regular use, to this end, of tables or graphs showing the mean degree of error occurring in the surveys corresponding to each of the possible photographing parameters.

CIPA strongly advocates adoption of the practice of examining steropairs as an aid to the architectural analysis of the building to be surveyed.

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<sup>&</sup>lt;sup>1</sup> This reference material may be produced very simply with ordinary cameras.

#### CIPA:

- recommends that users and makers of photogrammetric surveys of architecture pay all due attention to reference planes as fundamental guides to the significance, understanding, and use of such surveys
- proposes that information on the reference planes chosen when photographing be considered as part of the data to be included in photogrammetric archives;
- strongly advises that the directions of the reference planes be clearly shown on surveys and drawn in on a cross section;
- suggests that in cases where for purposes of study or conservation it is necessary to break complex forms up into units of surface which are plane or may be treated as plane, use be made of every potentiality of the various photogrammetric techniques, and particularly of digitally controlled orthophotography, in order to improve the quality of the survey.

CIPA advises against the laying down of general rules as to whether or not surveys should show jointing and hold that each case should be treated separately, according to the use for which the survey is intended. Care should be taken, however, to plan the photographing in a manner which ensures the jointing is satisfactorily recorded so that it may subsequently be plotted should the need arise.

Photographic surveys should be the general rule for surveys of paintings, and digitally controlled orthophotography should be used where they lie on curved surfaces.

Where the sculptural decoration forms an integral part of the building and must not be separated from its architectural setting it must be surveyed in its entirety (and on a scale suited to the purpose of the survey of the building).

Where it does not, a decision as to what to survey should be made in each individual case in the light of the actual requirements, the practical utility of the operation and its cost; the desired scale and degree of accuracy which will determine the conditions under which the photographing is to be done, should be decided on at the same time.

#### CIPA recommends

- that the operators who make the surveys be provided with a thorough training, both in photogrammetry technique and in the understanding of the architecture;
- that there be close and frequent contact between these operators and those who order the surveys and use them.

CIPA expresses the hope that the potential applications of orthophotography and digital photogrammetry in connection with the study and measurement of buildings can be more thoroughly investigated by architects, historians, and photogrammetrists working in conjunction with each other.

CIPA strongly advises:

- that care be taken to ensure the purity of the photogrammetric record obtained by the plotting of a continuous line drawing, as the most objective expression possible of the true form of the building.
- that this uninterpreted record be preserved, and that the alterations made by the user to suit his own needs be made only on copies of the original survey.

Having thus examined the various forms of output which may be adopted in graphical plotting, CIPA considers it should recall all the possibilities afforded by photogrammetry, namely:

- i. plottings of facades in elevation and planimetric plottings of ceilings, vaults, and insides of domes, for which as a technique it is peculiarly and ideally suited.
- ii. vertical and horizontal sections, which stereo-plotting can produce rapidly in whatever quantities are required.
- iii. representations of non-plane surfaces by means of contour lines, or by a three-dimensional digital survey which can serve to reveal the condition of the work and permit analysis and calculations.
- iv. completion of graphical surveys by noting the position of individual points, especially to indicate object depth, and by digital precision measurements of selected features.

It also considers it should advise that these different possibilities be judiciously exploited according to the requirements to be met.

CIPA recommends that photogrammetric survey drawings show all the data essential for accurate knowledge of:

the scale (especially the linear scale, as a precaution against any distortion; a measuring grid will be still better);

the horizontality, verticality, and height;

the reference planes;

the position of the vertical and horizontal sections;

the interval between the contour lines, where these are used;

the unit adopted for the measurements shown on the drawing;

the significance of any lines drawn thicker, hatched, dotted, etc.;

the dates of execution, including data of photographing (most important of all), but also date of plotting and date of any further work on site;

the various institutions which did the surveying, commissioned it, stored the original copy, have preserved the data in their archives, etc.

# APPENDIX F FGDC METADATA LAYOUT AND EXAMPLE

# USDA Standard for Geospatial Data Set Metadata

Element name	Definition	Domain value/example
1. Identification_Information	Basic information about the data set.	
1.1. Citation		
1.1.1. Citation_Information	The recommended reference to be used for the data set.	45 IO IO 44400 MINE
1.1.1.1. Originator	The name of an organization or individual that developed the data set.	Textual entry, should include the names of editors or compliers if information is available.
1,1,1.2. Publication_Date	The date when the data set is published or otherwise made available for release.	For example June, 1999.
1.1.1.3. Title	The name by which the data set is known.	For example, "Common Land Unit of Taylor, Texas".
1.2. Description	A characterization of the data set, including its intended use and limitations.	
1.2.1. Abstract	A brief narrative summary of the data set.	Example: "This data set was prepared by digitizing maps, by compiling information from a planimetric correct base and digitizing, or by revising digitized maps using remotely sensed and other information. The data set consists of georeferenced digital map data and computerized attribute data. This data set contains information that can be used in geospatial analysis for general planning purposes. The information can be applied to various types of site or suitability selection to aid land management decisions."
1.2.2. Purpose	A summary of the intentions with which the data set was developed.	Example: "This data set depicts information about features on or near the surface of the Earth depicting information about the distribution of the theme across the landscape. It can be used for general planning purposes in GIS analysis."

Element name	Definition	Domain value/example
1.3. Time_Period_of_Content	Time periods(s) for which the	
	data set corresponds to the	
1.2.1 Time Pooled Information	currentness reference.	
1.3.1. Time_Period_Information	Information about the date and time of an event. Use one of the	
	following date recording	
	methods:	
	1.3.1.1. Single_Date/Time or	
	1.3.1.2. Multiple_Dates/Times	
	or	
	1.3.1.3. Range_of_Dates/Times	
1.3.1.1. Single_Date/Time	Means of encoding a single date and time.	
1.3.1.1.1 Calendar_Date	The year (and optionally month,	The date should conform to the
	or month and day).	following format: YYYY for year only, YYYYMMDD if month and
		day information is available.
		An example for June 10, 1999 is
		19990610 or simply 1999 if only
12112 Time of Dec	The boson (and anti-on-the-original	year information is available.
1.3.1.1.2. Time_of_Day	The hour (and optionally minute, or minute and second) of the	Use "Unknown" when information is unavailable.
	day. This item is useful for	information is unavariable.
	measurements that are time	
	sensitive, for example,	
	temperature and Global Positioning Systems (GPS).	
1.3.1.2. Multiple_Dates/Times	Means of encoding multiple	
1.5.1.2. Manapie_Bates 1 mies	individual dates and times	
1.3.1.2.1. Calendar_Date (R)	The year (and optionally month,	The date should conform to the
	or month and day).	following format: YYYY for year
		only, YYYYMMDD if month and day information is available.
		-
		An example for June 10, 1999 is 19990610 or simply 1999 if only
		year information is available.
1.3.1.2.2. Time_of_Day (R)	The hour (and optionally minute,	Use "Unknown" when
, , ,	or minute and second) of the	information is unavailable.
	day. This item is useful for	
	measurements that are time sensitive, for example,	
	temperature and GPS.	
1.3.1.3. Range_of_Dates/Times	Means of encoding a range of dates and times.	
1.3.1.3.1. Beginning_Date	The first year (and optionally	The date should conform to the
	month, or month and day) of the	following format: YYYY for year
	event.	only, YYYYMMDD if month and
		day information is available.
		An example for June 10, 1999 is

Element name	Definition	Domain value/example
		19990610 or simply 1999 if only year information is available.
1.3.1.3.2. Beginning_Time	The first hour (and optionally minute, or minute and second) of the day for the event.	Use "Unknown" when information is unavailable.
1.3.1.3.3. Ending_Date	The last year (and optionally month, or month and day) of the event.	The date should conform to the following format: YYYY for year only, YYYYMMDD if month and day information is available.  An example for June 10, 1999 is 19990610 or simply 1999 if only year information is available.
1.3.1.3.4. Ending_Time	The last hour (and optionally minute, or minute and second) of the day for the event.	Use "Unknown" when information is unavailable.
1.3.2. Currentness_Reference	The basis on which the time period of content information is determined.	"publication date", "ground condition", "date of digitizing"
1.4. Status	The state of or maintenance information for the data set	
1.4.1. Progress	The state of the data set.	"Complete", "In Work", "Planned"
1.4.2. Maintenance_and_Update_ Frequency	The frequency with which changes and additions are made to the data set after the initial data set is completed.	"Continually", "Daily", "Weekly", "Monthly", "Annually", "Unknown", "As Needed", "Irregular", "None Planned", etc.
1.5. Spatial_Domain	The geographic areal domain of the data set.	
1.5.1. Bounding_Coordinates	The limits of coverage of a data set expressed by latitude and longitude values in the order western-most, eastern-most, northern-most, and southern-most. For data sets that include a complete band of latitude around the earth, the West Bounding Coordinate shall be assigned the value –180.0 and the East Bounding Coordinate shall be assigned the value 180.0. These values will be expressed in decimal degrees.	
1.5.1.1. West_Bounding_Coordinate	Western-most coordinate of the limit of coverage expressed in longitude (decimal degrees).	-180.0 <= West Bounding Coordinate <= 180.0
1.5.1.2. East_Bounding_Coordinate	Eastern-most coordinate of the limit of coverage expressed in longitude (decimal degrees).	-180.0 <- East Bounding Coordinate <= 180.0
1.5.1.3. North_Bounding_Coordinate	Northern-most coordinate of the limit of coverage expressed latitude (decimal degrees).	-90.0 ← North Bounding Coordinate ← 90.0; North Bounding Coordinate ← South Bounding Coordinate.

Element name	Definition	Domain value/example
1.5.1.4. South_Bounding_Coordinate	Southern-most coordinate of the limit of coverage expressed in latitude (decimal degrees).	-90.0 <= South Bounding Coordinate <= 90.0; South Bounding Coordinate <= North Bounding Coordinate
1.6. Keywords	Words or phrases summarizing an aspect of the data set.	
1.6.1. Theme	Subjects covered by the data set.	0.
1.6.1.1. Theme_Keyword (R)	Common use word or phrase used to describe the subject of the data set.	See Appendix B Table B.1 for the acceptable domain values list.
1.6.2. Place	Geographic locations characterized by the data set.	
1.6.2.1. Place_Keyword (R)	Geographic locations characterized by the data set.	Examples: State Name ("Virginia" or "VA"), County Name ("Frederick", "Frederick County"), State FIPS (2-digit code such as "51"), County FIPS (3- digit code, such as "069"), Quadrangle name ("Round Hill"), Quadrangle code (such as "O36078h7"), or OIP name, or OIP number (4-digit code).
1.7. Access_Constraints	Restrictions and legal prerequisites for accessing the data set. These include any access constraints applied to assure the protection of privacy or intellectual property, and any special restrictions or limitations on obtaining the data set.	Generally, NRCS/FSA will use "None" as the domain value.

Element name	Definition	Domain value/example
1.8. Use_Constraints	Restrictions and legal prerequisites for using the data set after access is granted. These include any use constraints applied to assure the protection of privacy or intellectual property, and any special restrictions or limitations on using the data set.	Example: "The U.S. Department of Agriculture, Natural Resources Conservation Service (or Aerial Photography Field Office or Farm Service Agency as appropriate), should be acknowledged as the data source in products derived from these data."  "The data set is not designed for use as a primary regulatory tool permitting or citing decisions, but may be used as a reference source. This is public information and may be interpreted by organizations, agencies, units of government, or others based on needs; however, they are responsible for the appropriate application."  "Photographic or digital enlargement of these maps to scales greater than at which they were originally mapped can cause misinterpretations are intended for planning purposes only".
1.9. Point_of_Contact	Contact information for an individual or organization that is knowledgeable about this data set. In most cases this may be the data steward.	
1.9.1. Contact_Information	Identity of, and means to communicate with, person(s) and organization(s) associated with the data set. Use either the contact person or contact organization.	
1.9.1.1. Contact_Person_Primary	The person, and the affiliation of the person, associated with the data set. Used in cases where the association of the person to the data set is more significant than the association of the organization to the data set. Use either:  1.9.1.1.1.  Contact_Person_Primary or 1.9.1.2.1.  Contact_Organization_Primary	

Element name	Definition	Domain value/example
	which to contact type applies. In	
	many cases this may be the data	
	steward.	
1.9.1.2.	The organization, and the	
Contact_Organization_Primary	member of the organization, associated with the data set.	
	Used in cases where the	
	association of the organization to	
	the data set is more significant	
	than the association of the	
	person to the data set.	
1.9.1.2.1. Contact_Organization	The name of the organization to	Examples include: "USDA
	which the contact applies.	NRCS", "USDA APFO", USDA FS"
1.9.1.3. Contact_Address	The address for the organization or individual.	
1.9.1.3.1. Address_Type	The information provided by the address.	"mailing", "physical", "mailing and physical"
1.9.1.3.2. Address	An address line for the address.	For example: 100 S. Main St.
1.9.1.3.3. City	The city of the address	For example: Kansas City
1.9.1.3.4. State_or_Province	The state or province of the address.	For example: MO
1.9.1.3.5. Postal_Code	The ZIP or other postal code of the address.	For example: 20002
1.9.1.3.6. Country	The country of the address.	For example: USA
1.9.1.4.	The telephone number by which	For example: (202)555-1212
Contact_Voice_Telephone	individuals can speak to the	
	organization or individual.	
1.9.1.5.	The telephone number of a	For example: (202)555-1213
Contact_Facsimile_Telephone	facsimile machine of the	
1016 Contact Electronic Mail	organization or individual.	Fthi@t
1.9.1.6. Contact_Electronic_Mail_ Address	The address of the electronic mailbox of the organization or	For example: smithj@usda.gov
Address	individual.	
1.10. Browse_Graphic	A graphic that provides an	
	illustration of the data set. The	
	graphic should include a legend	
	for interpreting the graphic.	
1.10.1	Name of a related graphic file	For example:
Browse_Graphic_File_Name	that provides an illustration of	http://www.usda.gov/soils.tif
	the data set. Include the	
	Uniform Resource Locator	
	(URL) and filename that point to the location of the graphic.	
1.10.2.	A text description of the	Textual entry.
Browse_Graphic_File_	illustration.	. Caldin City.
Description		
1.10.3.	Graphic file type of related	See Appendix B, Table B.2 for
Browse_Graphic_File_Type	graphic file.	acceptable domain values list.
		Users should caveat the browse
		graphic file type domain value
		with the following file resolution

Element name	Definition	Domain value/example
		statement: "This image has been re-sampled to reduce the number of pixels for faster viewing", if this condition exists.

Element Name	Definition	Domain Value/Example
2. Data_Quality_Information	A general assessment of the quality of the data set.	•
2.1, Positional_Accuracy	An assessment of the accuracy of the positions of spatial objects. The reported accuracy value is the cumulative result of all uncertainties, including those introduced by geodetic control coordinates, compilation, and final extraction of ground coordinate values in the spatial data.	
2.1.1. Horizontal_Positional_Accuracy	An estimate of accuracy of the horizontal positions of the spatial objects.	
2.1.1.1. Horizontal_Positional_Accuracy_ Report	An explanation of the accuracy of the horizontal coordinate measurements and a description of the tests used. Horizontal accuracy may be recorded according to NSSADA (National Spatial Data Accuracy). Horizontal spatial accuracy is defined by circular error of a data set's horizontal coordinates at the 95% confidence level. Report NSSADA accuracy in ground units (i.e., if the data set uses metric units, report accuracy in meters. Other map accuracy standards include RMSE (Root Mean Square Error) and ASPRS (American Society for Photogrammetry and Remote Sensing).	An example a of domain value for a horizontal positional accuracy report follows:  "The accuracy of these digital data is based upon their compilation to base maps that meet National Map Accuracy Standards. The difference in positional accuracy between the digitized boundaries or points and the true feature locations is unknown."  Use "According to Specifications" if appropriate.
2.1.2. Vertical_Positional_Accuracy	An estimate of accuracy of the vertical positions in the data set.	

Element Name	Definition	Domain Value/Example
2.1.2.1.	An explanation of the accuracy of	Generally, the domain value for
Vertical_Positional_Accuracy_	the vertical coordinate	the vertical positional accuracy
Report	measurements and a description	report of Service Center data will
	of the tests used. Vertical	be "None".
	accuracy may be recorded	
	according to NSSADA (National	
	Spatial Data Accuracy). Vertical	
	spatial accuracy is defined by	
	linear error of a data set's vertical	
	coordinates at the 95%	
	confidence level. Report	
	NSSADA accuracy in ground	
	units (i.e., if the data set uses metric units, report accuracy in	
	meters.	
2.2 Lineage	Information about the events,	
	parameters, and source data	
	which constructed the data set,	
	and information about the	
	responsible parties.	
2.2.1. Source_Information	List of sources and a short	
	discussion of the information	
	contributed by each.	
2.2.1.1. Source_Citation	Reference for a source data set.	
2.2.1.1.1. Citation_Information	The recommended reference to be used for the source material.	
2.2.1.1.1.1. Originator	The name of an organization or	Examples include: "USDA
	individual that developed the data	NRCS", "USDA APFO", USDA
	set.	FS" or "John Smith NCRS"
2.2.1.2.	The denominator of the	For example, on a 1:24,000-scale
Source_Scale_Denominator	representative fraction on a map.	map, the source scale
		denominator is 24000.
2.2.1.3.	Time period(s) for which the	
Source_Time_Period_of_Content	source data set corresponds to the	
22121	ground. Information about the date and	
2.2.1.3.1. Time Period Information	time of an event Use one of the	
Tane_reriod_information	following date recording	
	methods:	
	incurous.	
	2.2.1.3.1.1. Single_Date/Time	
	or	
	2.2.1.3.1.2.	
	Multiple_Dates/Times	
	or	
	2.2.1.3.1.3.	
221211011 2 2 2	Range_of_Dates/Times	
2.2.1.3.1.1. Single_Date/Time	Means of encoding a single date and time.	
2.2.1.3.1.1.1. Calendar_Date	The year (and optionally month,	The date should conform to the
	or month and day).	following format: YYYY for year
		only, YYYYMMDD if month
		and day information is available.

Element Name	Definition	Domain Value/Example
		An example for June 10, 1999 is 19990610 or simply 1999 if only year information is available.
2.2.1.3.1.1.2. Time_of_Day	The hour (and optionally minute, or minute and second) of the day. This item is useful for measurements that are time sensitive, for example, temperature and Global Positioning Systems (GPS).	Use "Unknown" when information is unavailable.
2.2.1.3.1.2.	Means of encoding multiple	
Multiple_Dates/Times	individual dates and times	
2.2.1.3.1.2.1. Calendar_Date (R)	The year (and optionally month, or month and day).	The date should conform to the following format; YYYY for year only, YYYYMMDD if month and day information is available.  An example for June 10, 1999 is 19990610 or simply 1999 if only year information is available.
2.2.1.3.1.2.2. Time_of_Day (R)	The hour (and optionally minute, or minute and second) of the day. This item is useful for measurements that are time sensitive, for example, temperature and GPS.	Use "Unknown" when information is unavailable.
2.2.1.3.1.3. Range_of_Dates/Times	Means of encoding a range of dates and times.	
2.2.1.3.1.3.1. Beginning_Date	The first year (and optionally month, or month and day) of the event.	The date should conform to the following format: YYYY for year only, YYYYMMDD if month and day information is available.  An example for June 10, 1999 is 19990610 or simply 1999 if only year information is available.
2.2.1.3.1.3.2. Beginning_Time	The first hour (and optionally minute, or minute and second) of the day for the event.	Use "Unknown" when information is unavailable.
2.2.1.3.1.3.3. Ending_Date	The last year (and optionally month, or month and day) of the event.	The date should conform to the following format: YYYY for year only, YYYYMMDD if month and day information is available.  An example for June 10, 1999 is 19990610 or simply 1999 if only year information is available.
2.2,1,3,1,3,4. Ending_Time	The last hour (and optionally minute, or minute and second) of the day for the event.	Use "Unknown" when information is unavailable.

Element name	Definition	Domain value/examples
3. Spatial_Data_Organization_	The mechanism used to represent	
Information	spatial information in the data set.	
3.1. Direct_Spatial_Reference_	The system of objects used to	"Point", "Vector", "Raster"
Method	represent space in the data set.	

Element Name	Definition	Domain Value/Examples
4. Spatial_Reference_Information	The description of the reference frame for, and the means to encode, coordinates in the data set.	
4.1. Horizontal_Coordinate_System_ Definition	The reference frame or system from which linear or angular quantities are measured and assigned to the position that a point occupies. Select one of the following two horizontal coordinate system models:  Geographic or Planar	
4.1.1. Geographic	The quantities of latitude and longitude which define the position of a point on the Earth's surface with respect to a reference spheroid.	
4.1.1.1. Geographic_Coordinate_Units	Units of measure used for the latitude and longitude values.	"Decimal degrees", "Decimal minutes", "Decimal seconds", "Degrees and decimal minutes", "Degrees, minutes, and decimal seconds", "Radians", "Grads"

Element name	Definition	Domain value/examples
4.1.2. Planar	The quantities of distances, or distances and angles, which define the position of a point on a reference plane to which the surface of the Earth has been projected.	
4.1.2.1. Map_Projection	The systematic representation of all or part of the surface of the Earth on a plane or developable surface. Select from one of the following two Planar systems (Map Projection or Grid Coordinate System).	
4.1,2.1.1, Map_Projection_Name	Name of the map projection.	"Albers Conical Equal Area", "Azimuthal Equidistant", "Equidistant Conic", "Equi- rectangular", "General Vertical Near-sided Projection", "Gnomonic", "Lambert Azimuthal Equal Area", "Lambert Conformal Conic", "Mercator", "Modified Stereographic for Alaska", "Miller Cylindrical", "Oblique Mercator", "Orthographic", "Polar Stereographic", "Polyconic", "Robinson", "Sinusoidal", "Space Oblique Mercator", "Stereographic", "Transverse Mercator", "van der Grinten"
4.1.2.2. Grid_Coordinate_System	A plane-rectangular coordinate system usually based on, and mathematically adjusted to, a map projection so that geographic positions can be readily transformed to and from plane coordinates.	
4.1.2.2.1. Grid_Coordinate_System_Name	Name of the grid coordinate system. Select one of the following systems: 4.1.2.2.1.1. Universal_Transverse_Mercator or 4.1.2.2.1.2. State_Plane_Coordinate_System	

Element name	Definition	Domain value/examples
4.1.2.2.1.1. Universal_Transverse_Mercator	(UTM) a grid system based on the transverse Mercator projection, applied between latitudes 84 degrees north and 80 degrees south on the Earth's surface.	
4.1.2.2.1.1.1. UTM_Zone_Number	Identifier for the UTM zone.	Values for the northern hemisphere fall within 1 <= UTM zone <= 60. Values for the southern hemisphere fall within - 60 <= UTM zone <= -1.
4.1.2.2.1.2. State_Plane_Coordinate_System	(SPCS) a plane-rectangular coordinate system established for each state in the United States by the National Geodetic Survey.	
4.1.2.2.1.2.1. SPCS_Zone_Identifier:	Identifier for the SPCS zone.	Use the four-digit numeric codes for the SPCS zone based on the North American Datum (NAD) of 1927 or NAD 1983 depending on applicability.  Include one of the following domain values: "Lambert Conformal Conic", "Transverse Mercator", "Oblique Mercator", "Polyconic".
4.1.2.3. Planar_Coordinate_Information	Information about the coordinate system developed on the planar surface.	
4.1.2.3.1. Planar_Distance_Units	Units of measure used for distances.	Examples include: "meters", "international feet", "survey feet"
4.1.3. Geodetic_Model	Parameters for the shape of the earth.	
4.1.3.1. Horizontal_Datum_Name	The identification given to the reference system used for defining the coordinates of points.	Select either "North American Datum of 1927" or "North American Datum of 1983".
4.1.3.2. Ellipsoid_Name	Identification given to established representations of the Earth's shape.	Select either "Clarke 1866" or "Geodetic Reference System 80"

Element Name	Definition	Domain Value/Examples
5. Entity_and_Attribute_ Information  5.1 Overview_Description	Details about the information content of the data set, including the entity types, their attributes, and the domains from which attribute values may be assigned. Summary of and citation to	
	detailed description of, the information content of the data set.	
5.1.1. Entity_and_Attribute_Overview (R)	Detailed summary of the information contained in a data set.	Textual description of attributes.  For example: taxclass (taxonomic classification) - stores the taxonomic classification for soils in the database.
5.1.2 Entity_and_Attribute_Detail_ Citation (R)	Reference to the complete description of the entity types, attributes, and attribute values for the data set.	Textural reference to where the complete descriptions may be found.  U.S. Department of Agriculture. 1975. Soil Taxonomy: A basic system of soil classification for making and interpreting soil surveys. Soil Conservation Service, U.S. Department of Agriculture Handbook 436.

Element name	Definition	Domain value/examples
6. Distribution_Information	Information about the distributor of and options for obtaining the data set.	
6.1. Distributor	The party from whom the data set may be obtained.	
6.1.1. Contact_Information	Identity of, and means to communicate with, person(s) and organization(s) associated with the data set. Use either the contact person or contact organization.	
6.1.1.1. Contact_Organization_ Primary	The organization, and the member of the organization, associated with the data set. Used in cases where the association of the organization to the data set is more	

Element name	Definition	Domain value/examples
Ziement manie	significant than the association of the	_ Junio Faracica ampica
	person to the data set.	
	•	
	Populate either:	
	•	
	6.1.1.1.1. Contact_Organization or	
	6.1.1.1.2. Contact_Person	
6.1.1.1.1 Contact_Organization	The name of the organization to which	Examples include:
	the contact type applies.	"USDA NRCS", "USDA
		APFO", USDA FS"
6.1.1.1.2. Contact_Person	The name of the individual to which the	For example: "John
	contact type applies. In many cases this	Smith"
	may be the data steward.	
6.1.1.2. Contact_Address	The address for the organization or	
	individual point of contact.	
6.1.1.2.1. Address_Type	The information provided by the address.	Examples include,
		"mailing", "physical",
		"mailing and physical".
6.1.1.2.2. Address	An address line for the address.	For example: 100 S. Main
(1100 6)	m : ca 11	St.
6.1.1.2.3. City	The city of the address.	For example: Kansas City
6.1.1.2.4. State_or_Province	The state or province of the address.	For example: MO
6.1.1.2.5. Postal_Code	The ZIP or other postal code of the	For example: 20002
(1124 0	address.	7 1 1 1 1 1 1 1
6.1.1.2.6. Country	The country of the address.	For example: USA
6.1.1.3.	The telephone number by which	For example: (202)555-
Contact_Voice_Telephone	individuals can speak to the organization or individual.	1212
7114		F(202)555
6.1.1.4.	The telephone number of a facsimile machine of the organization or individual.	For example: (202)555- 1213
Contact_Facsimile_Telephone 6.1.1.5.	The address of the electronic mailbox of	For example:
Contact_Electronic_Mail_	the organization or individual.	-
Address	the organization of murvidual.	smithj@usda.gov
6.2. Standard Order Process	The common ways in which the data set	
o.z. otandara_order_rrocess	may be obtained or received, and related	
	instructions and fee information.	
6.2.1. Digital_Form	The description of options for obtaining	
	the data set on computer-compatible	
	media.	
6.1.2.1.	Description of the form of the data to be	
Digital_Transfer_Information	distributed.	
6.2.1.1.1. Format_Name	The name of the data transfer format.	See Appendix B Table
		B.3 for acceptable
		domain values list.
6.2.1.2. Digital_Transfer_Option	The means and media by which a data set	
	is obtained from the distributor.	
6.2.1.2.1. Online_Option	Information required to directly obtain the	
	data set electronically.	
6.2.1.2.1.1.	Instructions for establishing	
Computer_Contact_Information	communications with the distribution	
	computer.	
6.2.1.2.1.1.1. Network_Address	The electronic address from which the	
	data set can be obtained from the	

Element name	Definition	Domain value/examples
	distribution computer.	
6.2.1.2.1.1.1.1. Network_Resource_Name	The name of the file or service from which the data set can be obtained. Include URL path and filename.	For example:  http://www.usda.gov/ soils.e00
6.2.1.2.2. Offline_Option	Information about the media-specific options for receiving the data set.	
6.2.1.2.2.1. Offline_Media	Name of the media on which the data set can be received.	"CD-ROM", "3-1/2 inch floppy disk", "9-track tape", "4 mm cartridge tape", 8 mm cartridge tape", "1/4-inch cartridge tape"

Element name	Definition	Domain value/examples
7. Metadata_Reference_Information	Information on the currentness of the metadata information, and the responsible party.	
7.1. Metadata_Date	The date that the metadata were created or last updated.	For example: "Last updated on 19990610"
7.1.1. Metadata_Standard_Name	The name of the metadata standard used to document the data set.	Example: "FGDC Version 2.0 – USDA Service Center Metadata Standard 1.0"

### Metadata File for MassGIS Digital Orthophoto 213906

#### Identification Information:

Citation:

Citation Information:

Originator:

Massachusetts Geographic Information System (MassGIS), Massachusetts Executive

Office of Environmental Affairs

Publication Date: 1994

Title: MassGIS Digital Orthophoto 213906

Geospatial Data Presentation Form: remote-sensing image

Online\_Linkage: <URL:http://ortho.mit.edu/nsdi/draw-ortho.cgi?image=213906>

Description: Abstract:

Digital orthophotos combine the geometric qualities of a map with the image qualities of a photograph. The orthophotos in this series have a ground resolution of 0.5 x 0.5 m, from aerial photography at a scale of 1:30000. Each image has 8000 x 8000 pixels and a geographic extent of 4000 x 4000 m, with zero overlap between images. The images have been rectified to Massachusetts State Plane (UTM) meters, North American Datum (NAD) 1983. The file consists of raw one-byte image pixels, arranged in west-to-east rows from north to south. Within each byte, image brightness values range from 30 to 215. Each orthophoto has a companion Digital Elevation Model, listed separately. The orthophoto number refers to the coordinates of the lower right-hand corner of the bottommost, rightmost pixel in the image. The number has the form XXXYYY, where XXX x 1000 is the X-coordinate and YYY x 1000 is the Y-coordinate in meters in the State Plane Coordinate System for the Massachusetts Mainland zone, NAD 1983.

Purpose:

Digital orthophotos serve a wide variety of uses, from interim mapping to overlaying existing GIS layers to correcting GIS coverages and digital elevation models.

Time\_Period\_of\_Content:
Time Period Information:

Single Date/Time:

Calendar Date: 19940424

Currentness Reference: date of aerial photography

Status:

Progress: In work

Maintenance\_and\_Update\_Frequency: Irregular

Spatial\_Domain: Bounding\_Coordinates:

West\_Bounding\_Coordinate: -71.39061038 East\_Bounding\_Coordinate: -71.34208288 North\_Bounding\_Coordinate: 42.44047201 South Bounding Coordinate: 42.40440502

Keywords: Theme:

Theme\_Keyword\_Thesaurus: None Theme\_Keyword: Digital Orthophoto Theme Keyword: Half-meter Orthophoto Place:

Place\_Keyword\_Thesaurus: None Place\_Keyword: Town: CONCORD Place\_Keyword: Town: LINCOLN Place\_Keyword: Town: SUDBURY Place\_Keyword: Town: WAYLAND Place\_Keyword: County: MIDDLESEX

Place\_Keyword: Zip: 01742 Place\_Keyword: Zip: 01773 Place\_Keyword: Zip: 01776 Place\_Keyword: Zip: 01778

Temporal:

Temporal\_Keyword\_Thesaurus: None

Temporal\_Keyword: 19940424 Access Constraints: None

Use Constraints:

None. The Massachusetts Geographic Information System (MassGIS) asks to be credited in derived products.

Point\_of\_Contact:
Contact\_Information:

Contact\_Organization\_Primary: Contact\_Organization: MassGIS Contact\_Person: Michael Trust

Contact Address:

Address\_Type: mailing and physical address Address: 251 Causeway St., Suite 900

City: Boston

State\_or\_Province: MA Postal\_Code: 02114 Country: USA

Contact\_Voice\_Telephone: (617) 626-1195 Contact\_Facsimile\_Telephone: (617) 626-1249

Contact\_Electronic\_Mail\_Address: michael.trust@state.ma.us

Browse Graphic:

Browse\_Graphic\_File\_Name: 213906.gif Browse\_Graphic\_File\_Description:

This image has been resampled to reduce the number of pixels by a factor of 16. It is available online at

<URL:http://ortho.mit.edu/nsdi/stdout.cgi?image=213906&zoom\_level=16&ul\_x=0&ul\_ y=0&width=500&height=500&format=gif>.

Browse Graphic File Type: GIF

Browse Graphic:

Browse\_Graphic\_File\_Name: 213906.jpg

Browse\_Graphic\_File\_Description:

This image has been resampled to reduce the number of pixels by a factor of 16. It is available online at

<URL:http://ortho.mit.edu/nsdi/stdout.cgi?image=213906&zoom\_level=16&ul\_x=0&ul\_y=0&width=500&height=500&format=jpg>.

Browse\_Graphic\_File\_Type: JPEG

Browse\_Graphic:

Browse\_Graphic\_File\_Name: 213906.tif Browse Graphic File Description:

This image has been resampled to reduce the number of pixels by a factor of 16. It is available online at

<URL:http://ortho.mit.edu/nsdi/stdout.cgi?image=213906&zoom\_level=16&ul\_x=0&ul\_</p>

y=0&width=500&height=500&format=tif>.

Browse\_Graphic\_File\_Type: TIFF

### Data\_Quality\_Information:

Attribute\_Accuracy:

Attribute\_Accuracy\_Report: (According to specs)

Logical\_Consistency\_Report: None

Completeness\_Report: None

Positional\_Accuracy:

Horizontal\_Positional\_Accuracy:

Horizontal\_Positional\_Accuracy\_Report: (According to specs)

Vertical\_Positional\_Accuracy:

Vertical\_Positional\_Accuracy\_Report: (According to specs)

Lineage:

Source\_Information: Source\_Citation: Citation\_Information: Originator: MassGIS

Publication Date: Unknown

Title: Air Photos

Geospatial\_Data\_Presentation\_Form: remote-sensing image

Source\_Scale\_Denominator: 30000 Type\_of\_Source\_Media: B&W air photos

Source\_Time\_Period\_of\_Content:

Time\_Period\_Information:

Single\_Date/Time:

Calendar Date: 19940424

Source\_Currentness\_Reference: source photo date

Source\_Citation\_Abbreviation: None

Source\_Contribution: The images were scanned from the photos.

Process\_Step:

Process\_Description: (Unknown)

Process\_Date: Unknown

Source\_Produced\_Citation\_Abbreviation: None

Cloud\_Cover: 0

#### Spatial\_Data\_Organization\_Information:

Indirect\_Spatial\_Reference: Massachusetts (portions of)

Direct\_Spatial\_Reference\_Method: Raster

Raster\_Object\_Information: Raster\_Object\_Type: Pixel

Row\_Count: 4000 Column\_Count: 4000 Vertical\_Count: 1

### Spatial\_Reference\_Information:

Horizontal\_Coordinate\_System\_Definition:

Planar:

Grid Coordinate System:

Grid\_Coordinate\_System\_Name: State Plane Coordinate System 1983

State\_Plane\_Coordinate\_System: SPCS\_Zone\_Identifier: 2001 Lambert\_Conformal\_Conic: Standard\_Parallel: 41.7166

Longitude\_of\_Central\_Meridian: -71.5 Latitude of Projection Origin: 41.7166667

False\_Easting: 750000 False\_Northing: 200000

Planar\_Coordinate\_Information:

Planar\_Coordinate\_Encoding\_Method: row and column

Coordinate\_Representation: Abscissa\_Resolution: 0.5 Ordinate\_Resolution: 0.5 Planar\_Distance\_Units: meters

Geodetic Model:

Horizontal\_Datum\_Name: North American Datum of 1983

Ellipsoid\_Name: Geodetic Reference System 80

Semi-major\_Axis: 6378137

Denominator\_of\_Flattening\_Ratio: 298.257

## Entity\_and\_Attribute\_Information:

Overview\_Description:

Entity\_and\_Attribute\_Overview: 8-bit pixels represent brightness values 30 -215

Entity\_and\_Attribute\_Detail\_Citation: None

#### Distribution Information:

Distributor:

Contact Information:

Contact\_Organization\_Primary: Contact\_Organization: MassGIS Contact\_Person: Michael Trust

Contact\_Address:

Address\_Type: mailing and physical address Address: 251 Causeway St., Suite 900

City: Boston

State\_or\_Province: MA
Postal\_Code: 02114
Country: USA

Contact\_Voice\_Telephone: (617) 626-1195 Contact\_Facsimile\_Telephone: (617) 626-1249

Contact\_Electronic\_Mail\_Address: michael.trust@state.ma.us

Resource\_Description: None Distribution Liability:

In no event shall the creators, custodians, or distributors of this information be liable for any damages arising out of its use (or the inability to use it).

Standard Order Process:

Digital Form:

Digital Transfer Information:

Format\_Name: BIL Digital\_Transfer\_Option:

Offline\_Option:

Offline\_Media: CD-ROM

Recording\_Format: ISO 9660 with Rock Ridge extensions

Fees: Contact MassGIS for more information.

### Metadata\_Reference\_Information:

Metadata Date: 20010426

Metadata Contact: Contact\_Information:

Contact\_Organization\_Primary:

Contact Organization:

Massachusetts Institute of Technology, Department of Urban Studies and Planning

Contact\_Person: Joseph Ferriera

Contact\_Address:

Address\_Type: mailing address

Address: MIT Room 9-516, 105 Massachusetts Ave.

City: Cambridge State or Province: MA Postal\_Code: 02139

Country: USA

Contact\_Voice\_Telephone: (617) 253-7410 Contact\_Electronic\_Mail\_Address: jf@MIT.EDU

Contact\_Instructions:

Another source at MIT of information about this metadata is Thomas H. Grayson (e-mail:

thg@MIT.EDU).

Metadata\_Standard\_Name: FGDC Content Standards for Digital Geospatial Metadata

Metadata Standard Version: FGDC-STD-001-1998

Metadata\_Access\_Constraints: None Metadata\_Use\_Constraints: None